Modification 0702 – Introducing 'Research Body'

Modification 0702 – Introducing 'Research Body' Introducing 'Research Body' as a new user type to the Data Permissions Matrix and UNC TPD Section V5

DWG – 24th October 2019

Who is a Research Body…

- A Research Body is any organisation who requires access to data in order to promote:
  - innovation
  - operational excellence
  - efficiency in the UK Energy Industry where the relevant party can demonstrate that their research will benefit consumers, business, government or society.

- This is not intended to be one specific organisation type, e.g. Universities, but **any** organisation who can promote the above.

- This can be an existing user type who wants to access data for a specific purpose to conduct research and achieve a desired outcome.

High-level process overview

Mod0702 Approved
- Research Body is added to UNC TPD Section V5
- Research Body is added to Data Permissions Matrix as a new user type

Request submitted
- Organisation submits Research Summary Request to the CDSP
- CDSP review request and add any additional information/context
- CDSP send the request to DSC CoMC to review

Request decision
- CoMC decide on an outcome of the request:
- Approve / Reject / Defer

Notice
- Requesting organisation is notified of the outcome and the reasoning why
- If the request is approved, the organisation may be requested to provide an outcome of the research

Appeal
- If rejected, [the requesting organisation can appeal the decision]

Research Summary Request

- Any party who is looking to gain access to data as a Research Body will be required to complete a Research Summary Request.

- The format for this request will be similar to the current Disclosure Request Report which is submitted when a user type within the DPM is looking to access additional data items or an alternative mechanisms to gain access to data.

- The Research Summary Request will be submitted to the CDSP who will add any additional information and context before sending it to the DSC Contract Management Committee (CoMC) to review and decide upon.

Proposed content of the Research Summary Request

1. Introduction & background:	3. Privacy Impact Assessment
• Requesting Organisation name	• PIA required – Y/N
• Purpose of research	• If 'N' - Evidence why not required
• Anticipated outcome of research	• If 'Y' – PIA provided
2. Data:	**4. Anonymisation**
• Data items required	• Assessment around data anonymisation
• Justification for data items	• Agreed data anonymisation approach
• Data parameters *e.g. Smart Meters only*	**5. Recommendation / Decision**
• Sample size	• CDSP recommendation
• Period of research *e.g. 6 months*	• CoMC decision
• Proposed method to access data items	• [Appeal]
6. Appendix	
• Additional information	

WG Considerations…

- Would it be a requirement for the CoMC to get visibility of the research results/outcomes?

- Would there be an appeals process and how would this be managed?
    – Organisation raises an appeal
    – CoMC respond
    – If the organisation isn't satisfied by this, it gets raised within UNCC for a final decision?

- Anything else?