

~~Innovation~~ Derogations Guidance Document
(for the Uniform Network Code)
Version Draft D0.4~~54~~

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Version Control

Date	Version	Reason
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<u>20/04/2021</u>	<u>(Draft) D0.3</u>	<u>Amendments for WG</u>
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Document control: Uniform Network Code Committee (UNCC) majority vote for amendments to this document, any necessary UNC Code changes will require a Modification. For the avoidance of doubt, should this document and code become misaligned, code will take precedent.

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Section 1 Overview

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A derogation is a permission given by the Uniform Network Code (UNC) Modification Panel that relieves a UNC party from its obligation to comply with one or more ~~sections~~ paragraphs of the UNC. Within the UNC, derogations can only be requested if they are required in relation to one of the specified Use Cases, as shown in UNC MR XXX, and detailed within the Use Cases section of this document.

~~facilitating net zero innovation project, trial or demonstration that is directly relating to net zero 'non-commercial research', as defined by Imperial College London, and are usually time bound.~~

This document sets out the process for UNC parties to apply for a ~~Derogation~~ derogation from ~~relevant paragraph, or paragraphs~~ sections parts of the UNC.

The UNC Modification Panel process requests and assess evidence and supporting documentation. This guidance is to aid both proposers of ~~Derogations~~ derogations and UNC Modification Panel members with the derogation request and approval or rejection process.

~~Derogation requests are limited to innovation purposed relating to meeting the net zero government target. (See Energy White Paper: Powering our Net Zero Future, December 2020, CP337. Also Policy Paper: The ten point plan for a green industrial revolution, November 2020)~~

This guidance on derogations defines the type of information expected to be included in a request for a derogation, including, where appropriate, a quantitative and qualitative assessment of the impacts of the potential derogation on, amongst other things, competition, consumers, and where relevant, impact towards net zero.

Every Derogation decision will be published on the ~~Joint Office~~ Code Administrator Website, regardless of the outcome of the decision.

If it is identified that a UNC party would gain a ~~competitive-commercial~~ advantage or disadvantage, from a derogation, then a derogation would not be issued without UNC Modification Panel assessing what measures might be appropriate to offset that advantage or disadvantage, the proposer should identify these impacts and include their assessment within the derogation request. Such measures may also include requirements to monitor the impact of the derogation on the both the party making the application and other parties who may be affected. Where applicable, the UNC Modification Panel may make a specific request to the Performance Assurance Committee (PAC) to monitor, and report back to the UNC Modification Panel as requested, relevant impacts which fall under their remit, however it will be expected that the applying party would already have these steps in place as part of the application.

A derogation application may be for a ~~set piece~~ specific section or sections of UNC for a set period and may also be limited to a subset of a portfolio, for example a trial involving only 1 post ~~code~~ out code. If a derogation is linked to a ~~n-innovation~~ project with specific stages and milestones, which have to be met before the next step of funding (for example) is approved, this can be noted in ~~the any~~ additional applications relating to future stages. The derogation will only be approved in line with relevant associated approvals (~~e.g.~~ supporting HSE or licence derogation) also being approved. For the avoidance of doubt a derogation granted by UNC Modification Panel is not deemed valid until all associated approvals have been granted.

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Section 2 What is a derogation

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UNC Parties (Shippers and Gas Transporters) are required to comply with the obligations as set out within the UNC. Compliance with codes is in the interests of gas parties and end consumers as a failure to comply can have a direct and adverse impact on the security and quality of gas supplied, and may have health and safety implications, or directly impact the cost of gas through the supply chain.

However, there may be occasions when, a party may find that adherence to a particular ~~section paragraph~~ or ~~sections paragraphs~~ of the UNC may constrain ~~the~~ ability to carry out ~~steps associated with Use Cases, for example~~ innovation trials, pilots or demonstrations to facilitate the pathway to net zero. In these circumstances, a UNC party may submit to the UNC Modification Panel, via the Joint Office of Gas Transporters (Joint Office), a request for Derogation from the ~~paragraph or paragraphs relevant UNC sections requirements to ensure overall compliance with UNC obligations to comply with particular obligations in the UNC.~~

This document sets out the information the UNC Modification Panel requires in order to assess a request for a derogation, and outlines the factors the UNC Modification Panel members take into account in their assessment.

Section 3 When would a derogation be required?

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When would a derogation be required?

Continued compliance with the UNC is not only a code obligation but also ties into parties' licence obligations and is the responsibility of each relevant party. Failure to comply, unless permitted by a derogation, is a contravention of the UNC and therefore licence for which Ofgem may take enforcement action and consequently issue an order and/or impose a penalty. However, as noted above, UNC Modification Panel recognises there may be occasions when there is a specific, justified requirement, that a UNC Party may not be in a position to comply with a particular ~~section paragraph~~ or ~~sections paragraphs~~ ~~whilst carrying out an innovation trials, pilots or demonstrations as stated in the Use Cases.~~ Such a derogation is likely to be limited in location and, ~~or, timescale; for example to allow for testing or trial to take place for Net Zero Innovation. In this instance, should the trial be successful a more permanent solution should then be sought by the UNC party, via the standard UNC modification process.~~

Section 4 Application Process

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A request for a derogation should be submitted in writing to the ~~Joint Office of Gas Transporters UNC Code Administrator, who are the Code Administrator for the UNC,~~ and should include sufficient information to enable the UNC Modification Panel to make a decision, including a comprehensive, and where possible, quantitative and qualitative assessment, of the impact of the proposed derogation.

Where the Application identifies a potential cross code impact, the Code Administrator will advise the relevant code body in accordance with CACoP principles.

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Parties should, where possible, include copies of any ‘innovation pack’ that they may have had to put together for submission in relation to their funding application or other related applications. [Commercially sensitive information may be redacted; however, this needs to have no bearing on the actual nature and impact of the derogation being requested.]

Parties applying should also include the benefits of the derogation (and the outcomes of any related projects) for the both the individual party/parties but also the wider industry. As well as stating the impact in relation to the path to net zero.

UNC Parties are asked to apply as soon as the possible after the need for a derogation becomes apparent.

The ~~Joint Office of Gas Transporters~~ Code Administrator will use the same distribution list as per new UNC Modification requests, to notify industry of a new request for derogation.

Section 5 Submitting a request

Where a UNC Party has identified that it will require a derogation from a relevant obligations in a ~~section paragraph~~ or ~~sections paragraphs~~ of code in order to progress ~~an net-zero related trial or test anything covered by one or more of the Use Cases~~, the UNC Party should make a written request using the relevant form (see appendix A) to the UNC Modification Panel care of the ~~Joint Office of Gas Transporters~~ Code Administrator (enquiries@gasgovernance.co.uk) requesting for a derogation from the UNC requirement to comply with such obligations.

All technical terms should be fully explained, and the request should be presented in as clear a manner as possible to avoid unnecessary delays in UNC Modification Panel's assessment of the request.

The Code Administrator will perform a critical friend role at this stage, however it should be noted that this is guidance in relation to the process only and is not an indication of the merit, or lack of, of any application.

Section 6 Minimum requirements of the request

The derogation request should include as a minimum:

- Details of the applicant (typically the [Company Secretary]), including the full name and address of the UNC Party concerned, as well as their 3 digit UK Link short code;
- A clear description of the non-compliance including reference and text of [section paragraph](#) or [sections paragraphs](#) that the derogation from is required
- The reason for the derogation request including a clear description of the reasons why the non-compliance is expected to occur.
- Which Use Case the derogation is requested under
- A comprehensive and, wherever possible, quantitative and qualitative assessment, of the impact of the non-compliance on:
 - Consumers

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- Including, where relevant, details as to how the end consumer will be compensated
- Competition
- Other parties affected by the non-compliance, including where relevant, but not limited to
 - Central Data Systems Provider (CDSP)
 - Including, where relevant, a statement from CDSP of their ability to facilitate any required system changes.
 - Distribution Network Operators (including Independent Gas Transporters (IGT))
 - ~~National Grid~~ Transmission Operator(s)
 - Gas Shippers
 - Any other parties affected by the non-compliance
- Health and safety and the associated risk management measures including derogation from HSE or other standards as appropriate.
- Details of any impacts to other codes including confirmation of application timeline for derogation requests from these codes, where relevant.
- Details of actions to mitigate risks to consumers or parties while the non-compliance exists
 - Details of any PAC reporting that is required
- Where applicable, a description of the proposal for restoring compliance including timetable of works
- A description of the alternative actions that have been considered
- The proposed duration of the derogation requested including the proposed start and end dates, and whether the derogation is also to be linked to a goal outcome (i.e. the latter of time or goal).
- Any restrictions to the derogation based on locality
- Any known or suspected impacts to other codes including identifying where IGT sites are also included in the derogation request.

Commented [KE1]: Conscious that NG have put the gas transmission business up for sale so hopefully this future proofs the document

Depending on the Use Case, some or all of the above requirements may already be met within the relevant documents, for example the Innovation Application Pack, and should be clearly referenced as such on the application form.

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A derogation cannot be retrospective in nature.

Applications can only be made by the UNC party that the derogation will apply to.

Only one party can be named as seeking a derogation per request, however a second party may submit an associated derogation requests to be considered (for example joint innovation projects with trials occurring on different networks, on the same, or differing timelines). The association should be noted in the request, and the UNC Panel can choose to consider these together. It should be noted that each will be considered on their own merits, and approval of one application will not be deemed approval of associated applications.

Applications will only be considered where the derogation request relates to one or more of the Use Cases as stated in UNC and detailed in section12, relations to a net zero related innovation trial, pilot or demonstration that is directly relating to net zero 'non-commercial research', as defined by Imperial College London.

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Section 7 Supporting Evidence

Below is generic information in relation to evidence, this should be read in conjunction with the evidence listed under the relevant Use Case for a comprehensive view of the required evidence for a specific derogation request.

Should the derogation request also require derogations from other codes, licence or standards, for example HSE, details of application timelines will be expected to be included. Whilst non UNC derogations ~~may not have to have~~ do not have to have a decision yet been granted, in order for the UNC Modification Panel to reach a decision, any derogation granted by UNC Modification Panel that also requires additional derogations in either UNC or other standards will not be deemed to be valid unless these other derogations are also granted. The party applying for the UNC derogation will be expected to update the UNC Modification Panel via the ~~Joint Office~~ Code Administrator of the approval or rejection granting, or denial of these additional derogations as applicable.

The applying party is required to submit a copy of the ~~Innovation Funding Application Pack~~ derogation request evidence as listed under the Use Case section (commercially sensitive nature may be redacted, as long as this information is not required to assess materiality of any impacts on other parties, or includes key information that would be needed by the UNC Panel in their assessment).

UNC Modification Panel is more likely to consider that a derogation request is justified if:

- An assessment has been provided by the applicant that demonstrates that there are no:
 - significant risks associated with the non-compliance to the applying party, other relevant UNC parties or connected suppliers or end customers.
 - avoidable adverse impacts (immediately or in the longer term) on the applying party, other relevant UNC parties or connected suppliers or end customers.
 - additional measures that could be taken to further mitigate the impact of the non-compliance on the applying party, other relevant UNC parties or connected suppliers or end customers for its duration.
 - outstanding objections from other parties who are materially affected by the derogation.
 - competitive advantages to the applying party arising from the derogation that cannot be addressed that are not offset by a suitable mechanism.
 - other reasonable options to address the non-compliance (that have not been considered).
- Where relevant the applicant has presented a robust case that supports the action that it considers necessary to address any impacts of non-compliance.
- Where relevant the applicant has presented a realistic and comprehensive implementation plan that defines, at the very least, the works required to restore compliance.
- Any measures to mitigate the risks to other parties that will be (or have been) taken until the non-compliance is restored.
- Any relevant PAC, or other reporting, is stated and has been agreed.
- Any relevant statements from Xoserve (and/or Corella) are included in relation to system impacts
- The applicant has produced any other supporting information that is relevant to a specific request, including on the materiality of the issue.

Where an applicant is unable to satisfy all the above relevant criteria, the UNC Modification Panel will assess how far the applicant's ability to satisfy the criteria is deemed sufficient to justify the

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granting of a derogation. It is noted that a justified derogation request would not necessarily need to meet all of the listed criteria. The UNC Modification Panel would use its judgement in assessing any derogation requests and would advise any applicant of additional information requirements that were not included in the original request.

Should an application be made by additional parties for the same scenario under the Use Case, for example an innovation trial, pilot or demonstration at the same time, they may indicate that the evidence from the lead proposer is also relevant to their request. They will only be required to submit any additional evidence that relates to paragraphs of code being requested to be derogated from if this differs from the lead party's request. At UNC Panel's discretion these associated applications may then be considered as a suite. However, each request will be voted on individually, and approval or rejection of the lead application does not automatically result in the same outcome for associated applications in the suite.

Section 8 UNC Modification Panel Assessment

The UNC Modification Panel will consider the derogation request in two stages. The initial consideration will be to confirm that the derogation request contains the minimum required evidence to allow for it to proceed to consultation. The second consideration will be to consider the merit of the derogation request based on evidence and consultation responses. It is at the second consideration where the UNC Modification Panel will vote to approve, or reject, the derogation request.

Each derogation request is assessed by the UNC Modification Panel individually on its merits in terms of meeting the minimum requirements set out in this document and the likely effect on other UNC Parties, wider industry, and consumers. It will also consider the likely effect for other UNC parties to continue to comply with their obligations under the UNC. It will also consider the intended outcome of the trial and project as a whole in relation to further progress towards net zero targets.

In its assessment, the UNC Modification Panel will consider amongst other things the impact on:

- Consumers
 - UNC Modification Panel will consider the extent to which the non-compliance impacts on consumers, for example through increased costs, or risks of failure of supply
- Competition
 - for example, any competitive advantage that may arise from granting the derogation
- Other parties affected by the non-compliance, including where relevant, but not limited to
 - Central Data Systems Provider
 - Distribution Network Operators (including Independent Gas Transporters (IGT)
 - [Transmission Operator\(s\)](#)~~National Grid Transmission~~
 - Gas Shippers
 - Any other parties (eg Suppliers) impacted by the derogation

Affected parties: Statements of the impact from these parties should be included in the application. Where relevant, UNC Modification Panel reserve the right to contact any of these parties to seek the views of affected. This may be done either via the standard derogation consultation stage, or where deemed appropriate by direct contact to any such party via the Code Administrator. ~~This is~~

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~~can~~ also ~~extended to include~~ parties, not identified in the application should the UNC Modification Panel decide that there may also be an impact on these.

UNC Modification Panel ~~may also will~~ take into account the impact on the relevant Distribution Network or National Transmission Systems to effectively and efficiently operate its system if a derogation were granted.

UNC Modification Panel's assessment will also take into account:

- The nature of derogations already granted by UNC Modification Panel.
- The effect of the decision on future derogation requests.
- ~~The impact of the derogation (and overall project) in relation to~~ the environment, including net zero
- The impact of the derogation (and any associated overall project) in relation to consumers
- Any other relevant information.

UNC Modification Panel may ~~consult~~ ask questions of ~~with~~ the UNC Party making the request at any of the relevant Panel meetings initially to clarify points relating to the derogation request and satisfy itself that there is a need for a derogation. A representative for the derogation request is expected to attend the relevant UNC Modification Panels where the derogation is ~~to be discussed~~ included on the agenda, to facilitate this the Code Administrator will publish the UNC Panel agenda in advance of each meeting, they will also make reasonable endeavours to advise the proposer direct, outside of scheduled UNC Modification Panel meetings. ~~the~~ UNC Modification Panel may also contact the proposer in writing outside of scheduled UNC Modification Panel meetings, via the ~~Joint Office~~ Code Administrator, to ask for additional information or clarity.

~~As part of its consideration of the request, the UNC Modification Panel may consult with other parties as required in accordance with the following checklist:~~

~~Set out below is UNC Modification Panel's procedure for considering an application for derogation and the criteria that UNC Modification Panel proposes to apply when considering a derogation request:~~

- ~~Is broader industry consultation (eg an impact assessment) required to inform the UNC Modification Panels decision?~~
 - ~~Where relevant UNC Modification Panel will consider the appropriate manner in which to consult with industry and affected parties.~~
- ~~Have directly affected UNC Parties been identified?~~
- ~~Are there other relevant factors?~~
 - ~~UNC Modification Panel can seek or request the proposer to provide, independent expert external advise if required.~~

Section 9 UNC Panel Decisions

Any valid, completed requests for derogations received more than [10] business days prior to a scheduled UNC Modification Panel meeting will be considered at that scheduled meeting.

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UNC Modification Panel members have discretion [based on majority vote] to defer consideration of any derogation request to the next scheduled Panel (or specifically convened Panel) meeting for any application^(fs) with a large volume of supporting documentation, or of a more complex nature.

UNC Modification Panel members discretion to request additional supporting information, where deemed relevant, [based on majority]. Where this cannot be provided at the time by the proposer, this process would result in the deferral of the vote to approve the derogation request to the next scheduled Panel meeting (Panel have the discretion to manage this by extraordinary Panel meetings).

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UNC Panel will assess the information provided and check that the minimum evidence level required for the relevant use case has been met. Once it has been agreed that the evidence level has been met the UNC Modification Panel members will vote to send the derogation request out for industry consultation. Panel members have the discretion to advise the Code Administrator where it determines that the standard time period for a consultation (aligned to the standard time period for a modification) should be deviated from in relation to the relevant derogation.

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The UNC Modification Panel will then, at a UNC Modification Panel scheduled meeting, no less than [10] business days following the closing date of the derogation consultation, make a determination on the approval or rejection of the derogation request.

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The Code Administrator will compile a Final Derogation Report (FDR) in which it will include any key points raised in consultation responses. This FDR will also include relevant points from the discussion at Panel and their overall decision in relation to approval or rejection.

Should the UNC Modification Panel determine that they require further views or clarity in relation to the consultation responses, they have the ability to defer the matter scheduled Panel meeting (or 1st scheduled panel following receipt of requested additional information) whilst they seek the views of or clarity from the proposer or other such deemed party.

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If UNC Modification Panel considers that the derogation request is justified, then UNC Modification Panel will issue a derogation to the applying party granting the necessary relief from the relevant obligations for a time period specified in the derogation. A copy will also be placed on the ~~Joint~~ Office Code Administrator's Website and Derogations Register.

- Any notice will be issued as subject to appeal procedures, with the derogation only being deemed approved, after the expiry of a 16 business day window, subject to no appeal being upheld by, or remaining outstanding with, Ofgem.
- Any approval notice issued will only be 'deemed approved', with approval subject to any additional derogations required as stated under 'Evidence' above, also being approved by the relevant bodies.

Derogation approval is subject to the voting as stated in the UNC for approval, this is repeated here for clarity, For the avoidance of doubt, should this document become misaligned with the UNC, then the voting as stated in the UNC will take precedent.

A derogation will only be granted where there is a [unanimous] vote of support from UNC Modification Panel members recorded as present. Should any vote not be [unanimous] the vote

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will be deemed to have resulted in non-support for the request, and the derogation will not be granted.

If UNC Modification Panel considers that the derogation request has not been sufficiently justified, then the UNC Modification Panel may

- Request additional information from the applicant
 - This can be provided during the panel, or afterwards. For the later, and former where appropriate, the panel will defer the decision to a future until the following panel meeting.
- Refuse the derogation request.
 - Where UNC Modification Panel refuses a derogation request and believes that non-compliance with the UNC may still occur or that impacts to Settlement, Allocation or Unidentified Gas (UIG), they may notify PAC, who may take any action in accordance with their existing remit.

~~UNC Modification Panel may reserve the right to revoke a derogation and/or may grant a derogation which is time limited with additional extension subject to certain conditions being satisfied (eg interim reporting), either by the party to which the derogation applies or in respect of the wider system. Should UNC Modification Panel impose additional requirements, they will make available to the applying party, details as to their reasoning~~

There is no right of appeal to UNC Modification Panel for a derogation request that has not been approved.

- A derogation request that has not been granted may not be resubmitted to UNC Modification Panel for re-consideration unless it contains material changes.
 - Whether changes to a resubmitted application are deemed material in nature is subject to [majority] vote by UNC Modification Panel
 - Should UNC Modification Panel deem that the changes are not of material nature, the derogation will not progress further and will be rejected as an invalid application without proceeding to vote.

A derogation granted to a UNC party cannot be transferred to another UNC Party, regardless of association.

Should a UNC party change name, but retain the same company number, the derogation will remain valid, however the party is required to write to the UNC Modification Panel, [via the Code Administrator](#) with a minimum of [30 days] advance notice of this name change.

~~If UNC Modification Panel considers that the derogation request has not been sufficiently justified, then the UNC Modification Panel may~~

- ~~● Request additional information from the applicant~~
 - ~~○ This can be provided during the panel, or afterwards. For the later, and former where appropriate, the panel will defer the decision until the following panel meeting.~~
- ~~● Refuse the derogation request.~~
 - ~~○ Where UNC Modification Panel refuses a derogation request and believes that non-compliance with the UNC may still occur or that impacts to Settlement, Allocation~~

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~~or Unidentified Gas (UCG), they may notify PAC, who may take any action in accordance with their existing remit.~~

The ~~Joint Office~~ Code Administrator will maintain a register of derogations that have been requested, including

- Date ~~application received by Code Administrator~~ Administrator
- Date Derogation ~~granted~~ approved/rejected
- UNC Section(s) ~~Paragraph references~~ the derogation applies to
- Start date
- End Date
- Duration
- Any additional restrictions (e.g. locale)
- Name of applicant party

Section 10 Ofgem powers in relation to UNC derogation requests

The Authority (Ofgem) have the ability to overrule any UNC Modification Panel decision within 15 days of the date of the UNC Modification Panel decision (notification of any outstanding appeal within this time frame will defer the implementation until Ofgem have advised of their decision). Any party impacted by the derogation who wishes to appeal to Ofgem should do so, in writing to Ofgem, and providing a copy to the UNC Modification Panel, to be received no later than the 15th day following the UNC Modification Panel decision.

Section 11 Amendments to existing approved derogation requests

Requests for amendment of an existing approved derogation request may only be made by the licenced party who the original derogation is granted to. Amendment requests must include details of why the amendment is required along with a statement as to the impact if the amendment is not approved. Applications will also be required to confirm whether the amendment changes any of the consequential impacts, and if so, what these are.

Should UNC Modification Panel not approve an amendment, then the existing derogation will remain valid.

Examples of the types of where an amendment may need to be requested will be listed, for information only, under each of the Use Cases as detailed further within this document.

Should a party who has successfully had a derogation granted cease to be a valid UNC party before the start of the derogation, the derogation will fall away without being implementable.

Should a party who has successfully had a derogation granted cease to be a valid UNC party during the terms of the derogation, UNC Panel will manage these on a case by case basis. UNC Modification Panel will take into account the steps needed to reverse any system changes etc, and will also consider whether any appropriate UNC Party has, or is in the process of, formally taking over the innovation project, trial or demonstration, any relevant works/project etc that the derogation relates to.

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Section 12 Use Cases

This section lists the Use Cases, these are defined in UNC, with additional clarity included here around the minimum requirements for application and the associated evidence to be provided.

Where a Use Case definition is provided in this document it is for clarity only, and will be taken from the UNC definition. For the avoidance of doubt, should this document and code become misaligned, code will take precedent.

12a Net Zero Innovation

What - Net Zero Innovation is defined as facilitating net zero innovation project, trial or demonstration that is directly relating to net zero [‘non commercial research’, as defined by Imperial College London], and are usually time bound.

When - A derogation under the Net Zero Innovation Use Case should be requested when a party may find that adherence to a particular section paragraph or sections paragraphs of the UNC may constrain the ability to carry out innovation trials, pilots or demonstrations to facilitate the pathway to net zero.

How - The minimum required evidence (further to evidence mentioned in section 7) should consist of:

Innovation Pack

Section 13 Timeline and Process Map

To be added once process is developed

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Commented [TS7]: This is a sample list as a placeholder, and items may be added/removed as deemed relevant and common to all trials etc.

Commented [TS8]: This is to be expanded on in due course (discussion internally with Innovation colleagues is ongoing)

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Appendix A (application form)

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Derogation Application Form (This form can be downloaded as a word document at www.gasgovernance.co.uk)

UNIFORM NETWORK CODE

APPLICATION FOR DEROGATION FOR INNOVATION PURPOSES

This document should be completed in accordance with the **Innovation Derogation Guidance Document** (which can be found at)

Completed forms, along with any accompanying evidence should be sent to: enquiries@gasgovernance.co.uk

UNC Party Applying for derogation:

Company UK Link short code:

Company Name:

Company Address:

.....

.....

Company Registered Number

Signature (Company Secretary or other Authorised person):

.....

Name (please print):

Position within company

Contact Details: Tel:

E-mail:

Date of application:

Applicant's Reference:

Is this Derogation Part of a Suite, (delete as applicable) STANDALONE / LEAD / ASSOCIATED

DEROGATION DETAILS

1. Summary of derogation – details of the specific obligation(s) to which you are seeking a derogation, quoting relevant UNC paragraphs

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2. Reason for Application – details of the justification for seeking this derogation (*include any alternative actions that have been considered, and reason that these are not being pursued*):

3. Conditions – description of the conditions of this derogation (ie location, or other restrictions):

4. Timescale – period of time for which the derogation is sought (please include start and end dates):

5. Associated Derogations or similar – details of any previous or current derogations or permissions (for example licence, HSE, other codes) which are related to this one. *These can be pending decision, however any approval of derogation within the UNC will be subject to relevant derogations or permissions also being granted*

5a Does the derogation request include meter points on one or more Independent Gas Transporter (IGT) networks

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5b If this derogation is part of a suite, please advise of the UNC Party submitting the lead application.

6. Corrective Action – Where relevant, details of the action you will take to become compliant with the obligation to which you are seeking a derogation including dates of any key milestones associated with these actions (including, where relevant, consumer compensation):

7. Effect on other Parties – details of the requested derogation’s anticipated impact on the costs and operations of other parties (see guidance document for additional information):

8. Supporting documentation – description of any attached supporting documents: (Please clearly reference and list all attachments)

FOR ~~JOINT OFFICE OF GAS TRANSPORTERS~~ CODE ADMINISTRATOR USE ONLY:

UNC derogation Reference Number:

Date Received:

Date/Reference of UNC Modification Panel Meeting:
.....

Outcome:

Actions/Timing:

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