









UNC Workgroup Report	At what stage is this document in the process?
<h1>UNC 0868:</h1> <h2>Change to the current Allocation of Unidentified Gas Statement frequency & scope</h2>	<div style="display: flex; flex-direction: column; gap: 5px;"> <div style="border: 1px solid #00a651; border-radius: 5px; padding: 5px; display: flex; align-items: center; gap: 5px;"> 01 Modification </div> <div style="border: 1px solid #0072bc; border-radius: 5px; padding: 5px; display: flex; align-items: center; gap: 5px;"> 02 Workgroup Report </div> <div style="border: 1px solid #9933cc; border-radius: 5px; padding: 5px; display: flex; align-items: center; gap: 5px;"> 03 Draft Modification Report </div> <div style="border: 1px solid #ff9900; border-radius: 5px; padding: 5px; display: flex; align-items: center; gap: 5px;"> 04 Final Modification Report </div> </div>
<p>Purpose of Modification:</p> <p>This Modification proposes to extend the current annual process for the production of the Allocation of Unidentified Gas (AUG) Statement to a [Three] [3] yearly process. It also changes the scope of activities due to the extended period available to undertake the production of the Allocation of Unidentified Gas (AUG) Statement.</p>	
<p>Next Steps:</p> <p>The Workgroup asks Panel to agree that this Modification requires further assessment and should be returned to Workgroup.</p> <p>The Panel will consider this Workgroup Report on 15 August 2024. The Panel will consider the recommendations and determine the appropriate next steps.</p>	
<p>Impacted Parties:</p> <p>High: Shippers, Distribution Network Operators, Consumers, Central Data Services Provider (CDSP)</p> <p>None: Independent Gas Transporters and National Gas Transmission</p>	
<p>Impacted Codes: Retail Energy Code (REC)</p>	

Contents		
1	Summary	3
2	Governance	3
3	Why Change?	4
4	Code Specific Matters	4
5	Solution	4
6	Impacts & Other Considerations	6
7	Relevant Objectives	<u>98</u>
8	Implementation	<u>109</u>
9	Legal Text	<u>109</u>
10	Recommendations	<u>1110</u>
11	Appended Representations	<u>1110</u>
12	Appendix 1 - Framework for the Appointment of an Allocation of Unidentified Gas Expert (Working document)	<u>1110</u>
Timetable		
Modification timetable:		
Pre-Modification Discussed		25 January 2024
Date Modification Raised		02 February 2024
New Modification to be considered by Modification Panel		15 February 2024
First Workgroup Meeting		22 February 2024
Workgroup Report to be presented to Modification Panel		15 August 2024
<u>Requested extension (3 months):</u>		
<u>Workgroup Report to be presented to Modification Panel</u>		21 November 2024
Draft Modification Report issued for Consultation		<u>22 November 2024</u>
Consultation Close-out for representations		<u>dd mm 2024</u>
Final Modification Report available for Modification Panel		<u>dd mm 2024</u>
Modification Panel recommendation		<u>dd mm 2024</u>
		 Any questions?
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1 Summary

What

The independent Allocation of Unidentified Gas Expert (AUGE) undertakes an annual exercise to produce the Allocation of Unidentified Gas (AUG) Statement and Table which is the final AUG Table applied from the 1st October for the AUG Year, unless the Uniform Network Code Committee (UNCC) unanimously agree to approve a modified AUG Table or that a further iteration of any step in the process is required (as set out in UNC TPD E 9.4.3). Over recent years we have seen a number of concerns raised over the current arrangements and this has included recent proposals to remove the AUGE process in its entirety. It has been acknowledged that the current arrangements may be subject to diminishing returns as the areas of investigation have remained largely consistent with the current process essentially one of refinement.

Why

If a change is not made, then the efficiency of the current process will continue to diminish to the point where the cost benefit of retaining the independent Allocation of Unidentified Gas Expert in its current form will no longer be efficient

How

The Proposal is therefore to decrease the frequency for the publication of the Allocation of Unidentified Gas (AUG) Statement from Annually to every 3 three years.

We believe this provides a number of benefits: -

Predictable – enhances predictability and little risk of step change if amendments are largely refinement

Stable – enhances stability by providing a longer period of certainty in terms of factors

Transparent – retains the role of the independent expert providing confidence that the factors are set impartially

Enhanced services – by extending the production period we allow for further enhancements including: -

1. Additional Market Engagement allowing the ability to engage with parties outside the immediate industry e.g. Electricity, Water etc.
2. The introduction of a UIG Reduction initiative
3. and Discovery Service replacing the current Innovation and Advisory Services

2 Governance

Justification for Authority Direction

This change is material as it has a significant commercial impact on parties, consumers, or other stakeholder(s); and therefore warrants Authority Direction

Requested Next Steps

This Modification should:

- be considered a material change and not subject to Self-Governance.
- be assessed by a Workgroup.

Workgroup's Assessment

[Not yet discussed at Workgroup as of 25 July 2024.](#)

[Workgroup's Assessment of Governance and whether the Modification meets/continues to meet the Self-Governance criteria.

Insert text here.]

3 Why Change?

The independent Allocation of Unidentified Gas Expert (AUGE) undertakes an annual exercise to produce the Allocation of Unidentified Gas (AUG) Statement which is the final AUG Table applied from the 1st October for the AUG Year, unless the Uniform Network Code Committee (UNCC) unanimously agree to approve a modified AUG Table or that a further iteration of any step in the process is required (as set out in UNC TPD E 9.4.3). Over recent years we have seen a number of concerns raised over the current arrangements and this has included recent proposals to remove the AUGE process in its entirety. It has been acknowledged that the current process may be subject to diminishing returns as the areas of investigation have remained largely consistent with the current process essentially one of refinement. If a change is not made, then the efficiency of the current process will continue to diminish to the point where the cost benefit of retaining the independent Allocation of Unidentified Gas Expert in its current form will no longer be efficient

4 Code Specific Matters

Reference Documents

TBC

Knowledge/Skills

TBC.

5 Solution

The Proposal is therefore to decrease the frequency for the publication of the Allocation of Unidentified Gas (AUG) Statement and Table from Annually (AUG Year) to every three (3) years. It also enables changes to the scope of current activities due to the extended period available to undertake the production of the Allocation of Unidentified Gas (AUG) Statement and Table.

For the avoidance of doubt, as the changes to the Uniform Network Code are relatively minor, the consequential changes will be largely addressed in a revised version of the Framework for the Appointment of an Allocation of Unidentified Gas Expert (Working document) which is included for completeness as Annexe 1 to this Modification

The Business Rule (BR) is set out below:

BR1: Change the requirement for the Allocation of Unidentified Gas (AUG) Statement and Table from being published Annually (current AUG Year) to every three (3) years.

Note 1: As noted above although changes to Code may be limited, we will need to also amend the Framework for the Appointment of an Allocation of Unidentified Gas Expert. To aide understanding the relevant text including amendments is included as Annexe 1 to this Modification

Note 2: **Frequency:** In considering a suitable frequency to replace the existing annual process we have determined that, on balance, increasing the publication from annually to biannually whilst being more efficient that the current annual arrangements is not as efficient as undertaking the activity on a three yearly basis.

Undertaking the activity on a three (3) yearly basis reduces the administrative burden of producing the final table whilst also providing a year's indicative notice of the draft final table giving stakeholders more advance notice of the potential table that will apply from Year three (3) at the end of Year two (2).

Conversely going beyond three (3) years may create too long a period for a table to apply noting the requirement to roll over the final table under the old arrangements. It would also mean a longer delay on the publication and application of the first AUG E table under the new regime.

BR2: The last approved AUG Table will continue to have effect until a new AUG Table is approved

Note 1: **AUG Table Rollover:** We need to ensure that the previously approved AUG Table will continue to be applied until the new AUG Table is approved. This business rule may or may not be superseded if Modification 0873 – Allow specific roll-over for the AUG Table 2025/26 is approved. It is therefore left in for completeness so a cross check on the relevant legal text requirements takes place to ensure suitably addressed i.e. it may not generate new legal text.

BR3: It is proposed that the existing AUG Sub Committee is enhanced so it can oversee the management of the AUG E in relation to its future activities

Note 1: **Role of AUG Sub Committee:** With the proposed change in scope the ability for the existing Committee to proactively manage the AUG E will need to be addressed. This may be considered comparable to the approach taken with other committees e.g. the Performance Assurance Committee which makes decisions in relation to service provider management and expenditure. However, it is important to note that the Committee currently manages the AUG E in relation to the Innovation and Advisory Services funding.

Quoracy: Currently the Terms of Reference for the AUG Sub Committee do not include a **Quoracy** requirement when making decisions and it may be appropriate to introduce such a requirement into the Terms of Reference so that stakeholders are assured that decisions [**if elected voting members are not introduced**] are taken by a sufficiently representative number of parties. Noting issues with obtaining **quoracy** in other meetings and also existing levels of **quoracy** required and also the relevance of these matters to Transporters. It is suggested that **quoracy is set at [2-4] Shippers present** at the meeting.

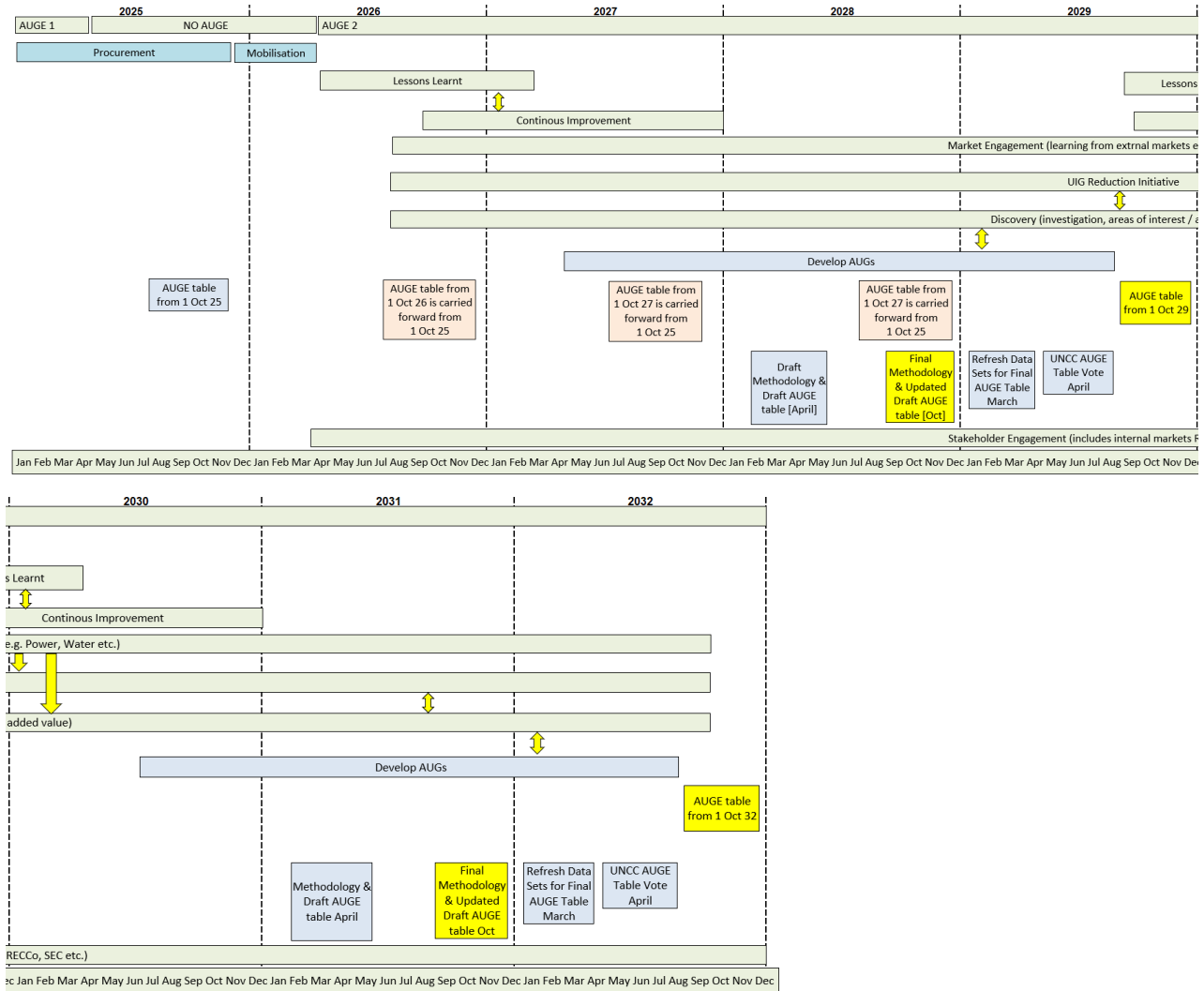
Elected Voting Members: The TEXT in **YELLOW** highlighted below covers the concept of an elected voting membership – noting that a funding route already exists for Innovation & Advisory services this seems onerous as opposed to extending the existing arrangements

1. **Extended role of the AUG Sub Committee:** The AUG Sub Committee in addition to its existing role will [**have elected voting members who will**] be responsible for: Approving or rejecting the development of relevant Proposals from stakeholders and the AUG E in relation to Market Engagement, UIG Reduction Initiative(s) and Discovery Services. If the development of a Proposal is approved, the Committee will also agree a suitable development timetable to ensure the Proposal is developed in a timely fashion and will keep the development of proposals under review to ensure they are efficiently managed. For the avoidance of doubt the Committee will be able to reconsider any Proposal during its development and may choose to amend, discontinue or extend the timetable in relation to the proposal.
2. Supporting relevant stakeholders and the AUG E with the development of the Draft Proposals and associated Funding Requests and Cost Benefit Analysis in relation to Market Engagement, UIG Reduction Initiative(s) and Discovery activities
3. Determining if the final submitted Draft Proposals and associated Funding Requests and Cost Benefit Analysis have merit and approving or rejecting the Proposal. Any decisions made by the [**voting members of the**] Committee will be, **subject to quoracy**, on the basis of a simple majority of those relevant committee members present.
4. Any Proposals that receive final Approval by the Committee and whose final Funding Request is greater than [£xxx] will be subject to further approval by [DSC CoMC / UNCC etc.] For the avoidance of doubt Market Engagement, UIG Reduction Initiative(s) and Discovery Services supersede and amalgamate the existing Innovation and Advisory Services

Note 2: Whilst some of the aspects of the scope extension already form part of the AUG E's current role i.e. the Innovation and Advisory Service the proposal is to set out these areas in the framework in more detail. These areas are Lessons Learnt, Continuous Improvement and Market Engagement, UIG Reduction Initiative & Discovery

Note 3: In relation to Market Engagement, UIG Reduction Initiative & Discovery activities we envisage a common approach across all three areas with a requirement for the AUGE to present a suitable Proposal to the management committee. When sufficiently developed the Proposal alongside a Funding Request and Cost Benefit Analysis would be presented to the management committee. The committee would consider the merits of the proposal(s) and either accept or reject them.

Note 4: An overview of the arrangements is provided below for added context



6 Impacts & Other Considerations

Does this Modification impact a Significant Code Review (SCR) or other significant industry change projects, if so, how?

No.

Consumer Impacts

Proposer's view

We expect any consumer impacts to be positive as this proposal makes the existing arrangements more Predictable, Stable and Transparent.

Predictable – enhances predictability and little risk of step change if amendments are largely refinement

Stable – enhances stability by providing a longer period of certainty in terms of factors

Transparent – retains the role of the independent expert providing confidence that the factors are set impartially

Workgroup’s view

Not yet discussed at Workgroup as of 25 July 2024.

What is the current consumer experience and what would the new consumer experience be?

Proposer’s view

As noted above the improvement in the arrangements would aid Predictability, Stability and Transparency

Impact of the change on Consumer Benefit Areas: Not yet discussed at Workgroup as of 25 July

Area	Identified impact
Improved safety and reliability	None
Lower bills than would otherwise be the case	None
Reduced environmental damage	None
Improved quality of service	None
Benefits for society as a whole	None

Performance Assurance Considerations

Not yet discussed at Workgroup as of 25 July 2024.

[The Workgroup must:

- *identify and assess any impact to Settlement and inform the PAC Chair and Secretary impact.*
- *consider any areas which PAC will need to monitor as a result of implementation if this Modification*

If ‘none’, please explain.

Insert text here.]

Cross-Code Impacts

Not yet discussed at Workgroup as of 25 July 2024.

[The Workgroup is to identify and assess any other impacted energy code – a full list is available in the CACoP (Ofgem) - and the extent of those impacts e.g. a similar modification has been raised in another Code.

Insert text here.]

EU Code Impacts

Not yet discussed at Workgroup as of 25 July 2024.

[The Workgroup is to identify and assess any other impacted EU energy code

Insert text here.]

Central Systems Impacts

Not yet discussed in detail at Workgroup as of 25 July 2024.

[The Workgroup must provide an assessment of the impacts on central systems (inc. Gemini and UK Link) that may be affected; this will be supported by further input from the Central Data Services Provider (Xoserve) later in the process. If 'none', please also explain.

Insert text here.]

Rough Order of Magnitude (ROM) Assessment *(Cost estimate from CDSP)*

Not yet discussed in detail at Workgroup as of 25 July 2024.

A ROM request is expected to be made at Workgroup 0868 in September 2024.

Cost estimate from CDSP where the Modification relates to a change to a CDSP Service Document

Insert text here.

OR

Rough Order of Magnitude (ROM) Assessment *(Workgroup assessment of costs & lead times)*

Cost estimate from CDSP	Insert text here
Insert Subheading here	Insert text here

Initial Representations

None Received.

Panel Questions *(Joint Office to complete)*

Q1. Consider costs/benefits of 3 years as against say 2 or 4 years?

Not yet discussed in detail at Workgroup as of 25 July 2024.

[The Workgroup must provide an assessment against each of the Panel Questions

Insert text here]

Workgroup Impact Assessment *(Joint Office to complete)*

Workgroup has met on the following occasions:

- Workgroup 0868 06 March 2024
 - Workgroup 0868 03 April 2024 Postponed
- Workgroup 0868 01 May 2024
 - Workgroup 0868 05 June 2024 Cancelled
- Workgroup 0868 27 June 2024
- Workgroup 0868 25 July 2024

At Workgroup on 25 July 2024, there was considerable discussion around BR3. Some points were agreed, including the suggestion to use UNCC for approval of spend proposals resulting from expanded scope of the AUGER role (Market Engagement, UIG Reduction Initiative & Discovery activities).

As of 25 July 2024, Workgroup has not finished its work in assessing the Modification.

The Workgroup asks Panel to agree that this Modification requires further assessment and should be returned to Workgroup.

The remaining areas for discussion are reasonably large:

- Consideration of amendments to proposed Framework in light of decisions taken around the use of UNCC for approval of spend proposals resulting from expanded scope of the AUGÉ role.
- Systems impact, noting a ROM is expected to be requested at 22 August 2024 Workgroup meeting.
- Legal Text, noting the Legal Text Provider indicated at Workgroup on 25 July that the Modification was not yet stable enough to begin the Legal Text drafting process.

Reference Documents *(Joint Office to complete)*

Not yet discussed at Workgroup as of 25 July 2024.

Workgroup to consider if changes will be required to any Code Related Documents or Guidance Documents and whether such proposed modifications shall be submitted to the Uniform Network Code Committee and considered by the Uniform Network Committee or any relevant sub-committee where the Uniform Network Code Committee so decide by majority vote in accordance with the requirements set out in paragraph 12 of Section V of the UNC Transportation Principal Document

Insert text here.

7 Relevant Objectives

Impact of the Modification on the Transporters' Relevant Objectives:	
Relevant Objective	Identified impact
a) Efficient and economic operation of the pipe-line system.	None
b) Coordinated, efficient and economic operation of (i) the combined pipe-line system, and/ or (ii) the pipe-line system of one or more other relevant gas transporters.	None
c) Efficient discharge of the licensee's obligations.	None
d) Securing of effective competition: (i) between relevant shippers; (ii) between relevant suppliers; and/or (iii) between DN operators (who have entered into transportation arrangements with other relevant gas transporters) and relevant shippers.	Positive
e) Provision of reasonable economic incentives for relevant suppliers to secure that the domestic customer supply security standards... are satisfied as respects the availability of gas to their domestic customers.	None

f) Promotion of efficiency in the implementation and administration of the Code.	None
g) Compliance with the Regulation and any relevant legally binding decisions of the European Commission and/or the Agency for the Co-operation of Energy Regulators.	None

Proposer’s view

The Modification furthers Relevant Objective d) Securing of effective competition:

- (i) between relevant shippers.
- (ii) between relevant suppliers; and/or
- (iii) between DN operators (who have entered into transportation arrangements with other relevant gas transporters) and relevant shippers.

As it reconciles UIG more efficiently than the current process it thereby furthers effective competition.

This Modification also furthers Relevant Objective f) Promotion of efficiency in the implementation and administration of the Code as it introduces a change in the frequency of the production of the AUGs which is a more efficient outcome than the current arrangements

Workgroup Assessment of Relevant Objectives

Not yet discussed at Workgroup as of 25 July 2024.

[The Workgroup must provide an assessment of how the Relevant Objectives are furthered and respond to what the proposer has provided

Insert text here]

8 Implementation

Proposer’s view

We would propose that this Modification should be implemented as soon as reasonably practicable taking into account the ongoing AUG activities and relevant contractual implications.

Workgroup view:

Not yet discussed at Workgroup as of 25 July 2024.

9 Legal Text

Legal text will be drawn up by the relevant Transporter at a time when the modification is sufficiently developed in line with the [Legal Text Guidance Document](#).

Legal Text has not yet been provided by Cadent and when it has been received it will be [included below/published alongside this report].

Workgroup Assessment

Workgroup’s Assessment of the proposed changes and how these meet the intent of the Solution.

As of 25 July 2024, the Workgroup has not yet had the opportunity to consider the Legal Text.

Text Commentary

Not yet discussed at Workgroup as of 25 July 2024.

Text

Not yet discussed at Workgroup as of 25 July 2024.

Consider review of UNC Related Documents

Not yet discussed at Workgroup as of 25 July 2024.

10 Recommendations

Workgroup's Recommendation to Panel

The Workgroup asks Panel to agree that this Modification requires further assessment and should be returned to Workgroup with a report back to the November 2024 Panel.

11 Appended Representations

xxx

12 Appendix 1 - Framework for the Appointment of an Allocation of Unidentified Gas Expert (Working document)

Framework for the Appointment of an Allocation of Unidentified Gas Expert

Document Control

Version	Date	Reason for Change
2.0	17 June 2010	Approved by Uniform Network Code Committee
3.0	24 February 2011	Additions following first AUG Expert appointment process
4.0	19 March 2014	Updated to take account of UNC Modification 0473
4.1	28 March 2014	Updated to take account of 19 March Meeting
4.2	01 April 2014	Updated to take account of Xoserve comments
4.3	14 April 2014	Updated to take account of 02 April meeting
5.0	15 April 2014	Baselined
5.1	06 May 2014	Incorporation of Transition process.
5.2	12 May 2014	Update of Transition table
6.0	06 June 2014	Baselined and minor corrections
6.1	22 July 2014	Minor corrections
6.2	19 August 2014	Minor corrections
6.3	29 August 2014	Change to clause 6.5.2
6.4	02 October 2014	Minor corrections
6.5	21 January 2016	Updated to align with UNC Modification 0473
7.0	20 April 2017	Change to clause 7.1.8
8.0	18 January 2018	Change to clause 5.1.2
8.1	08 June 2018	Updated to align with UNC Modification 0565 Central Data Service Provider: General framework and obligations. Plus proposed changes as discussed under Review Group 0639, updated following May and June RG Meetings.
8.2	24 March 2023	Delete “For the avoidance of doubt” paragraph situated below 5.1.2 within the section titled 5. Generic Terms of Reference for Appointed AUGE.
9.0	18 May 2023	Change to clause 5.1.2 Approved by Uniform Network Code Committee.

10.0	01 October 2023	<p>Change to 5.1.10 to add a ‘for avoidance of doubt’ statement which confirms the equalisation of allocation factors for specific pairs of Categories of System Exit Points as instructed by Urgent Modification 0840 and confirmation that the AUGE will share both the final equalised version and the pre-equalised tables.</p> <p>Approved by UNCC on 20 July and to go live on 01 October 2023.</p>
10.1	12 January 2024	<p>Update to Section 7.1, Steps 7 & 8, to give a one-off extension to timescales for consultation responses and February AUG Sub-Committee meeting. This extension was discussed at the January 2024 AUG Sub-Committee meeting. It accommodates Ofgem’s published timetable for a decision on UNC Modifications 0831 and 0831A, which relate to UIG Allocation rules in UNC.</p> <p>For consideration at UNCC on 18 January 2024, for immediate implementation.</p>
<u>10.2</u>	<u>July/Aug 2024</u>	<u>[detail to be inserted]</u>

Development of Rules

- 1 The requirement to publish the “**Allocation of Unidentified Gas Document**” is specified in Section V12.2 of the Transportation Principal Document (TPD) of the Uniform Network Code (UNC). This section also provides for the document to be published and revised from time to time. The provision reads:
“Each Document shall be kept up to date and published by the Transporters on the Joint Office of Gas Transporters website
- 2 The Rules set out below meet the Gas Transporter’s obligation to prepare the Framework, while the Document Control Section records changes which have been made to the Framework. The document is published on the Joint Office of Gas Transporters website, www.gasgovernance.co.uk
- 3 This Framework can only be modified in accordance with the requirements set out in paragraph 12 of Section V of the UNC Transportation Principal Document, which reads as follows:

“UNIFORM NETWORK CODE – TRANSPORTATION PRINCIPAL DOCUMENT SECTION V – GENERAL

12 GENERAL PROVISIONS RELATING TO UNC RELATED DOCUMENTS

12.1 Purpose

The purpose of this Section is to establish generic governance arrangements in respect of the following UNC Related Documents (each a “**Document**” and collectively the “**Documents**”):-

- a) Network Code Operations Reporting Manual as referenced in Section V9.4;
- b) Network Code Validation Rules referenced in Section M5.3.3;
- c) ECQ Methodology as referenced in Section Q6.1 .1(c);
- d) Measurement Error Notification Guidelines for NTS to LDZ and LDZ to LDZ Measurement Installations as referenced in OAD Section D 3.1.5;
- e) the Allocation of Unidentified Gas Document referenced in Section E9.1.1;
- f) the Customer Settlement Error Claims Process Guidance Document referenced in Section E1.3.10; and
- g) the Performance Assurance Framework Document referenced in paragraph 16.1.1(d).

12.2 Publication Requirements

Each Document shall be kept up to date and published by the Transporters on the Joint Office of Gas Transporters website.

12.3 Modifications

Should a User or Transporter wish to propose modifications to any of the Documents, such proposed modifications shall be submitted to the Uniform Network Code Committee and considered by the Uniform Network Code Committee or any relevant sub-committee where the Uniform Network Code Committee so decide by majority vote.

12.4 Approved Modifications

12.4.1 In the event that a proposed modification is approved by a majority vote of the Uniform Network Code Committee, the modification shall be implemented. Where the Uniform Network Code Committee fails to achieve majority approval the proposed modification shall be considered in accordance with the provisions set out in Section 7 of the Uniform Network Code Modification Rules unless the Uniform Network Code Committee determines otherwise.

12.4.2 Each revised version of a Document shall be version controlled and retained by the Transporters. It shall be made available on the Joint Office of Gas Transporters website.

Contents

1.	Definitions	6
2.	The Framework	7
3.	Responsibilities under the tender process for the position of AUG Expert	8
4.	Tendering process	10
5.	Generic Terms of Reference for Appointed AUG Expert	13
6.	Responsibilities for the creation of the AUG Statement	17
7.	Creation of AUG Statement Document	17
8.	Transition Provisions	17

1. Definitions

1.1 Unless otherwise stated, terms in this “**AUGE Document**” entitled “**Framework for the Appointment of an Allocation of Unidentified Gas Expert**” (“this Framework”) shall have the meanings given to them in the Uniform Network Code (“UNC”). Such terms will be capitalised within quotation marks where first used in the Framework.

1.2 In this Framework:

“**The Committee**” - The Uniform Network Code Committee (UNCC), or an authorised technical workstream or sub committee appointed by the UNCC to administer the AUG Expert process.

“**Code Parties**” – signatories to the Uniform Network Code

“**Generic Terms of Reference**” – the standard terms of reference to be applied as basis of the contracts between the Central Data Services Provider (the “CDSP”) and the “**AUG Expert**” for the compilation of an “**AUG Statement**” and any additional “**AUG Value-Add Activities**”.

“**Stakeholder Evaluation Sub-Committee**” – a group of interested parties (including the CDSP), drawn from or nominated by The Committee, who will participate in the development of procurement materials (including terms and conditions) and the selection of a preferred tenderer for the role of AUG.

“**AUG Technical Workgroup**” – an open meeting of interested parties, at set points throughout the annual cycle, to review and provide guidance/support to the AUG and discuss issues raised relating to the AUG Methodology and Table

2. The Framework

The process described here sets out:

- ~~i.~~ i. ~~The means by which the AUG Expert is appointed;~~
- ~~ii.~~ ii. ~~How the AUG Statement is published on the Joint Office of Gas Transporters website (www.gasgovernance.co.uk)~~and;~~~~
- ~~iii.~~ iii. ~~Outlines the high level process to be followed for the creation of a methodology to calculate the “UGS Weighting Factors” to apportion Unidentified Gas;~~
- ~~iv.~~ iv. ~~Outlines the high level process to be followed for the creation of [AUGE Value-Add Activities] in the areas of Market Engagement, UIG Reduction Initiatives or Discovery Activities. =~~

3. Responsibilities under the tender process for the position of AUG Expert

3.1 The Committee will delegate its obligations for the definition of criteria for appointment and for overseeing the selection process to the Stakeholder Evaluation Sub-Committee.

3.2 The Stakeholder Evaluation Sub-Committee will:

3.2.1 Produce a clear set of criteria for the appointment of the AUG Expert detailing (without limitation):

- (a) the ability of the AUG Expert to produce a methodology and subsequent AUG Statement which shall be in line with the Generic Terms of Reference, contained in this Framework plus any other criteria agreed by The Committee;
- (b) the evaluation of the cost of undertaking the role of the AUG Expert over the period stated in the tender document;
- (c) the consideration of the relevant knowledge and expertise of the candidates;
- (d) ability of the prospective AUG Experts to follow and take into account relevant industry developments; and
- (e) Details of how much weight/percentage should be placed for each of the criteria when assessing each tender.
- (f) Terms and Conditions under which the service will be procured

3.3 The Stakeholder Evaluation Sub-Committee will:

3.3.1 use the criteria developed under 3.2.1 to assess each submitted tender bid;

3.3.2 where more than one prospective candidate responds to the tender for the position of AUG Expert (“the Interested Parties”) the Stakeholder Evaluation Sub-Committee shall:

- (a) Assess the Interested Parties from the criteria specified in the tender document;
- (b) Select and appoint the appropriate Interested Party as the “Prospective AUG Expert”.

3.3.3. organise any meetings held in relation to the AUG Expert appointment;

- 3.3.4 provide legal resource to prepare a tender document;
- 3.3.5 organise the advertisement of the tender to all Interested Parties, in accordance with national and European legislation;
- 3.3.6 communicate to Code Parties the progress and outcome of the tender process;
- 3.3.7 invite the Prospective AUG Expert to take up the appointment;
- 3.3.8 where the selected AUG Expert does not accept the appointment, invite the next most favoured AUG Expert in turn; and
- 3.3.9 upon acceptance of appointment, execute a contract with the Prospective AUG Expert, in accordance with the Generic Terms of Reference.

4. Tendering process

4.1 This section details the processes undertaken by the CDSP, to appoint an AUG Expert using a tender process.

4.1.1 The Stakeholder Evaluation Sub-Committee will prepare a tender document to be sent when required to all Interested Parties.

4.1.2 The tender will detail:

- (a) that the aim of the tender is to appoint a Party, the AUG Expert, to compile a publicly available methodology and the AUG Statement;
- (b) that the AUG Expert will use the methodology to populate the “AUG Table” for an “AUG ~~Year~~Period” within the UNC;
- (c) that the AUG Expert must provide the necessary information to the Gas Transporters in good time to allow the publication of the final AUG Table no later than the 1st April each year;
- (d) the AUG Expert must hold a public consultation to provide an opportunity to allow Code Parties to discuss the methodology and the AUG Statement, including the AUG Table, in accordance with the timetable contained within this Framework;
- (e) the requirement to allow Code Parties to submit representations and queries with regard to the methodology and the AUG Statement, including the AUG Table, in accordance with the timetable contained within this Framework;
- (f) that the AUG Expert must consider adjusting the methodology and the AUG Statement and AUG Table, in response to those representations;
- (g) that the AUG Expert must adhere to the Generic Terms of Reference contained within this Framework, as well as any other criteria The Committee notifies to the CDSP prior to the tender document being issued; and
- (h) that the AUG Expert maintain good relations with The Committee and the CDSP, to be available for discussion with Code Parties on any relevant issues, and to answer any general queries promptly.

4.1.3 When issued, the tender will be for an initial term agreed by the Stakeholder Evaluation Sub-Committee, with an option for extension.

4.1.4 The CDSP will administer the tender process and will conduct it in accordance with all relevant legislation and generally accepted best practice.

4.1.5 The tender will be set out to ensure that each tender response will in particular detail:

- (a) how the prospective AUG Expert will comply with the Generic Terms of Reference and any other criteria agreed prior to the tender;
- (b) an outline of the method to be used by the prospective AUG Expert, and why such a proposed solution would be suitable;
- (c) an outline of the data that would need to be collated for such use, and the methods to be used for acquiring such data;
- (d) the likely time for such work to be carried out;
- (e) the cost of performing the services;
- (f) contact details that a Code Party may use to query any aspect of the tender or the methodology and the AUG Statement or AUG Table when produced. The AUG Expert shall confirm to the CDSP before their appointment that they do not hold any interest or duty which would or potentially would conflict with the performance of their duties under their contract with the CDSP; and
- (g) their independence and impartiality, and their process for identifying and managing conflicts of interest during the lifetime of the contract;
- (h) their ability to interact with other relevant industry bodies and experts across the Gas and Electricity industries to ensure they can benefit from broader industry expertise;
- j) their acceptance of the proposed terms and conditions under which the service will be provided.

4.1.6 Once all tenders have been received the Stakeholder Evaluation Sub-Committee will select in accordance with the previously determined evaluation criteria and weightings the top-ranked tender for appointment.

- 4.1.7 Once the tender process has been completed the CDSP will use reasonable endeavours to contract with the highest ranked acceptable party that wishes to become the AUG Expert by the 1st June. If the CDSP fails to execute a contract with the highest ranked party, it will consult with the Stakeholder Evaluation Sub-Committee about the next steps, which could include contracting with the next highest ranked party.
- 4.1.8 In the event that the 1st June deadline is not met, The Committee will decide by a majority vote on whether to:
- (a) maintain the current AUG Statement for another year in which case the Gas Transporters will notify the CDSP; or
 - (b) grant additional time to the CDSP and the Stakeholder Evaluation Sub-Committee on the understanding that any additional time granted will not jeopardise the publication of the proposed AUG Statement by 1st January of the following year.
- 4.1.9 Alternatively by unanimous vote to apply UGS Weighting Factors that The Committee decides as appropriate, in which case the Gas Transporters will notify the CDSP of such factors;
- 4.1.10 Once the tender process has been completed and the CDSP has contracted with an interested party to be the AUG Expert, the Stakeholder Evaluation Sub-Committee will review the tender process, and detail those improvements to The Committee for possible incorporation into this document.

5. Generic Terms of Reference for Appointed AUG Expert

Sections in green are amendments added in by the proposer within the Modification 0868 documentation and are not currently live in the AUG Framework.

5.1 This section will include the main principles the AUG Expert will operate under, once appointed in the creation of the AUG Statement and AUG Table.

- 5.1.1 The AUG Expert will create the AUG Statement and AUG Table by developing appropriate, detailed methodologies **undertaking relevant discovery, engagement, investigation analysis** and collecting necessary data.
- 5.1.2 The decision as to the most appropriate methodologies and data will rest solely with the AUG Expert taking account of any issues raised **if relevant** during the **discovery, engagement,** development and compilation of the AUG Statement and AUG Table.
- 5.1.3 The AUG Expert, **acting as a reasonable and prudent operator,** will determine what data is required from Code Parties (and other parties as appropriate) in order to ensure it has sufficient data to support the evaluation of Unidentified Gas.
- 5.1.4 The AUG Expert will determine what data is necessary from parties in order to ensure it has appropriate data to support the evaluation of Unidentified Gas.
- 5.1.5 The AUG Expert will determine what relevant questions should be submitted to Code Parties in order to ensure appropriate methodologies and data are used in the evaluation of unidentified error.
- 5.1.6 The AUG Expert will use the latest data available where appropriate.
- 5.1.7 Where multiple data sources exist the AUG Expert will evaluate the data to obtain the most statistically sound solution, will document the alternative options and provide an explanation for its decision.
- 5.1.8 Where data is open to interpretation the AUG Expert will evaluate the most appropriate methodology and provide an explanation for the use of this methodology.
- 5.1.9 Where the AUG Expert considers using data collected or derived through the use of sampling techniques, then the AUG Expert will consider the most appropriate sampling technique and/or the viability of the sampling technique used.

5.1.10 The AUG Expert will present at a meeting the AUG Statement, including the AUG Table, in draft form (the “proposed AUG Statement”), to Code Parties seeking views and will review all the issues identified submitted in response.

[Note: if we are introducing the early sight of the AUG Table then we should probably include this requirement here.]

For the avoidance of doubt, allocation factors for prepayment and non-prepayment End User Categories (EUC) in the same sector and product class will be equal. This will be achieved through the AUG Expert equalising the allocation factors for the listed pairs of Categories of System Exit Points at the end of the process:

1ND & 1PD
2ND & 2PD
1NI & 1PI
2NI & 2PI

For the avoidance of doubt, within the proposed AUG Statement, the AUG Expert will also include a table prior to the equalisation of the allocation factors for the list pairs of Categories of System Exit Points (detailed above), being applied.

5.1.11 The AUG Expert will provide the AUG Statement, including the AUG Table, to the Gas Transporters for publication who will then provide the AUG Statement and Table to the CDSP.

5.1.16~~2~~ The AUG Expert will compile the methodology and AUG Statement and AUG Table in accordance with this Framework.

5.2 This section will include the main principles the AUG Expert will operate under, once appointed in the creation of all [“AUG Value-Add Activities”].

5.2.1[“AUG Value-Add Activities”] are defined as activities which fall under one of the following three sub-categories:

- i. Market Engagement**
- ii. Discovery Services**
- iii. UIG Reduction Initiative(s)**

5.2.2 The AUG Expert will, over the course of the AUG period, prepare [Initial Proposal/s] which fall within any of the above categories. The number of [Initial Proposal/s] expected to be presented throughout the AUG period is undefined, as it will be up to the AUG Expert to justify why an individual [Initial Proposal] holds merit.

5.2.2.1 All [Initial Proposals] will be presented to the AUG Technical Workgroup for review.

5.2.3 It is expected that an [Initial Proposal] will contain the following:

- i. High level hypothesis;
- ii. Projected outcome/s;
- iii. Time and effort to create the [Formal Proposal].

Please note this is not an exhaustive list and the AUG Expert can include further details not listed above that may aid their [Initial Proposal].

5.2.3.1 Time and effort required to develop a [Formal Proposal] will be funded as per the contract terms between the CDSP and the AUG Expert.

5.2.4 [Initial Proposal/s] approved for development into [Formal Proposal/s] by the AUG Technical Workgroup, will be worked on by the AUG Expert and presented back to the AUG Technical Workgroup for further assessment. A [Formal Proposal] is expected to include:

- i. Objectives of the [Formal Proposal];
- ii. Measurable deliverables and delivery milestones within the [Formal Proposal] which would be the outputs of any approved [AUG Value-Add Activity].
- iii. Data required, expected sources of data. Any dependencies on other parties to gain access to data required.
- iv. A funding request which clearly outlines the expected benefits, which should include a cost benefit analysis where applicable, of the [Formal Proposal] and subsequent [AUG Value-Add Activity] if approved. For details on how funding requests are approved see [6.1.2.4] within this Framework.

5.2.5 The AUG Expert can only begin work on a [AUG Value-Add Activity] once the [Formal Proposal] for said activity has been approved via the necessary channels.

5.2.6 The AUG Expert will report back to the AUG Technical Workgroup at regular intervals as per the timeline agreed with the Workgroup as to the progress of the [AUG Value-Add Activity]. A high-level overview of when the regular updates may occur can be found in section 8.0 within this Framework. For the avoidance of doubt, for individual AUG VA Activities it may be necessary to review progress more frequently via additional feedback points, the dates in the schedule in 8.0 are a minimum number of review points.

5.2.7 Once complete, the AUG Expert will present the output of their “AUG Value-Add Activity” to the AUG Technical Workgroup and to any other interested industry workgroups (subject to the relevant data permission approvals being in place. If necessary, data will be anonymised).

5.3 This section will include the main principles the AUG Expert will operate under for Lessons Learned and Continuous Improvement Activities.

5.3.1 The AUG Expert will be required to provide a high-level overview of “Lessons Learned” during the course of the previous “AUG Period”.

i. “Lessons Learned” is a reflection by the AUG Expert on the previous “AUG Period” and how they carried out their roles and responsibilities as outlined in this Framework. It is expected that this will include detail around ‘successes’, ‘areas for growth’, and ‘potential for knowledge sharing within the industry and further afield’ (where relevant). Lessons learned may form the basis for a future AUG Value-Add Activity.

5.3.2 The AUG Expert will use the “Lessons Learned” from the previous AUG Period to outline potential areas of “Continuous Improvement.” The AUG Expert will outline to the AUG Technical Workgroup, how these Continuous Improvements will be implemented in the next AUG Period whether that be during the creation of the AUG Statement and AUG Table and/or the AUG Value-Add Activities.

5.3.3 The AUG Expert will need to demonstrate at regular intervals throughout the “AUG Period” that they are applying the “Continuous Improvement/s” that they highlighted at the start of that “AUG Period”.

5.3.4 The timescales for “Lessons Learned” and “Continuous Improvement” activities are outlined in section 8.0.

5.4 This section will include the main data handling principles expected of the AUG Expert during the course of their activities.

~~5.14.13~~ 5.14.13 The AUG Expert will ensure that all data that is provided to it by parties will not be passed on to any other organisation, or used for any purpose other than the creation of the methodology and the AUG Statement and Table.

~~5.14.14~~ 5.14.14 The AUG Expert shall ensure that all data provided by Code Parties will be held confidentially, and where any data, as provided or derived from that provided, is published then it shall be in a form where the source of the information cannot be reasonably ascertained.

~~5.14.15~~ 5.14.15 ——— The AUG Expert will act with all due skill, care and diligence when performing of its duties as the AUG Expert and shall be impartial when undertaking the function of the AUG Expert, ensuring that any values derived will be equitable in their treatment of Code Parties.

~~5.1.16 The AUG Expert will compile the methodology and AUG Statement and AUG Table in accordance with this Framework.~~

6.0 Roles and Responsibilities of the AUG Technical Workgroup:

6.1 The Role of the AUG Technical Workgroup is to proactively manage the AUG. Management of the AUG will entail:

6.1.1 Ensuring the **AUG Statement** and **AUG Table** is produced in a timely manner as per this Framework document, and specifically as per 5.1 and the schedule in section 8.0.

6.1.2 The management of **AUG Value-Add Activities** as outlined in 5.2.

6.1.2.1 Review of [Initial Proposal] from the AUG in relation to:

- i. **Market Engagement**
- ii. **UIG Reduction Initiative(s)**
- iii. **Discovery Services**

6.1.2.2 To determine if an [Initial Proposal] for one of the three areas above should be developed into a [Formal Proposal] by the AUG. If the Technical Workgroup agrees to direct the AUG to develop a [Formal Proposal], the AUG shall do so as per mutually agreed timescales. All timescales must be documented by the Technical Workgroup. [Funding process – TBC]

6.1.2.3 To determine if a [Formal Proposal] from the AUG should be approved or rejected and to document the reason/s for approval or rejection. Please refer to section 5.2.3 for details on what the AUG **must include** within a [Formal Proposal] to allow the Technical Workgroup to make an informed decision. Feedback must be given to the AUG to aid development of any future [Initial or Formal Proposal/s]. For the avoidance of doubt, an approved proposal should be defined as an [AUG Value-Add Activity].

6.1.2.4 To agree to the release of funds for an approved [Formal Proposal] where the funding request is no greater than [insert value]. [Funding process – TBC]

6.1.2.5 To take any [Formal Proposals] to the Committee for final Approval when the Funding Request is greater than [insert value]. Such a [Formal Proposal] will only proceed and funds be released to the AUG Expert subject to approval from the Committee. The Committee reserves the right to reject the [Final Proposal] if the Committee does not believe the funding request has merit. [Funding process – TBC]

6.1.2.6 To discuss and agree with the AUG the required measurable deliverables which should be outputs of the [AUG Value-Add Activity] once the [Formal Proposal] has passed all required approvals.

6.1.2.7 To set a suitable development timetable for the [AUG Value-Add Activity] to ensure that the [AUG VA Activity] progresses in a timely fashion. Timeslots for the AUG Expert to present progress reports will be provided monthly at the AUG Technical Workgroup.

6.1.2.7 To review the progress of the [AUG Value-Add Activity] against the measurable deliverables previously agreed and against the agreed development timetable at the monthly AUG Technical Workgroup.

6.1.2.8 To give regular feedback to the AUG Expert on the progress of the [AUG Value-Add Activity] against the measurable deliverables. The AUG Expert will then be expected to take on board any feedback given by the AUG Technical Workgroup, [and where possible,] incorporate this feedback into the [AUG VA Activity].

6.1.2.9 To curtail any further development of the [AUG Value-Add Activity] if the progression of the [AUG VA Activity] has consistently failed to meet the measurable deliverables and/or delivery milestones agreed within the [Formal Proposal]. This will be done by formal instruction to the AUG Expert to cease work on the [AUG Value-Add Activity]. For the avoidance of doubt, this shall be at the AUG Technical Workgroup's discretion.

6.1.2.10 To receive and review final outputs of the [AUG Value-Add Activity]. To determine whether:

- i. The agreed measurable deliverables and delivery milestones have been met by the AUG Expert;
- ii. Any necessary future steps are required. Ie. Modification / Change Proposal / Presentation of outputs to PAC etc... (For avoidance of doubt, this is not an exhaustive list);
- iii. Any outputs of the [AUG VA Activity] can be shared in the public sphere subject to any anonymisation of data that may be required.

6.1.2.11 To provide the AUG Expert feedback on the output of the [AUG Value-Add Activity].

6.1.3 The management of **AUG Lessons Learned and Continuous Improvement Activities** as outlined in 5.3. The timescales for the AUG Expert to provide details on Lessons Learned and Continuous Improvement activities can be found in the schedule in section 8.0.

67. Responsibilities for the creation of the “AUG Statement” and “AUGE Value-Add Activities”

67.1 Code Parties provision of data or information to the AUG Expert

Where, the AUG Expert requests data from Code Parties for the purpose of preparing the AUG Statement ~~and~~ Table or approved AUG Value-Add Activity, the Code Parties shall use all reasonable endeavours to provide the information requested within the timescales requested. Such timescales having being previously notified to the Code Parties.

67.2 Code Parties Raising issues for consideration during the AUG Statement and AUG Table consultation period.

Code Parties may submit relevant topic areas for consideration by the AUG Expert during the consultation process. The submission must include a clear explanation of the topic, the reasons why this topic is relevant to the AUG Statement or AUG Table, any accompanying data or if relevant suggestions as to how the data may be obtained by the AUG Expert. Each topic area submitted by a Code Party will be published (including the details of the Code Party) to the industry as part of the AUG Expert’s consultation response. However specific information provided and marked confidential will not be generally published.

The AUG Expert will publish a written response to the topic detailing whether it is in or out of the scope of work and the rationale to support this, whilst respecting the confidentiality of the original information.

6.37.3 Code Parties Raising issues for consideration outside of the AUG Statement and AUG Table consultation period.

Code Parties may submit relevant topic areas for consideration by the AUG Expert outside the consultation process. The submission must include a clear explanation of the topic, the reasons why this topic is relevant to the AUG Statement or AUG Table, any accompanying data or suggestions if relevant as to how the data may be obtained by the AUG Expert.

The AUG Expert will publish the submission and a written response to the topic raised outside of the consultation process, detailing whether it is in or out of the scope of work and the rationale to support this, whilst respecting the confidentiality of the original information.

If the AUG Expert considers that the topic is within the scope of its work, it will log the topic and will consider it during the development of the next applicable AUG Statement or AUG Table.

6.47.4 The Committee – AUG Expert submissions review actions

6.4.17.4.1 The AUG Expert shall submit documents e.g. AUG Statement, including the AUG Table, to The Committee for approval.

6.57.5 Provision of and publication of data

A copy of all data and information requests from the AUG Expert will be published to the industry and will include, but not be limited to the:

- Reason for the request;
- Date the request is made ;
- Information required ;
- Who the request is made to;
- When data is required by.

A record of the outcome of requests will be kept and published (not anonymised). The report will show the response as one of “provided”, “not provided” (i.e. request acknowledged but reason for no provision provided), or “no response to request”.

Where applicable, a copy of the data provided to the AUG Expert by the CDSP will be provided to the industry, generally this will be published on UK Link documents. Where data is confidential or commercially sensitive, the fact that the data had been provided will be published, an organisation may request a copy of the information pertaining to them from the CDSP. For example:

- The AUG Expert requests throughput totals for an LDZ. This would be provided to the AUG Expert and published to the industry.
- The AUG Expert requests a breakdown of Shipper User’s market shares. This would be provided to the AUG Expert and a publication of the provision of this data made to the industry. A Shipper User may then request a copy of the data relating solely to their business.

7

8. Creation of AUG Statement Document and other AUG Activities

8.1 This section covers the activities and timescales for the creation, submission to The Committee and publication of the AUG Statement by the AUG Expert along with the additional activities that the AUG Expert will be responsible for (sections 5.2 and 5.3).

Key: Existing AUG Timetable in Black Text. Changes for 0868 shown in Orange Text.

Step	Requirement	Responsible Party	Year	Latest date for delivery
1	Establish a timetable for the coming [AUG Period]: book meeting dates for all key industry meetings for the [AUG Period], and communicate those to the industry.	Code Administrator in conjunction with CDSP	Year 1	31-May
2a	<p>Present an overview of the approach to developing the AUGS for the coming [AUG Period] at an Introductory Meeting with the AUG Technical Workgroup, including (but not limited to):</p> <p>Overview of high level approach to the assignment for the [AUG Period] including:</p> <ul style="list-style-type: none"> • Overview of proposed changes to methodology since the previous [AUG Period]; • Summary of Industry issues and key changes (e.g. UNC Mods) since previous year and impact on methodology; • Update on the log of items carried over from the previous [AUG Period]; • Proposed data sources for all causes of UIG to be included in the methodology; • Industry parties may provide feedback on the approach and the data sources, for consideration by the AUGE. 	AUGE	Year 1	Late June/early July

<p>2b</p>	<p>Present an overview of the approach to developing AUG Value-Add Activities for the coming [AUG Period] at an Introductory Meeting with the AUG Technical Workgroup, including (but not limited to):</p> <p>Overview of high level approach to the assignment for the [AUG Period] including any [Initial Proposals] from the AUG Expert on ;</p> <ul style="list-style-type: none"> • UIG Reduction • Market Engagement • Discovery / Investigation 	<p>AUGE</p>	<p>Year 1</p>	<p>Late June/early July</p>
<p>2c</p>	<p>Provide the industry with [a report / presentation] on Lessons Learnt (where applicable) from the previous [AUG Period], including Continuous Improvement proposals.</p>	<p>AUGE</p>	<p>Year 1 Year 2 Year 3</p>	<p>Quarterly beginning late June/early July</p>
<p>3a</p>	<p>Provide the industry with monthly updates on progress with the development of the AUGS, including but not limited to, progress on Continuous Improvement proposals, availability of data, new topics identified and any key issues which may impact on the Methodology.</p>	<p>AUGE</p>	<p>Year 1 Year 2</p>	<p>Monthly from July to April Year 1: July - April Year 2: May - Sept</p>
<p>3b</p>	<p>Provide the industry with monthly updates on any [Initial/Formal Proposals] which are being developed for the additional activities outlined in 5.2.</p>	<p>AUGE</p>	<p>Year 1 Year 2 Year 3</p>	<p>Year 1: July - April Year 2: May - April Year 3: May - Oct</p>
<p>3c</p>	<p>Provide the industry with monthly updates on in-progress AUG Value-Add Activities.</p>	<p>AUGE</p>	<p>Year 1 Year 2 Year 3</p>	<p>Year 1: July - April Year 2: May - April Year 3: May - Oct</p>
<p>3d</p>	<p>Output of all AUG Value-Add Activities to be recorded, next steps determined and owners of next steps allocated.</p>	<p>AUG Technical Workgroup</p>	<p>Year 3</p>	<p>Year 3: Nov - April</p>
<p>4</p>	<p>Present an update on the development of the AUGS for the coming year at an Early Engagement Meeting with the AUG Technical Workgroup, including (but not limited to):</p> <ul style="list-style-type: none"> • latest view of data sources for all topics and availability of data • update on development of the AUGS and Table for the coming yearAUG Period, including any new items to be added to the Methodology for the coming yearAUG Period. <p>Industry parties may provide feedback on the proposed data sources and outline of</p>	<p>AUGE</p>	<p>Year 2</p>	<p>Late September/early October [Year 1] Year 2 Oct</p>

	the approach.			
5	<p>Provide a proposed AUG Statement, including AUG Table, to the CDSP for presentation and publication. The proposed AUG Statement and presentation must detail:</p> <p>a) How the AUG Expert has adhered to the Generic Terms of Reference</p> <p>b) The methodology to be used by the AUG Expert, and why such a process is considered to be the most appropriate approach ;</p> <p>c) The data has been collated so far for such use, and the methods to be used for acquiring any further data; and</p> <p>d) The Draft UGS Weighting Factors for use in populating the AUG Table.</p> <p>e) Any specific matters the AUG Expert wishes to draw to the industry’s attention</p>	AUGE	Year 2	1st January Year 2 - 1st Nov
6	At a meeting of the AUG Technical Workgroup, present and discuss the proposed AUG Statement and Table to be held as soon as possible after the proposed AUG Statement document has been published.	AUGE	Year 2	15 January Year 2 - 15th Nov
7	<p>Once the proposed AUGS has been published, any responses to the AUG Expert on the proposed AUG Statement and Table must be received by the CDSP within 21 calendar days [76 calendar days]. The CDSP will then provide these responses to the AUG Expert as they are received or no later than 1 working day after submission.</p> <p>* For the preparation of the Statement and Table for Gas Year 2024 only, this date is extended to 31 January 2024 (i.e. 31 calendar days).</p>	Code Parties	Year 2	22 January* Year 2 - 15th Jan

Joint Office of Gas Transporters

Uniform Network Code – Framework for the Appointment of an Allocation of Unidentified Gas Expert

8	The AUG Expert will consider any responses made, and will provide feedback for discussion at a meeting of the AUG Technical Workgroup. *For the preparation of the Statement and Table for Gas Year 2024 only, this date is extended to 16 February 2024.	AUGE	Year 2	15 February* Year 2 - Jan
9	The AUG Expert will review the AUG Statement and Table in light of any comments (received in Steps 7 and 8), and will adjust the AUG Statement and Table where it believes appropriate. The proposed AUG Statement document, as modified by the AUG Expert in accordance with this Step, will be republished the Joint Office of Gas Transporters website.	AUGE	Year 2	5 March Year 2 - Feb
10	At a meeting of the AUG Technical Workgroup, present and discuss the modified AUG Statement and Table, along with any changes made, after the modified AUG Statement document has been published.	AUGE	Year 2	12 March Year 2 - Feb
11	The AUG Expert will review the AUG Statement and Table in light of any comments raised in the meeting referred to in Step 10 and will adjust the AUG Statement and Table where it believes appropriate. For the avoidance of doubt changes can only be made to address specific concerns raised.	AUGE	Year 2	1 April Year 2 - March
12	The AUG Expert will provide the draft AUG Statement and Table following changes made to step 11 to the Joint Office of Gas Transporters for publication.	AUGE	Year 2	Year 2 - Early April
13	The AUG Expert will [obtain] refreshed data to update and create the final AUG Statement and Table. For the avoidance of doubt, no changes will be made to the AUG Statement and Table other than the data refresh.	AUGE	Year 3	Year 3 - Nov [6 months before new AUG Period begins]
12 14		AUGE	Year 3	01/04/2024 Year 3 - 1st Feb
13 15	Attend a meeting to present the final AUG Statement and Table, along with any changes made, prior to the formal meeting of the Committee as described in Step 14. The purpose of the meeting is to inform interested parties, who can provide input to the meeting of The Committee via their elected representatives.	AUGE		5 days prior to the UNCC meeting (Step 14) Year 3 - March

14 16	The Committee will consider the final AUG Statement at its next scheduled meeting. The Committee shall approve the final AUG Statement, in the form presented by the AUG Expert, unless it unanimously agrees changes to any part of the document. The approved AUG Statement will be treated as the final AUG Statement.	UNCC		Year 3 - Scheduled April UNCC meeting
15 17	The Committee will consider the final AUG Table at its next scheduled meeting and will act in accordance with TPD E9.4.3 (h).	UNCC		Year 3 - Scheduled April UNCC meeting
16 18	The Gas Transporters will provide the AUG Table containing the approved UGS Weighting Factors to the CDSP for inclusion in the AUG Table for the coming AUG Year.	GTs		Year 3 - 30th April

<i>Step</i>	<i>Requirement</i>	<i>Responsible Party</i>	<i>Latest date for delivery</i>
1	Establish a timetable for the coming [AUG Year/Period]: book meeting dates for all key industry meetings for the year [AUG Period], and communicate those to the industry.	Code Administrator in conjunction with CDSP	31 May
2	Present an overview of the approach to developing the AUGs for the coming year at an Introductory Meeting with the AUG Technical Workgroup, including (but not limited to): Overview of high level approach to the assignment for the [AUG Period] including: <ul style="list-style-type: none"> • Overview of proposed changes to methodology since the previous year [AUG Period]; • Summary of Industry issues and key changes (e.g. UNC Mods) since previous year and impact on methodology • Update on the log of items carried over from the previous year [AUG Period] <ul style="list-style-type: none"> • Proposed data sources for all causes of UIG to be included in the methodology Industry parties may provide feedback on the approach and the data sources, for consideration by the AUG. 	AUGE	Late June/early July

<i>Step</i>	<i>Requirement</i>	<i>Responsible Party</i>	<i>Latest date for delivery</i>
	Provide the industry with [a report / presentation] on Lessons Learnt (where applicable) from the previous [AUG Period], including Continuous Improvement proposals.		Quarterly beginning July from year 1 through lifecycle of AUG
3	Provide the industry with monthly updates on progress with the development of the AUGS, including but not limited to, progress on Continuous Improvement proposals, availability of data, new topics identified and any key issues which may impact on the Methodology	AUGE	Monthly from July to April
4	Present an update on the development of the AUGS for the coming year at an Early Engagement Meeting with the AUG Technical Workgroup, including (but not limited to): <ul style="list-style-type: none"> • latest view of data sources for all topics and availability of data • update on development of the AUGS and Table for the coming year, including any new items to be added to the Methodology for the coming year. Industry parties may provide feedback on the proposed data sources and outline of the approach.	AUGE	Late September/ early October

<i>Step</i>	<i>Requirement</i>	<i>Responsible Party</i>	<i>Latest date for delivery</i>
5	<p>Provide a proposed AUG Statement, including AUG Table, to the CDSP for presentation and publication. The proposed AUG Statement and presentation must detail:</p> <p>a) How the AUG Expert has adhered to the Generic Terms of Reference</p> <p>b) The methodology to be used by the AUG Expert, and why such a process is considered to be the most appropriate approach;</p> <p>c) The data has been collated so far for such use, and the methods to be used for acquiring any further data; and</p> <p>d) The Draft UGS Weighting Factors for use in populating the AUG Table.</p> <p>e) Any specific matters the AUG Expert wishes to draw to the industry's attention</p>	AUG Expert	1st January
6	<p>At a meeting of the AUG Technical Workgroup, present and discuss the proposed AUG Statement and Table to be held as soon as possible after the proposed AUG Statement document has been published.</p>	AUGE	15 January
7	<p>Once the proposed AUGS has been published, any responses to the AUG Expert on the proposed AUG Statement and Table must be received by the CDSP within 21 calendar days*. The CDSP will then provide these responses to the AUG Expert as they are received or no later than 1 working day after submission.</p> <p>*For the preparation of the Statement and Table for Gas Year 2024 only, this date is extended to 31 January 2024 (i.e. 31 calendar days).</p>	Code Parties	22 January*

<i>Step</i>	<i>Requirement</i>	<i>Responsible Party</i>	<i>Latest date for delivery</i>
8	The AUG Expert will consider any responses made, and will provide feedback for discussion at a meeting of the AUG Technical Workgroup. * For the preparation of the Statement and Table for Gas Year 2024 only, this date is extended to 16 February 2024.	AUGE	15 February*
9	The AUG Expert will review the AUG Statement and Table in light of any comments (received in Steps 7 and 8), and will adjust the AUG Statement and Table where it believes appropriate. The proposed AUG Statement document, as modified by the AUG Expert in accordance with this Step, will be republished the Joint Office of Gas Transporters website.	AUGE	5 March
10	At a meeting of the AUG Technical Workgroup, present and discuss the modified AUG Statement and Table, along with any changes made, after the modified AUG Statement document has been published.	AUGE	12 March
11	The AUG Expert will review the AUG Statement and Table in light of any comments raised in the meeting referred to in Step 10 and will adjust the AUG Statement and Table where it believes appropriate. For the avoidance of doubt changes can only be made to address specific concerns raised.	AUGE	1 April
	<u>The AUG Expert will provide the draft AUG Statement and Table following changes made to step 11 to the Joint Office of Gas Transporters for publication.</u>		
	<u>The AUG Expert will [obtain] refreshed data to update and create the final AUG Statement and Table. For the avoidance of doubt, no changes will be made to the AUG Statement and Table other than the data refresh.</u>		

<i>Step</i>	<i>Requirement</i>	<i>Responsible Party</i>	<i>Latest date for delivery</i>
12	The AUG Expert will provide the final AUG Statement and Table (as updated as described in Step X11, if appropriate) to the Joint Office of Gas Transporters for publication.	AUGE	1 April
13	Attend a meeting to present the final AUG Statement and Table, along with any changes made, prior to the formal meeting of the Committee as described in Step 14. The purpose of the meeting is to inform interested parties, who can provide input to the meeting of The Committee via their elected representatives.	AUGE	5 days prior to the UNCC meeting (Step 14)
14	The Committee will consider the final AUG Statement at its next scheduled meeting. The Committee shall approve the final AUG Statement, in the form presented by the AUG Expert, unless it unanimously agrees changes to any part of the document. The approved AUG Statement will be treated as the final AUG Statement.	UNCC	Scheduled April UNCC meeting
15	The Committee will consider the final AUG Table at its next scheduled meeting and will act in accordance with TPD E9.4.3 (h).	UNCC	Scheduled April UNCC meeting
16	The Gas Transporters will provide the AUG Table containing the approved UGS Weighting Factors to the CDSP for inclusion in the AUG Table for the coming AUG Year.	GTs	30 April

7.18.2 The Committee’s final determination in this process shall be binding on Code Parties.

7.28.3 If the AUG Expert is unable to prepare final UGS Weighting Factors, for whatever reason, then the Committee will determine the UGS Weighting

Factors. The Gas Transporters will notify the CDSP accordingly of the AUG Table containing the approved UGS Weighting Factors.

7.38.4 At the end of each AUG Year, regardless of any AUG Statement outcome, the CDSP shall seek feedback from the industry, including the AUG Expert, on the activities and performance of the AUG Expert and industry for the creation of the AUG Statement and Table. The CDSP shall produce a report for The Committee.

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