

Framework for the Appointment of an Allocation of Unidentified Gas Expert

Document Control

Version	Date	Reason for Change
2.0	17 June 2010	Approved by Uniform Network Code Committee
3.0	24 February 2011	Additions following first AUG Expert appointment process
4.0	19 March 2014	Updated to take account of UNC Modification 0473
4.1	28 March 2014	Updated to take account of 19 March Meeting
4.2	01 April 2014	Updated to take account of Xoserve comments
4.3	14 April 2014	Updated to take account of 02 April meeting
5.0	15 April 2014	Baselined
5.1	06 May 2014	Incorporation of Transition process.
5.2	12 May 2014	Update of Transition table
6.0	06 June 2014	Baselined and minor corrections
6.1	22 July 2014	Minor corrections
6.2	19 August 2014	Minor corrections
6.3	29 August 2014	Change to clause 6.5.2
6.4	02 October 2014	Minor corrections
6.5	21 January 2016	Updated to align with UNC Modification 0473
7.0	20 April 2017	Change to clause 7.1.8
8.0	18 January 2018	Change to clause 5.1.2
8.1	08 June 2018	Updated to align with UNC Modification 0565 Central Data Service Provider: General framework and obligations. Plus proposed changes as discussed under Review Group 0639, updated following May and June RG Meetings.
8.2	24 March 2023	Delete “For the avoidance of doubt” paragraph situated below 5.1.2 within the section titled 5. Generic Terms of Reference for Appointed AUGÉ.
9.0	18 May 2023	Change to clause 5.1.2 Approved by Uniform Network Code Committee.

10.0	01 October 2023	<p>Change to 5.1.10 to add a ‘for avoidance of doubt’ statement which confirms the equalisation of allocation factors for specific pairs of Categories of System Exit Points as instructed by Urgent Modification 0840 and confirmation that the AUGE will share both the final equalised version and the pre-equalised tables.</p> <p>Approved by UNCC on 20 July and to go live on 01 October 2023.</p>
10.1	12 January 2024	<p>Update to Section 7.1, Steps 7 & 8, to give a one-off extension to timescales for consultation responses and February AUG Sub-Committee meeting. This extension was discussed at the January 2024 AUG Sub-Committee meeting. It accommodates Ofgem’s published timetable for a decision on UNC Modifications 0831 and 0831A, which relate to UIG Allocation rules in UNC.</p> <p>For consideration at UNCC on 18 January 2024, for immediate implementation.</p>
10.2	July/Aug 2024	[detail to be inserted]

Development of Rules

- 1 The requirement to publish the “**Allocation of Unidentified Gas Document**” is specified in Section V12.2 of the Transportation Principal Document (TPD) of the Uniform Network Code (UNC). This section also provides for the document to be published and revised from time to time. The provision reads:
“Each Document shall be kept up to date and published by the Transporters on the Joint Office of Gas Transporters website
- 2 The Rules set out below meet the Gas Transporter’s obligation to prepare the Framework, while the Document Control Section records changes which have been made to the Framework. The document is published on the Joint Office of Gas Transporters website, www.gasgovernance.co.uk
- 3 This Framework can only be modified in accordance with the requirements set out in paragraph 12 of Section V of the UNC Transportation Principal Document, which reads as follows:

“UNIFORM NETWORK CODE – TRANSPORTATION PRINCIPAL DOCUMENT SECTION V – GENERAL

12 GENERAL PROVISIONS RELATING TO UNC RELATED DOCUMENTS

12.1 Purpose

The purpose of this Section is to establish generic governance arrangements in respect of the following UNC Related Documents (each a “**Document**” and collectively the “**Documents**”):-

- a) Network Code Operations Reporting Manual as referenced in Section V9.4;
- b) Network Code Validation Rules referenced in Section M5.3.3;
- c) ECQ Methodology as referenced in Section Q6.1 .1(c);
- d) Measurement Error Notification Guidelines for NTS to LDZ and LDZ to LDZ Measurement Installations as referenced in OAD Section D 3.1.5;
- e) the Allocation of Unidentified Gas Document referenced in Section E9.1.1;
- f) the Customer Settlement Error Claims Process Guidance Document referenced in Section E1.3.10; and
- g) the Performance Assurance Framework Document referenced in paragraph 16.1.1(d).

12.2 Publication Requirements

Each Document shall be kept up to date and published by the Transporters on the Joint Office of Gas Transporters website.

12.3 Modifications

Should a User or Transporter wish to propose modifications to any of the Documents, such proposed modifications shall be submitted to the Uniform Network Code Committee and considered by the Uniform Network Code Committee or any relevant sub-committee where the Uniform Network Code Committee so decide by majority vote.

12.4 Approved Modifications

12.4.1 In the event that a proposed modification is approved by a majority vote of the Uniform Network Code Committee, the modification shall be implemented. Where the Uniform Network Code Committee fails to achieve majority approval the proposed modification shall be considered in accordance with the provisions set out in Section 7 of the Uniform Network Code Modification Rules unless the Uniform Network Code Committee determines otherwise.

12.4.2 Each revised version of a Document shall be version controlled and retained by the Transporters. It shall be made available on the Joint Office of Gas Transporters website.

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1. **Definitions**

1.1 Unless otherwise stated, terms in this “**AUGE Document**” entitled “**Framework for the Appointment of an Allocation of Unidentified Gas Expert**” (“this Framework”) shall have the meanings given to them in the Uniform Network Code (“UNC”). Such terms will be capitalised within quotation marks where first used in the Framework.

1.2 In this Framework:

“**The Committee**” - The Uniform Network Code Committee (UNCC), or an authorised technical workstream or sub committee appointed by the UNCC to administer the AUG Expert process.

“**Code Parties**” – signatories to the Uniform Network Code

“**Generic Terms of Reference**” – the standard terms of reference to be applied as basis of the contracts between the Central Data Services Provider (the “CDSP”) and the “**AUG Expert**” for the compilation of an “**AUG Statement**” and any additional “**AUG Value-Add Activities**”.

“**Stakeholder Evaluation Sub-Committee**” – a group of interested parties (including the CDSP), drawn from or nominated by The Committee, who will participate in the development of procurement materials (including terms and conditions) and the selection of a preferred tenderer for the role of AUG.

“**AUG Technical Workgroup**” – an open meeting of interested parties, at set points throughout the annual cycle, to review and provide guidance/support to the AUG and discuss issues raised relating to the AUG Methodology and Table

“**AUG Value-Add Activities**” - are activities which fall under one of the following three sub-categories:

- i. **Market Engagement** - to engage with parties outside the industry from comparable utilities such as Electricity and Water (where applicable).
- ii. **Discovery Services** – any activities which encourage innovation and/or analysis in the Allocation of Unidentified Gas processes or that would form the basis of a future UIG Reduction Initiative.
- iii. **UIG Reduction Initiative(s)** – any activities which should have quantifiable outputs to reduce UIG.

“AUG Schedule” – outlines the timescales for the AUG Expert activities outlined in the Generic Terms of Reference in this Framework. The AUG Schedule can be found within section 78.0 of this Framework.

2. **The Framework**

The process described here sets out:

- i. The means by which the AUG Expert is appointed;
- ii. How the AUG Statement is published on the Joint Office of Gas Transporters website (www.gasgovernance.co.uk);
- iii. Outlines the high level process to be followed for the creation of a methodology to calculate the “**UGS Weighting Factors**” to apportion Unidentified Gas;
- iv. Outlines the high level process to be followed for the **creation consideration and approval** of [AUGE Value-Add Activities] in the areas of Market Engagement, UIG Reduction Initiatives or Discovery Activities.

3. Responsibilities under the tender process for the position of AUG Expert

3.1 The Committee will delegate its obligations for the definition of criteria for appointment and for overseeing the selection process to the Stakeholder Evaluation Sub-Committee.

3.2 The Stakeholder Evaluation Sub-Committee will:

3.2.1 Produce a clear set of criteria for the appointment of the AUG Expert detailing (without limitation):

~~(a)~~ ~~(a)~~ —the ability of the AUG Expert to produce a methodology and subsequent AUG Statement which shall be in line with the Generic Terms of Reference, contained in this Framework plus any other criteria agreed by The Committee;

~~(b)~~ the ability of the AUG Expert to undertake AUG Value-Add Activities which produce [Initial Proposals] for Value Add Activities which shall be in line with the Generic Terms of Reference contained in this Framework.

~~the capability of the AUG Expert to develop [Formal Proposals] for Value Add Activities, only if formally instructed to do so by UNCC, which shall be in line with the Generic Terms of Reference contained in this Framework.~~

~~(c)~~ the ability of the AUG Expert to carry out Lessons Learned and Continuous Improvement activities which shall be in line with the Generic Terms of Reference contained in this Framework.

~~(d)~~ the evaluation of the cost of undertaking the role of the AUG Expert over the period stated in the tender document;

~~(e)~~ the consideration of the relevant knowledge and expertise of the candidates;

~~(f)~~ ability of the prospective AUG Experts to follow and take into account relevant industry developments; and

~~(g)~~ Details of how much weight/percentage should be placed for each of the criteria when assessing each tender; and

~~(h)~~ Terms and Conditions under which the service will be procured other relevant matters to be considered by the CDSP in the procurement.

3.3 The Stakeholder Evaluation Sub-Committee will:

- 3.3.1 support the CDSP in responding to any clarification questions raised by bidders during the response phase of the tender, and use the criteria developed under 3.2.1 to assess each submitted tender bid;
- 3.3.2 use the criteria developed under 3.2.1 to evaluate & score each submitted tender bid;

3.3.3

The CDSP will:

where more than one prospective candidate responds to the tender for the position of AUG Expert (“the Interested Parties”) the Stakeholder Evaluation Sub-Committee shall:

- (a) organise any meetings held in relation to the AUG Expert appointment; ~~Assess the Interested Parties from the criteria specified in the tender document;~~
- (b) provide legal resource to prepare a tender document; ~~Select and appoint the appropriate Interested Party as the “Prospective AUG Expert”.~~

(c)

~~3.3.3. organise any meetings held in relation to the AUG Expert appointment;~~

~~3.3.4 provide legal resource to prepare a tender document;~~

~~3.3.5~~—organise the advertisement of the tender to all Interested Parties, in accordance with national and European legislation;

~~(d)~~~~3.3.6~~ communicate to Code Parties the progress and outcome of the tender process;

~~3.3.7~~(e) Select the highest scoring Interested Party as the “Prospective AUG Expert”

~~(f)~~and invite the Prospective AUG Expert to take up the appointment;

~~3.3.8~~ ~~where the selected AUG Expert does not accept the appointment, invite the next most favoured AUG Expert in turn; and~~

~~3.3.9~~(g) upon acceptance of appointment award, execute a contract with the Prospective AUG Expert, in accordance with the Generic Terms of Reference.

4. Tendering process

4.1 This section details the tendering processes undertaken by the CDSP, to appoint an AUG Expert ~~using a tender process.~~

4.1.1 The Stakeholder Evaluation Sub-Committee will support the preparation of a tender document pack to be sent when required to all Interested Parties.

4.1.2 The tender will detail:

- (a) that the aim of the tender is to appoint a Party, the AUG Expert, to compile a publicly available methodology and the AUG Statement;
- (b) that the AUG Expert will use the methodology to populate the “**AUG Table**” for an “**AUG Period**” within the UNC;
- (c) that the AUG Expert must provide the necessary information to the Gas Transporters in good time to allow the publication of the final AUG Table no later than the ~~1st April each year~~ [insert correct date based on amended schedule];
- (d) the AUG Expert must hold a public consultation to provide an opportunity to allow Code Parties to discuss the methodology and the AUG Statement, including the AUG Table, in accordance with the timetable contained within this Framework;
- (e) the requirement to allow Code Parties to submit representations and queries with regard to the methodology and the AUG Statement, including the AUG Table, in accordance with the timetable contained within this Framework;
- (f) that the AUG Expert must consider adjusting the methodology and the AUG Statement and AUG Table, in response to those representations;
- (g) that the AUG Expert must adhere to the Generic Terms of Reference contained within this Framework, as well as any other criteria The Committee notifies to the CDSP prior to the tender document being issued; and
- (h) that the AUG Expert maintain good relations with The Committee and the CDSP, to be available for discussion with Code Parties on any relevant issues, and to answer any general queries promptly.

Commented [KA1]: ACTION: Insert correct date based on amended schedule.

~~(i) that the AUG Expert shall develop [AUG Value-Add Activity Initial Proposal/s] for the Value Add Activities- and [AUG Value-Add Activity ~~Formal~~Final Proposal/s] over the course of the [AUG Period] as per the Generic Terms of Reference contained in this Framework. All Value Add Activities must be economic and efficient, and the AUG Expert must incorporate this into their [Initial Proposals].~~

~~(j) that the AUG Expert- should -have the capability to develop and undertake approved {AUG Value-Add Activities}Formal Proposals} for the Value Add Activities- as defined in the Generic Terms of Reference contained in this Framework. For the avoidance of doubt the progression of [Formal Proposals] for the Value Add Activities is subject to UNCC approval. All Value Add Activities must be economic and efficient, and the AUG Expert must this the development and progression of [Formal Proposals].~~

~~(k) that the AUG Expert shall demonstrate Lessons Learnt and Continuous Improvement activities as a result of those Lessons Learnt as defined in the Generic Terms of Reference throughout the AUG Period.~~

- 4.1.3 When issued, the tender will be for an initial term agreed by the Stakeholder Evaluation Sub-Committee, with an option for extension.
- 4.1.4 The CDSP will administer the tender process and will conduct it in accordance with all relevant legislation and generally accepted best practice.
- 4.1.5 The tender will be set out to ensure that each tender response will in particular detail:
- (a) how the prospective AUG Expert will comply with the Generic Terms of Reference and any other criteria agreed prior to the tender;
 - (b) an outline of the method to be used by the prospective AUG Expert, and why such a proposed solution would be suitable;
 - (c) an outline of the data that would need to be collated for such use, and the methods to be used for acquiring such data;
 - (d) the likely time for such work to be carried out;
 - (e) the cost of performing the services;

- (f) contact details that a Code Party may use to query any aspect of the tender or the methodology and the AUG Statement or AUG Table when produced. ~~The AUG Expert shall confirm to the CDSP before their appointment that they do not hold any interest or duty which would or potentially would conflict with the performance of their duties under their contract with the CDSP;~~ and
- (g) their independence and impartiality, and their process for identifying and managing conflicts of interest during the lifetime of the contract;
- (h) their ability to interact with other relevant industry bodies and experts across the Gas and Electricity industries to ensure they can benefit from broader industry expertise;
- j) their acceptance of the proposed terms and conditions under which the service will be provided.

4.1.6 Once all tenders have been received the Stakeholder Evaluation Sub-Committee will complete their evaluation and scoring activities ~~select~~ in accordance with the previously determined evaluation criteria and weightings, and the CDSP will select the top-ranked ~~tender supplier~~ for appointment ~~award~~.

4.1.7 Once the tender process has been completed the CDSP will use reasonable endeavours to contract with the highest ranked acceptable party that wishes to become the AUG Expert by the **[1st June]**. If the CDSP fails to execute a contract with the highest ranked party, it will consult with the Stakeholder Evaluation Sub-Committee about the next steps, which could include contracting with the next highest ranked party.

4.1.8 In the event that the **[1st June]** deadline is not met, The Committee will decide by a majority vote on whether to:

- (a) maintain the current AUG Statement for another year in which case the Gas Transporters will notify the CDSP; or
- (b) grant additional time to the CDSP and the Stakeholder Evaluation Sub-Committee on the understanding that any additional time granted will not jeopardise the publication of the proposed AUG Statement by **[1st January]** of the following year.

- 4.1.9 Alternatively by unanimous vote to apply UGS Weighting Factors that
The Committee decides as appropriate, in which case the Gas
Transporters will notify the CDSP of such factors;
- 4.1.10 Once the tender process has been completed and the CDSP has
contracted with an interested party to be the AUG Expert, the [CDSP](#)
~~Stakeholder Evaluation Sub Committee~~ will review the tender process,
and detail those improvements to [Stakeholder Evaluation Sub-
Committee](#)~~The Committee~~ for possible incorporation into this
document.

5. Generic Terms of Reference for Appointed AUG Expert

[Sections in green are amendments added in by the proposer and are not currently live in the AUG Framework].

5.1 This section will include the main principles the AUG Expert will operate under, once appointed in the **evaluation of Unidentified Gas and** creation of the AUG Statement and AUG Table.

5.1.1 The AUG Expert will create the AUG Statement and AUG Table by developing appropriate, detailed methodologies **undertaking relevant discovery, engagement, investigation analysis** and collecting necessary data.

5.1.2 The decision as to the most appropriate methodologies and data will rest solely with the AUG Expert taking account of any issues raised **if relevant** during the **discovery, engagement,** development and compilation of the AUG Statement and AUG Table.

5.1.3 The AUG Expert, **acting as a reasonable and prudent operator,** will determine what data is required from Code Parties (and other parties as appropriate) in order to ensure it has sufficient data to support the evaluation of Unidentified Gas **and development and compilation of the AUG Statement and AUG Table.**

~~5.1.4 The AUG Expert will determine what data is necessary from parties in order to ensure it has appropriate data to support the evaluation of Unidentified Gas **and development and compilation of the AUG Statement and AUG Table.**~~

~~5.1.3.15~~ The AUG Expert will determine what relevant questions should be submitted to Code Parties in order to ensure appropriate methodologies and data are used in the evaluation of unidentified error **and development and compilation of the AUG statement and AUG Table.**

~~5.1.3.26~~ The AUG Expert will use the latest data available where appropriate.

~~5.1.3.37~~ Where multiple data sources exist the AUG Expert will evaluate the data to obtain the most statistically sound solution, will document the alternative options and provide an explanation for its decision.

~~5.1.3.48~~ Where data is open to interpretation the AUG Expert will evaluate the most appropriate methodology and provide an explanation for the use of this methodology.

~~5.1.3.59~~ Where the AUG Expert considers using data collected or derived through the use of sampling techniques, then the AUG Expert will consider the most appropriate sampling technique and/or the viability of the sampling technique used.

5.1.410 The AUG Expert will present at a meeting the indicative AUG Statement, including the indicative AUG Table, ~~in draft form (the “proposed AUG Statement”)~~, to Code Parties seeking views and will review all the issues identified submitted in response as per the AUG Schedule in Section 78.0 of this Framework.

~~Notes: If we are introducing the early stage of the AUG Table then we should probably include this requirement here.~~

For the avoidance of doubt, allocation factors for prepayment and non-prepayment End User Categories (EUC) in the same sector and product class will be equal. This will be achieved through the AUG Expert equalising the allocation factors for the listed pairs of Categories of System Exit Points at the end of the process:

1ND & 1PD
2ND & 2PD
1NI & 1PI
2NI & 2PI

For the avoidance of doubt, within the ~~proposed~~indicative AUG Statement, the AUG Expert will also include a table prior to the equalisation of the allocation factors for the list pairs of Categories of System Exit Points (detailed above), being applied.

5.1.5 ~~The AUG Expert will obtain refreshed data to update and create the final AUG Statement and final AUG Table as per the AUG Schedule in Section 87.0 of this Framework. For the avoidance of doubt, no changes will be made to the final AUG Statement and final AUG Table other than the data refresh.~~

5.1.611 The AUG Expert will provide the final AUG Statement, including the final AUG Table, to the Gas Transporters for publication who will then provide the final AUG Statement and final AUG Table to the CDSP.

5.1.72 The AUG Expert will compile the methodology and AUG Statement and AUG Table in accordance with this Framework.

5.2 This section will include the main principles the AUG Expert will operate under, once appointed in the consideration and approval ~~creation~~ of all ~~{~~“AUG Value-Add Activities”~~}~~.

5.2.1 ~~“AUG Value-Add Activities”~~ (AUG VA Activities) are defined as activities which fall under one of the following three sub-categories:

- i. **Market Engagement** ~~- to engage with parties outside the industry from comparable utilities such as Electricity and Water (where applicable).~~
- ii. **Discovery Services** ~~- any activities which encourage innovation and/or analysis in the Allocation of Unidentified Gas processes or that would form the basis of a future UIG Reduction Initiative.~~
- iii. **UIG Reduction Initiative(s)** ~~- any activities which should have quantifiable outputs to reduce UIG.~~

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5.2.2 The AUG Expert ~~will~~ may, over the course of the AUG period, prepare [AUG VA Activity Initial Proposal/s]-which fall within any of the above categories. The number of [AUG VA Activity Initial Proposal/s]- expected to be presented throughout the AUG ~~period~~ Period is undefined, as it will be up to the AUG Expert to justify why an individual [AUG VA Activity Initial Proposal] holds merit. For the avoidance of doubt, all [AUG VA Activity Initial Proposal/s] should provide sufficient information to the Committee to assess the extent that there is merit in the proposal, for example, to demonstrate, at a high-level, the economic and efficient nature of the proposal.

5.2.2.1 All [AUG VA Activity Initial Proposal/s] will be presented to the AUG Technical Workgroup for review.

5.2.3 It is expected that an [AUG VA Activity Initial Proposal] will contain the following:

- i. High level hypothesis;
- ii. Projected outcome/s;
- iii. ~~Time and effort~~ Number of working days required to create the [AUG VA Activity ~~Formal~~Final Proposal].

Please note this is not an exhaustive list and the AUG Expert can include further details not listed above that may aid their [AUG VA Activity Initial Proposal].

~~5.2.3.1 Time and effort required to develop a [Formal Proposal] will be funded as per the contract terms between the CDSP and the AUG Expert.~~

5.2.4 The AUG Technical Workgroup will approve or reject the [AUG VA Initial Proposal/s]. If rejected the [AUG VA Initial Proposal] will not be progressed any further.

~~5.2.4.1 If the AUG Expert wishes to appeal the AUG Technical Workgroup’s decision to reject the approved for development into [AUG VA Activity ~~Formal~~Initial Proposal/s] they may defer to UNCC for a final decision.~~

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5.2.4.2 If the UNCC agree with the AUG Technical Workgroup decision, then the [AUG VA Activity Initial Proposal] will be categorically rejected with no further recourse for appeal.

5.2.4.3 If the UNCC disagree and subsequently approve the [AUG VA Activity Initial Proposal/s] then the AUG Expert will have permission to begin work on developing their [AUG VA Activity ~~Formal~~Final Proposal/s].

5.2.5 All approved [AUG VA Activity Initial Proposals] will be developed by the AUG Expert into [AUG VA Activity ~~Formal~~Final Proposals].

~~by the AUG Technical Workgroup, will be worked on by the AUG Expert and presented back to the AUG Technical Workgroup for further assessment. An [AUG VA Activity ~~Formal~~Final Proposal] is expected~~ must to include:

- i. Objectives of the [~~Formal~~Final Proposal];
- ii. Measurable deliverables and delivery milestones within the [~~Formal~~Final Proposal] which would be the outputs of any approved [AUG Value-Add Activity].
- iii. Data required, expected sources of data. Any dependencies on other parties to gain access to data required.
- iv. A funding request which clearly outlines the expected benefits, which should include a cost benefit analysis where applicable, of the [~~Formal~~Final Proposal] and subsequent [AUG Value-Add Activity] if approved. For details on how funding requests are approved see [6.1.2.4] within this Framework.

5.2.5.1 The Final Proposal will be presented to the AUG Technical Workgroup to assess and provide a recommendation to the UNCC. The recommendation will be to approve or reject, but regardless of this recommendation the Final Proposal will be presented for UNCC decision.

5.2.5.2 A fully developed [AUG VA Activity ~~Formal~~Final Proposal] will be presented to UNCC for consideration.

5.2.5.23 UNCC will either reject or approve the [~~Formal~~Final Proposal].

~~5.2.5.34 In the event that an [AUG VA Activity ~~Formal~~Final Proposal] is approved, the AUG Expert and UNCC will agree a clear project plan with defined deliverables linked to a timetable with milestone payments whereby outputs must be demonstrated for interim payments to be released.~~

5.2.5.45 The AUG Expert will not have the right to appeal any decisions from UNCC to accept or reject individual [AUG VA Activity ~~Formal~~Final Proposal]. All UNCC decisions are final.

5.2.5.6 The UNCC may delegate responsibilities following Final Proposal approval on a case by case basis, but ultimately the obligation will remain with the UNCC.

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~~5.2.5-6~~ Once approval of the ~~The AUG Expert can only begin work on a~~ [AUG Value-Add Activity ~~Formal~~Final Proposal] has been received the AUG Expert will formally undertake the AUG VA Activity, ~~once the [Formal Proposal] for said activity has been approved via the necessary channels.~~

~~5.2.6-7~~ The AUG Expert will report back to ~~the AUG Technical Workgroup~~the AUG Technical Workgroup ~~the UNCC~~ at regular intervals on their progress as per the timeline agreed ~~with the Workgroup when the [AUG VA Activity Formal~~Final Proposal] was approved. The AUG Technical Workgroup will report to UNCC with respect to approved AUG VA Activity, with the support of AUGE, if required. ~~as to the progress of the [AUG Value-Add Activity].~~

~~5.2.7.1~~ A high level overview of when the regular updates ~~may occur can be found in section 8.0 within this Framework. For the avoidance of doubt, for individual AUG VA Activities it may be necessary to review progress more frequently via additional feedback points, the dates in the schedule in 8.0 are a minimum number of review points.~~

~~5.2.8~~ ~~5.2.7.2~~ In accordance with the relevant performance management measures, ~~the UNCC may approve the CDSP reserves the right to suspend or terminate the undertaking by the AUG Expert of any [AUG VA Activity Formal~~Final Proposal] at any point during the activity if the measurable deliverables and proposal milestones ~~outlined at the outset are not being met, and the AUG Expert has not taken reasonable remedial actions to address issues. For the avoidance of doubt this includes, temporary suspension of work and interim payments, permanent suspension of work and no further payments to be made.~~

~~5.2.7.3~~ The UNCC also reserves the right to extend the development timeline if it ~~becomes clear the approved [AUG VA Activity] requires more time.~~

~~5.2.7-9~~ Once complete, the AUG Expert will present the output of their “[AUG Value-Add VA Activity]” to the ~~UNCC and~~ AUG Technical Workgroup and UNCC and to any other interested industry workgroups (subject to the relevant data permission approvals being in place. If necessary, data will be anonymised).

~~5.2.79.1~~ UNCC will be responsible for determining whether or not the [AUG VA Activity] has met all of the measurable deliverables and delivery milestones.

~~5.2.79.2~~ In the event that the UNCC determine the [AUG VA Activity] has not met some of the measurable deliverables and delivery milestones, the AUG Expert may be required to ~~return some funds received~~ undertake necessary steps to complete the task.

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5.2.810 The AUG Technical Workgroup will review the development of all [AUG VA Activity] once they are complete and determine Lessons Learnt and where the AUG Expert could improve for future [AUG VA Activities]. These Lessons Learnt and Continuous Development points should be documented by the AUG Technical Workgroup for future reference.

5.3 This section will include the main principles the AUG Expert will operate under for Lessons Learned and Continuous Improvement Activities.

5.3.1 The AUG Expert will be required to provide a high-level overview of “Lessons Learned” during the course of the previous “AUG Period”.

- i. “Lessons Learned” is a reflection by the AUG Expert on the previous “AUG Period” and how they carried out their roles and responsibilities as outlined in this Framework. It is expected that this will include detail around ‘successes’, ‘areas for growth’, and ‘potential for knowledge sharing within the industry and further afield’ (where relevant). Lessons learned may form the basis for a future AUG Value-Add Activity.

5.3.2 The AUG Expert will use the “Lessons Learned” from the previous AUG Period to outline potential areas of “Continuous Improvement.” The AUG Expert will outline to the AUG Technical Workgroup, how these Continuous Improvements will be implemented in the next AUG Period whether that be during the creation of the AUG Statement and AUG Table and/or the AUG Value-Add Activities.

5.3.3 The AUG Expert will need to demonstrate at regular intervals throughout the “AUG Period” that they are applying the “Continuous Improvement/s” that they highlighted ~~at the start of that “AUG Period”~~.

5.3.4 The timescales for “Lessons Learned” and “Continuous Improvement” activities are outlined in section 8.0.

5.4 This section will include the main data handling principles expected of the AUG Expert during the course of their activities.

- 5.4.1 The AUG Expert will ensure that all data that is provided to it by parties will not be passed on to any other organisation, or used for any purpose other than the creation of the methodology and the AUG Statement and Table.
- 5.4.2 The AUG Expert shall ensure that all data provided by Code Parties will be held confidentially, and where any data, as provided or derived from that provided, is published then it shall be in a form where the source of the information cannot be reasonably ascertained.

5.4.13 The AUG Expert will act with all due skill, care and diligence when performing of its duties as the AUG Expert and shall be impartial when undertaking the function of the AUG Expert, ensuring that any values derived will be equitable in their treatment of Code Parties.

6.0 Roles and Responsibilities of the AUG Technical Workgroup:

~~6.1 The Role of the AUG Technical Workgroup is to proactively manage the AUG. Management of the AUG will entail:~~

~~6.1.1 Ensuring the **AUG Statement** and **AUG Table** is produced in a timely manner as per this Framework document, and specifically as per 5.1 and the schedule in section 8.0.~~

~~6.1.2 The management of **AUG Value Add Activities** as outlined in 5.2.~~

~~6.1.2.1 Review of [Initial Proposal] from the AUG in relation to:~~

- ~~i. — Market Engagement~~
- ~~ii. — UIG Reduction Initiative(s)~~
- ~~iii. — Discovery Services~~

~~6.1.2.2 To determine if an [Initial Proposal] for one of the three areas above should be developed into a [Formal Proposal] by the AUG. If the Technical Workgroup agrees to direct the AUG to develop a [Formal Proposal], the AUG shall do so as per mutually agreed timescales. All timescales must be documented by the Technical Workgroup. **[Funding process – TBC]**~~

~~6.1.2.3 To determine if a [Formal Proposal] from the AUG should be approved or rejected and to document the reason/s for approval or rejection. Please refer to section 5.2.3 for details on what the AUG **must include** within a [Formal Proposal] to allow the Technical Workgroup to make an informed decision. Feedback must be given to the AUG to aid development of any future [Initial or Formal Proposal/s]. For the avoidance of doubt, an approved proposal should be defined as an [AUG Value Add Activity].~~

~~6.1.2.4 To agree to the release of funds for an approved [Formal Proposal] where the funding request is no greater than [insert value]. **[Funding process – TBC]**~~

~~6.1.2.5 To take any [Formal Proposals] to the Committee for final Approval when the Funding Request is greater than [insert value]. Such a [Formal Proposal] will only proceed and funds be released to the AUG Expert subject to approval from the Committee. The Committee reserves the right to reject the [Final Proposal] if the Committee does not believe the funding request has merit. **[Funding process – TBC]**~~

~~6.1.2.6 To discuss and agree with the AUGE the required measurable deliverables which should be outputs of the [AUG Value Add Activity] once the [Formal Proposal] has passed all required approvals.~~

~~6.1.2.7 To set a suitable development timetable for the [AUG Value Add Activity] to ensure that the [AUG VA Activity] progresses in a timely fashion. Timeslots for the AUG Expert to present progress reports will be provided monthly at the AUG Technical Workgroup.~~

~~6.1.2.7 To review the progress of the [AUG Value Add Activity] against the measurable deliverables previously agreed and against the agreed development timetable at the monthly AUG Technical Workgroup.~~

~~6.1.2.8 To give regular feedback to the AUG Expert on the progress of the [AUG Value Add Activity] against the measurable deliverables. The AUG Expert will then be expected to take on board any feedback given by the AUG Technical Workgroup, [and where possible,] incorporate this feedback into the [AUG VA Activity].~~

~~6.1.2.9 To curtail any further development of the [AUG Value Add Activity] if the progression of the [AUG VA Activity] has consistently failed to meet the measurable deliverables and/or delivery milestones agreed within the [Formal Proposal]. This will be done by formal instruction to the AUG Expert to cease work on the [AUG Value Add Activity]. For the avoidance of doubt, this shall be at the AUG Technical Workgroup's discretion.~~

~~6.1.2.10 ——— To receive and review final outputs of the [AUG Value Add Activity]. To determine whether:~~

- ~~i. — The agreed measurable deliverables and delivery milestones have been met by the AUG Expert;~~
- ~~ii. — Any necessary future steps are required. I.e. Modification / Change Proposal / Presentation of outputs to PAC etc... (For avoidance of doubt, this is not an exhaustive list);~~
- ~~iii. — Any outputs of the [AUG VA Activity] can be shared in the public sphere subject to any anonymisation of data that may be required.~~

~~6.1.2.11 To provide the AUG Expert feedback on the output of the [AUG Value Add Activity].~~

~~6.1.3 The management of **AUG Lessons Learned and Continuous Improvement Activities** as outlined in 5.3. The timescales for the AUG Expert to provide details on Lessons Learned and Continuous Improvement activities can be found in the schedule in section 8.0.~~

76. Responsibilities for the creation of the “AUG Statement” and “AUGE Value-Add Activities”

76.1 Code Parties provision of data or information to the AUG Expert

Where, the AUG Expert requests data from Code Parties for the purpose of preparing the AUG Statement, Table or ~~approved~~ Approved AUG Value-Add Activity Formal Proposal, the Code Parties shall use all reasonable endeavours to provide the information requested within the timescales requested. Such timescales having being previously notified to the Code Parties.

7.6.2 Code Parties Raising issues for consideration during the AUG Statement and AUG Table consultation period.

Code Parties may submit relevant topic areas for consideration by the AUG Expert during the relevant consultation process. The submission must include a clear explanation of the topic, the reasons why this topic is relevant to the AUG Statement or AUG Table, any accompanying data or if relevant suggestions as to how the data may be obtained by the AUG Expert. Each topic area submitted by a Code Party will be published (including the details of the Code Party) to the industry as part of the AUG Expert’s consultation response. However specific information provided and marked confidential will not be generally published.

The AUG Expert will publish a written response to the topic detailing whether it is in or out of the scope of work and the rationale to support this, whilst respecting the confidentiality of the original information.

6.3 Code Parties Raising issues for consideration outside of the AUG Statement and AUG Table consultation period.

Code Parties may submit relevant topic areas for consideration by the AUG Expert outside the consultation process. The submission must include a clear explanation of the topic, the reasons why this topic is relevant to the AUG Statement or AUG Table, any accompanying data or suggestions if relevant as to how the data may be obtained by the AUG Expert.

The AUG Expert will publish the submission and a written response to the topic raised outside of the consultation process, detailing whether it is in or out of the scope of work and the rationale to support this, whilst respecting the confidentiality of the original information.

If the AUG Expert considers that the topic is within the scope of its work, it will log the topic and will consider it during the development of the next applicable AUG Statement or AUG Table.

6.4 The Committee – AUG Expert submissions review actions

6.4.1 The AUG Expert shall submit documents e.g. AUG Statement, including the AUG Table, to The Committee for approval.

6.5 Provision of and publication of data

A copy of all data and information requests from the AUG Expert will be published to the industry and will include, but not be limited to the:

- Reason for the request;
- Date the request is made ;
- Information required ;
- Who the request is made to;
- When data is required by.

A record of the outcome of requests will be kept and published (not anonymised). The report will show the response as one of “provided”, “not provided” (i.e. request acknowledged but reason for no provision provided), or “no response to request”.

Where applicable, a copy of the data provided to the AUG Expert by the CDSP will be provided to the industry, generally this will be published on UK Link documents. Where data is confidential or commercially sensitive, the fact that the data had been provided will be published, an organisation may request a copy of the information pertaining to them from the CDSP. For example:

- The AUG Expert requests throughput totals for an LDZ. This would be provided to the AUG Expert and published to the industry.
- The AUG Expert requests a breakdown of Shipper User’s market shares. This would be provided to the AUG Expert and a publication of the provision of this data made to the industry. A Shipper User may then request a copy of the data relating solely to their business.

87. **Creation of AUG Statement Document and other AUG Activities**

7.1 The “**AUG Schedule**” ~~is contained in this~~ section 87.0 covers the activities and timescales for the creation, submission to The Committee and publication of

Commented [DA2]: To review following WG review of the above to ensure that it’s consistent.

Commented [DA3R2]: 17/08/24 - agreed with SM in this section to look at once the WG are happy with v10 (!) of the Mod, and the framework (particularly section 5). Points to pick up in particular:

I think that the UNCC interactions now need to be documented. We need to be very clear about then production of the ‘indicative AUG Table’ at end of year 1.

Please have a think whether this works and the extent to which VA activities can be considered by this point. I think that this is a point that I would be grateful for you to start considering, and we pick up with Steve at some point.

the AUG Statement by the AUG Expert along with the additional activities that the AUG Expert will be responsible for (sections 5.2 and 5.3).

Key: Existing AUGE ~~Timetable Schedule~~ in Black Text. Changes for 0868 shown in Orange Text.

Step	Requirement	Responsible Party	Year	Latest date for delivery
			Each year runs from May through to April the following calendar year.	
1	Establish a timetable for the coming [AUG Period]: book meeting dates for all key industry meetings for the [AUG Period], and communicate those to the industry.	Code Administrator in conjunction with CDSP	Year 1	31-May
2a	<p>Present an overview of the approach to developing the AUGS for the coming [AUG Period] at an Introductory Meeting with the AUG Technical Workgroup, including (but not limited to):</p> <p>Overview of high level approach to the assignment for the [AUG Period] including:</p> <ul style="list-style-type: none"> • Overview of proposed changes to methodology since the previous [AUG Period]; • Summary of Industry issues and key changes (e.g. UNC Mods) since previous year and impact on methodology; • Update on the log of items carried over from the previous [AUG Period]; • Proposed data sources for all causes of UIG to be included in the methodology; • Industry parties may provide feedback on the approach and the data sources, for consideration by the AUGE. 	AUGE	Year 1	Late June/early July
2b	<p>Present an overview of the approach to developing <u>and undertaking</u> [AUG Value-Add Activities] for the coming [AUG Period] at an Introductory Meeting with the AUG Technical Workgroup, including (but not limited to):</p> <p>Overview of high level approach to the assignment for the [AUG Period] including any <u>[AUG VA Activity Initial Proposals]</u> from the AUG Expert on ;</p> <ul style="list-style-type: none"> • <u>UIG Reduction</u> 	AUGE	Year 1	Late June/early July

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	<ul style="list-style-type: none"> • Market Engagement • Discovery / Investigation 			
2c	Provide the industry with [a report / presentation] on Lessons Learnt (where applicable) from the previous [AUG Period], including Continuous Improvement proposals.	AUGE	Year 1 Year 2 Year 3	Quarterly beginning late June/early July
3a	Provide the industry with monthly updates on progress with the development of the AUGS, including but not limited to, progress on Continuous Improvement proposals, availability of data, new topics identified and any key issues which may impact on the Methodology.	AUGE	Year 1 Year 2	Monthly from July to April Year 1: July - April Year 2: May - Sept
3b	Provide the industry with monthly updates on any: a) [AUG VA Activity Initial Proposals] b) [AUG VA Activity / Formal Final Proposals] which are being developed for the additional activities outlined in 5.2-	AUGE	Year 1 Year 2 Year 3	Year 1: July - April Year 2: May - April Year 3: May - Oct
3c	Provide the industry with monthly updates on in progress approved AUG Value-Add Activities which are being undertaken.-	AUGE	Year 1 Year 2 Year 3	Year 1: July - April Year 2: May - April Year 3: May - Oct
3d	Output of all completed approved [AUG Value-Add Activities] to be recorded, next steps determined and owners of next steps allocated.	AUG Technical Workgroup	Year 3	Year 3: Nov - April

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4	<p>Present an update on the development of the AUGS for the coming year at an Early Engagement Meeting with the AUG Technical Workgroup, including (but not limited to):</p> <ul style="list-style-type: none"> • latest view of data sources for all topics and availability of data • update on development of the AUGS and Table for the coming yearAUG Period, including any new items to be added to the Methodology for the coming yearAUG Period. <p>Industry parties may provide feedback on the proposed data sources and outline of the approach.</p>	AUGE	Year 2	Late September/ early October {Year 1} Year 2 Oct
5	<p>Provide an indicative<u>proposed</u> AUG Statement, including indicative AUG Table, to the CDSP for presentation and publication.</p> <p>The indicative<u>proposed</u> AUG Statement and presentation must detail:</p> <ol style="list-style-type: none"> a) How the AUG Expert has adhered to the Generic Terms of Reference b) The methodology to be used by the AUG Expert, and why such a process is considered to be the most appropriate approach ; c) The data has been collated so far for such use, and the methods to be used for acquiring any further data; and d) The Draft<u>indicative</u> UGS Weighting Factors for use in populating the <u>indicative</u> AUG Table. e) Any specific matters the AUGE Expert wishes to draw to the industry's attention 	AUGE	Year 2	1st January Year 2 - 1st Nov
6	<p>At a meeting of the AUG Technical Workgroup, present and discuss the indicative<u>proposed</u> AUG Statement and Table to be held as soon as possible after the proposed<u>indicative</u> AUG Statement document has been published.</p>	AUGE	Year 2	15 January Year 2 - 15th Nov
7	<p>Once the indicative<u>proposed</u> AUGS has been published, any responses to the AUG Expert on the indicative<u>proposed</u> AUG Statement and <u>indicative</u> AUG Table must be received by the CDSP within 21 calendar days<u>*[76 calendar days]</u>. The CDSP will then provide these responses to the AUG Expert as they are received or no later than 1 working day after submission.</p>	Code Parties	Year 2	22 January* Year 2 - 15th Jan

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	* For the preparation of the Statement and Table for Gas Year 2024 only, this date is extended to 31 January 2024 (i.e. 31 calendar days).			
8	The AUG Expert will consider any responses made, and will provide feedback for discussion at a meeting of the AUG Technical Workgroup. * For the preparation of the Statement and Table for Gas Year 2024 only, this date is extended to 16 February 2024.	AUGE	Year 2	15 February* Year 2 - Jan
9	The AUG Expert will review the AUG Statement and Table in light of any comments (received in Steps 7 and 8), and will adjust the <u>indicative</u> AUG Statement and <u>indicative AUG</u> Table where it believes appropriate. The indicative <u>proposed</u> AUG Statement document, as modified by the AUG Expert in accordance with this Step, will be republished the Joint Office of Gas Transporters website.	AUGE	Year 2	5 March Year 2 - Feb
10	At a meeting of the AUG Technical Workgroup, present and discuss the modified <u>indicative</u> AUG Statement and <u>indicative AUG</u> Table, along with any changes made, after the modified <u>indicative</u> AUG Statement document has been published.	AUGE	Year 2	12 March Year 2 - Feb
11	The AUG Expert will review the <u>indicative</u> AUG Statement and <u>indicative AUG</u> Table in light of any comments raised in the meeting referred to in Step 10 and will adjust the <u>indicative</u> AUG Statement and <u>indicative AUG</u> Table where it believes appropriate. For the avoidance of doubt changes can only be made to address specific concerns raised.	AUGE	Year 2	1 April Year 2 - March
12	The AUG Expert will provide the draft <u>indicative</u> AUG Statement and <u>indicative AUG</u> Table following changes made to step 11 to the Joint Office of Gas Transporters for publication.	AUGE	Year 2	Year 2 - Early April
13	The AUG Expert will [obtain] refreshed data to update and create the final AUG Statement and Table. For the avoidance of doubt, no changes will be made to the <u>final</u> AUG Statement and <u>final AUG</u> Table other than the data refresh.	AUGE	Year 3	Year 3 - Nov [6 months before new AUG Period begins]

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12 14	<u>The AUG Expert will provide the final AUG Statement and final AUG Table (as updated in step 13) to the Joint Office of Gas Transporters for publication.</u>	AUGE	Year 3	01/04/2024 Year 3 - 1st Feb
13 15	Attend a meeting to present the final AUG Statement and <u>AUG</u> Table, along with any changes made, prior to the formal meeting of the Committee as described in Step 14. The purpose of the meeting is to inform interested parties, who can provide input to the meeting of The Committee via their elected representatives.	AUGE		5 days prior to the UNCC meeting (Step 14) Year 3 - March
14 16	The Committee will consider the final AUG Statement at its next scheduled meeting. The Committee shall approve the final AUG Statement, in the form presented by the AUG Expert, unless it unanimously agrees changes to any part of the document. The approved AUG Statement will be treated as the final AUG Statement.	UNCC		Year 3 - Scheduled April UNCC meeting
15 17	The Committee will consider the final AUG Table at its next scheduled meeting and will act in accordance with TPD E9.4.3 (h).	UNCC		Year 3 - Scheduled April UNCC meeting
16 18	The Gas Transporters will provide the AUG Table containing the approved UGS Weighting Factors to the CDSP for inclusion in the AUG Table for the coming AUG Year.	GTs		Year 3 - 30th April

7.2 The Committee’s final determination in this process shall be binding on Code Parties.

7.3 If the AUG Expert is unable to prepare final UGS Weighting Factors, for whatever reason, then the Committee will determine the UGS Weighting Factors. The Gas Transporters will notify the CDSP accordingly of the AUG Table containing the approved UGS Weighting Factors.

7.4 At the end of each AUG Year, regardless of any AUG Statement outcome, the CDSP shall seek feedback from the industry, including the AUG Expert, on the activities and performance of the AUG Expert and industry for the creation of the AUG Statement and Table. The CDSP shall produce a report for The Committee.

Commented [SB4]: QUESTION - Can the committee determine the weighting factors themselves, or just advise an interim solution for how the 'gap' in data will be filled? EG rollover of previous period's values?

Commented [DA5R4]: I think that this is an interesting point. I think that this should be changed to reference the roll over of the previous factors. **SM - welcome views here.**

