

<b>UNC Workgroup Report</b>	At what stage is this document in the process?
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# UNC 0707S:

## Introducing 'Performance Assurance Framework Administrator' as a new User type to the Data Permissions Matrix

- 01 Modification
- 02 Workgroup Report
- 03 Draft Modification Report
- 04 Final Modification Report

**Purpose of Modification:**  
 Currently the Performance Assurance Framework Administrator (PAFA) is provided with the reports defined in the Performance Assurance Report Register (PARR) in order to fulfil their obligations. This modification seeks to amend the Data Permissions Matrix to add the PAFA as a new User type.

The Workgroup recommends that this modification should be subject to self-governance  
 The Panel will consider this Workgroup Report on 20 February 2020. The Panel will consider the recommendations and determine the appropriate next steps.

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High Impact:  
None identified

Medium Impact:  
None identified

Low Impact:  
Transporters, Shipper Users, CDSP, IGT UNC

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Timetable	
<b>Modification timetable:</b>	
Initial consideration by Workgroup	28 November 2019
Workgroup Report presented to Panel	16 January 2020
Draft Modification Report issued for consultation	17 January 2020
Consultation Close-out for representations	07 February 2020
Final Modification Report available for Panel	12 February 2020
Modification Panel decision	20 February 2020

  

**THESE NEED TO CHANGE IF ITS GOING TO FEB PANEL**

An equivalent IGT UNC Modification will need to be raised and it would be beneficial for these Modifications to be developed at one Workgroup

Any questions?

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## 1 Summary

### What

The Performance Assurance Framework Administrator (PAFA) is already entitled to data under UNC for the purposes of conducting the functions ascribed to the PAFA by the Performance Assurance Framework (PAF) document but are not currently specified as a User Type within the Data Permissions Matrix (DPM).

Modification 0649S – “Update to UNC to formalise the Data Permission Matrix” formally created the Data Permission Matrix as part of the UK Link Manual. Modification 0649S requires that the addition of a new User type (a new party to be recognised on the Data Permission Matrix) is undertaken by a UNC Modification. Once the new User type is created, the approval for the release of data to the new User type is held by the Data Services Contract, Contract Management Committee (DSC CoMC).

### Why

In line with UNC Legal Text implemented for 0649S a new Modification is needed to add a new User type to the DPM, regardless of if they are already specified under UNC Section V.

PAFA are currently entitled to access Protected Information as reasonably required for the purpose of performing functions under the PAF Contract. Adding PAFA to the DPM will, subject to DSC CoMC approval, facilitate release of data over and above what is currently defined in the Performance Administrator Report Register.

### How

This enabling Modification is proposing to add the PAFA as a new User type to the DPM.

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## 2 Governance

### Justification for Self-Governance

It is proposed that this Modification is classified as Self-Governance as it does not have a material impact on gas consumers, competition, pipeline operations, security of supply, governance procedures and does not discriminate between code parties. The Modification is to enable data sharing permissions only and therefore an administrative enabler only.

An IGT UNC Modification has been raised, (IGT136), to ensure that the amendment to the DPM being proposed in this proposal is similarly being proposed through IGT UNC governance to ensure consistency between the codes when the change is applied in the DSC.

The Workgroup has considered the rationale for self-governance and agrees that the reasoning still applies.

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### Requested Next Steps

This Modification should:

- be considered a non-material change and subject to self-governance
- be assessed by a Joint UNC/IGT Workgroup.
- NOTE the proposals are being reviewed by separate workgroups although coordination between UNC & IGT UNC Code administrators is in operation

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### 3 Why Change?

This change is proposed to add PAFA as a new User type to the DPM in line with the requirements set out in Modification 0649S. This will then enable a request for the disclosure of specific data to be submitted to the DSC CoMC for approval, where needed, to enable the PAFA to access additional data than is currently specifically available via the reports defined in the PARR. Provision of this additional data will enable the PAFA to better understand context of the output reports described in the Performance Assurance Reports Register, and therefore refine actions needed and ensure that they can engage fully with the relevant User to understand the impacts and reasons for failures and assess the efficacy of the reports.

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The Performance Assurance Committee has specifically requested that the inclusion of the PAFA in the DPM is expediated so that additional information is available to the PAFA prior to implementation of UNC Modification 0697 - Alignment of the UNC TPD Section V5 and the Data Permissions Matrix.

It is anticipated that, following approval of this Modification, the data made available to the PAFA will be **initially** limited to the individual data items that are used to create the summary reports that form the existing PARR. This is for information only as this will be subject to approval by the DSC Contract Management Committee.

### 4 Code Specific Matters

#### Reference Documents

[Link to: Performance Assurance Report Register](#)

[Link to: Disclosure Request Report for PAFA to get access to data under the DDP](#)

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Field Code Changed

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#### Knowledge/Skills

None identified.

### 5 Solution

This enabling Modification is proposing to add the PAFA as a new User type to the DPM.

### 6 Impacts & Other Considerations

#### Does this modification impact a Significant Code Review (SCR) or other significant industry change projects, if so, how?

None.

#### Consumer Impacts

None directly identified, this is a permissions Modification to permit the release of data that may lead to benefits to the consumer.

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**Consumer Impact Assessment [COMPLETE THIS ASSESSMENT]**

(Workgroup assessment of proposer initial view or subsequent information)

Criteria	Extent of Impact
Which Consumer groups are affected?	<ul style="list-style-type: none"> <li><u>Data relating to all consumers types will be available to the PAFA</u></li> </ul>
What costs or benefits will pass through to them?	<p>Please explain what costs will ultimately flow through to each Consumer group. If no costs pass through to Consumers, please explain why. Use the General Market Assumptions approved by Panel to express as 'cost per consumer'.</p> <p><u>n/a</u></p>
When will these costs/benefits impact upon consumers?	<p>Unless this is 'immediately on implementation', please explain any deferred impact.</p> <p><u>n/a</u></p>
Are there any other Consumer Impacts?	<p>Prompts:</p> <p>Are there any impacts on switching?</p> <p>Is the provision of information affected?</p> <p>Are Product Classes affected?</p> <p><u>None have been identified</u></p>
<b>General Market Assumptions as at December 2016 (to underpin the Costs analysis)</b>	
Number of Domestic consumers	21 million
Number of non-domestic consumers <73,200 kWh/annum	500,000
Number of consumers between 73,200 and 732,000 kWh/annum	250,000
Number of very large consumers >732,000 kWh/annum	26,000

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 <#>Domestic Consumers¶  
 <#>Small non-domestic Consumers¶  
 <#>Large non-domestic Consumers¶  
 Very Large Consumers

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**Cross Code Impacts**

An equivalent IGT UNC Modification has been raised – (JGT136).

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**EU Code Impacts**

None.

**Central Systems Impacts**

As this is a permissions Modification there are no direct impacts, however, impacts will be identified and developed through the DSC Contract Management Committee and the DSC Change Management Committee, where required, to deliver the data requested.

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**Workgroup Impact Assessment**

The Workgroup has only been meeting since November, (2 meetings), and, given the relatively minor amendment being proposed to documentation, the view of the workgroup is that the Workgroup Report can be finalised and submitted to the Modification Panel in [February].

The principle point of discussion centred on why PAFA should be added to the DPM, to which the answer was that, while PARR reporting provided industry wide and shipper specific performance information, to obtain full value from the reports and to better understand the some of the data that comprises the reports at a granular level, it would be useful to allow the PAFA exploit the functionality offered by the Data Discovery Platform, (DDP), to provide bespoke reporting with greater informational granularity.

**For information: the DDP is a [self-service reporting tool that can be used by an authorised user to obtain bespoke reports by querying a pre-agreed list of data items]**

It was the Workgroups view that permitting the PAFA access to data at meter point level should provide this extra layer of information and allow the PAFA to better fulfil its role.

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**7 Relevant Objectives**

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Impact of the modification on the Relevant Objectives:

Relevant Objective	Identified impact
a) Efficient and economic operation of the pipe-line system.	None
b) Coordinated, efficient and economic operation of (i) the combined pipe-line system, and/ or (ii) the pipe-line system of one or more other relevant gas transporters.	None
c) Efficient discharge of the licensee's obligations.	None
d) Securing of effective competition: (i) between relevant shippers; (ii) between relevant suppliers; and/or (iii) between DN operators (who have entered into transportation arrangements with other relevant gas transporters) and relevant shippers.	None
e) Provision of reasonable economic incentives for relevant suppliers to secure that the domestic customer supply security standards... are satisfied as respects the availability of gas to their domestic customers.	None
f) Promotion of efficiency in the implementation and administration of the Code.	Positive
g) Compliance with the Regulation and any relevant legally binding decisions of the European Commission and/or the Agency for the Co-operation of Energy Regulators.	None

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This change is to add Performance Assurance Framework Administrator to the Data Permissions Matrix. This Modification provides a more efficient means of creating bespoke permissions for the PAFA than by modifying the main body of UNC and would enable additional data to be released on a case by case basis to support the

objectives of the Performance Assurance Framework. This Modification also aligns with the principles approved in UNC Modification 0649S - Update to UNC to formalise the Data Permission Matrix.

**[CONFIRM]**

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## 8 Implementation

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As self-governance procedures are proposed, implementation could be sixteen business days after a Modification Panel decision to implement, subject to no Appeal being raised.

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Given the implementation of the proposal crosses three discrete agreements, it is the workgroups view that it would helpful to set out the steps required to establish contiguous & continuous governance and provide uninterrupted access to the suite of reports necessary for the PAFA to fulfil its role.

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The proposal seeks to create the PAFA as user type in the DPM and the delivery platform for this user type would be the Data Discovery Platform. As part of that inclusion, all the data items currently processed and available to the PAFA as part of the PARR Reporting pack will continue by way of a DSC acknowledgement that entire the reporting pack has been granted an intermediate blanket permission.

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As a separate, parallel piece of work being undertaken by the CDSP under DSC governance, (Data Disclose Request: PAFA (see link in Section 4)), all the PARR reports have been decompiled into a list of data items, and these will be transposed into the column on the DPM relevant to PAFA. This again will provide continuity of service for the PARR reporting pack, and when the DDP is fully operational, will additionally allow the PAFA to develop new reports based on the data items it has permission to view.

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These discrete steps in relation to the visibility of data items are essential given that the IGT UNC proposal and the UNC proposal both need to be implemented before the DDP can be fully utilised to access data granted through DSC permissions.

Additional PARR reports could still be created through UNCC governance and subsequently passed to the DSC for decompilation and inclusion in the DPM list of data items, although the exact UNC governance route for requesting may change over time as this is currently under review as part of Modification Proposal 0674 (Performance Assurance Techniques and Controls).

## 9 Legal Text

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This Modification Proposal has been raised in accordance with TPD Section V5.5.2(i) which stipulates that to add a User type to the DPM, the change must be approved by way of UNC governance.

Accordingly, the UNC would not be modified by implementing this proposal, the only change resulting from implementation would be to add a new column in the DPM, headed with the new User type, Performance Assurance Framework Administrator (PAFA)

Following the inclusion of the new User Type in the DPM, the individual data items that would be visible to it, would be agree through by way of Data Service Contract governance.

For reference: TPD Section V5.5.2(i) states: *For the avoidance of doubt, a Code Modification is required to add a new User type (e.g. Supplier, Price Comparison Website etc.) to the Data Permissions Matrix.*

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Data Permissions Matrix		Portfolio reporting by various means e.g. email, shared area etc			
* indicates conditionality reference in Data Permission Matrix Conditionality document published alongside this DPM		Supplier	MAM	MEU*	AITHANCo*
DATA TYPE	DATA ITEM	PORTFOLIO	Portfolio (DES vie	Portfoli	COMMUNI
Supply Meter Point information	Meter Point Reference Number	Yes	Yes	Yes	Yes
Supply Meter Point information	LSP	Yes	Yes	Yes	No
Supply Meter Point information	Address	Yes	Yes	Yes	Yes
Supply Meter Point information	Postcode	Yes	Yes	Yes	Yes
Supply Meter Point information	Network Name	Yes	Yes	Yes	No
Supply Meter Point information	Network Short Code	Yes	Yes	Yes	No
Supply Meter Point information	SMP Status	Yes	Yes	Yes	No
Supply Meter Point information	Current Shipper	Yes	No	Yes	No
Supply Meter Point information	Current Shipper Short Code	Yes	No	Yes	No
Supply Meter Point information	Current Supplier	Yes	Yes	Yes	No
Supply Meter Point information	Current Supplier Short Code	Yes	Yes	Yes	No

## 10 Recommendations

### Workgroup's Recommendation to Panel

The Workgroup asks Panel to agree that this self-governance modification should now proceed to consultation.

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This proposal requires further assessment and should be returned to Workgroup.|

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