

**UNC Workgroup 0782 Minutes  
Creation of Independent AUGE Assurer (IAA) role  
Thursday 24 March 2022  
via Microsoft Teams**

<b>Attendees</b>		
Rebecca Hailes (Chair)	(RHa)	Joint Office
Helen Cuin (Secretary)	(HCu)	Joint Office
Clare Manning	(CM)	E.ON Energy
Dan Fittock	(DF)	Corona Energy
David Mitchell	(DM)	SGN
Elisa Trout	(ET)	NGN
Fiona Cottam	(FC)	Correla on behalf of Xoserve
Gareth Evans	(GE)	Waters Wye Associates
Guv Dosanjh	(GD)	Cadent
Hursley Moss	(HM)	Cornwall Insight
Jaimee LeResche	(JLR)	Xoserve
James Doyle	(JD)	Foxglove Energy Supplies
Louise Hellyer	(LH)	Totalenergies Gas & Power
Mark Jones	(MJ)	SSE
Priya Punj	(PP)	Cadent
Richard Pomroy	(RP)	WWU
Robert Johnstone	(RJ)	Utilita
Rhys Kealley	(RK)	British Gas
Steve Easterbrook	(SE)	Cadent
Tom Stuart	(TSt)	WWU
Tracey Saunders	(TSa)	Northern Gas Networks

Copies of all papers are available at: <http://www.gasgovernance.co.uk/0782/240322>

The Workgroup Report is due to be presented at the UNC Modification Panel by 19 May 2022.

## 1.0 Introduction and Status Review

### 1.1. Approval of Minutes (27 February 2022)

The minutes from the previous meeting were approved.

### 1.2. Approval of Late Papers

RHa confirmed there were papers for Workgroup to consider.

### 1.3. Review of Outstanding Actions

None to discuss

## 2.0 Initial Discussion

Dan Fittock (DF) explained the reason for the Modification, being of the opinion that an Independent AUGE Assurer (IAA) is still required and therefore he wished to go back to developing a proposal to amend the regime.

The Workgroup considered the current audit process, check points undertaken and how the process addresses any compliance issues.

DF saw the IAA as a more fluid role that can be utilised at any point if a Shipper has concerns to look at specific issues and mediate, where issues have been raised and not addressed/resolved. Whereas the current audit approach was more rigid.

Fiona Cottam (FC) explained the AUGGE process and the need for audits to have flexibility within ongoing interaction, with a speedier procurement process.

Gareth Evans (GE) believed the audits simply check if the process is being operated in line with the process and did not incorporate an opinion on whether it was the AUGGE was addressing best option/solution.

Referring to the AUG Proposed Final Statement discussed at the AUG Sub-Committee on 11 March 2022 (<https://www.gasgovernance.co.uk/aug/110322>) FC explained the intention is to add a suite of checks to be procured as part of the statutory audit. The aim is to have checks and reassurances that Xoserve have complied with the process, they procured the right product, and secured the right outcomes. FC assured the Workgroup that Xoserve are looking to provide the right re-assurances. Various aspects were discussed including the extent to which the findings will be made public, whether there would be interim reporting and how soon the additional audit could begin. FC confirmed the current AUGGE process for 2022/23 would be unlikely to be covered, or could be covered retrospectively. It is likely the audit could properly start in July 2022.

Upon further discussion it was agreed that the Proposer and Xoserve would continue discussions offline on the specification of audits and reassurance steps. It was agreed that next month an overview would be provided on the specification and what gaps currently exist.

**New Action 0301:** Corona (DF) / Xoserve (FC) to provide an overview of the AUGGE Audit specification and identification of any current gaps in the process.

## 2.1. Issues and Questions from Panel

### 2.1.1. Consider alignment of other work being carried out in respect of AUGGE process

Workgroup briefly discussed how Review 0781R interacts with this Modification concluding that if 0781R were to lead to a Modification it would take a considerable time to develop.

## 2.2. Initial Representations (Citizen Advice)

Consideration deferred.

**New Action 0302:** Corona (DF) to provide an initial response to the Citizen Advice Representation.

## 2.3. Terms of Reference

Consideration deferred.

## 3.0 Amended Modification

The Modification has not been amended.

## 4.0 Development of Workgroup Report

Consideration deferred.

## 5.0 Next Steps

RHa confirmed that Workgroup discussions will continue next month, and she will request an extension at the April Panel for a month.

## 6.0 Any Other Business

None.

## 7.0 Diary Planning

Further details of planned meetings are available at: [www.gasgovernance.co.uk/events-calendar/month](http://www.gasgovernance.co.uk/events-calendar/month)

Time / Date	Paper Publication Deadline	Venue	Programme
Thursday 10:00 28 April 2022	5pm 19 April 2022	Microsoft Teams	Standard Agenda

### Action Table (as at 24 March 2022)

Action Ref	Meeting Date	Minute Ref	Action	Owner	Status Update
0301	24/03/22	2.0	Corona (DF) / Xoserve (FC) to provide an overview of the AUGE Audit specification and identification of any current gaps in the process.	Corona (DF) / Xoserve (FC)	<b>Pending</b>
0302	24/03/22	2.2	Corona (DF) to provide an initial response to the Citizen Advice Representation.	Corona (DF)	<b>Pending</b>