



November 2021

UNC Modification 0782

Update on questions

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IAA / AUGE engagement



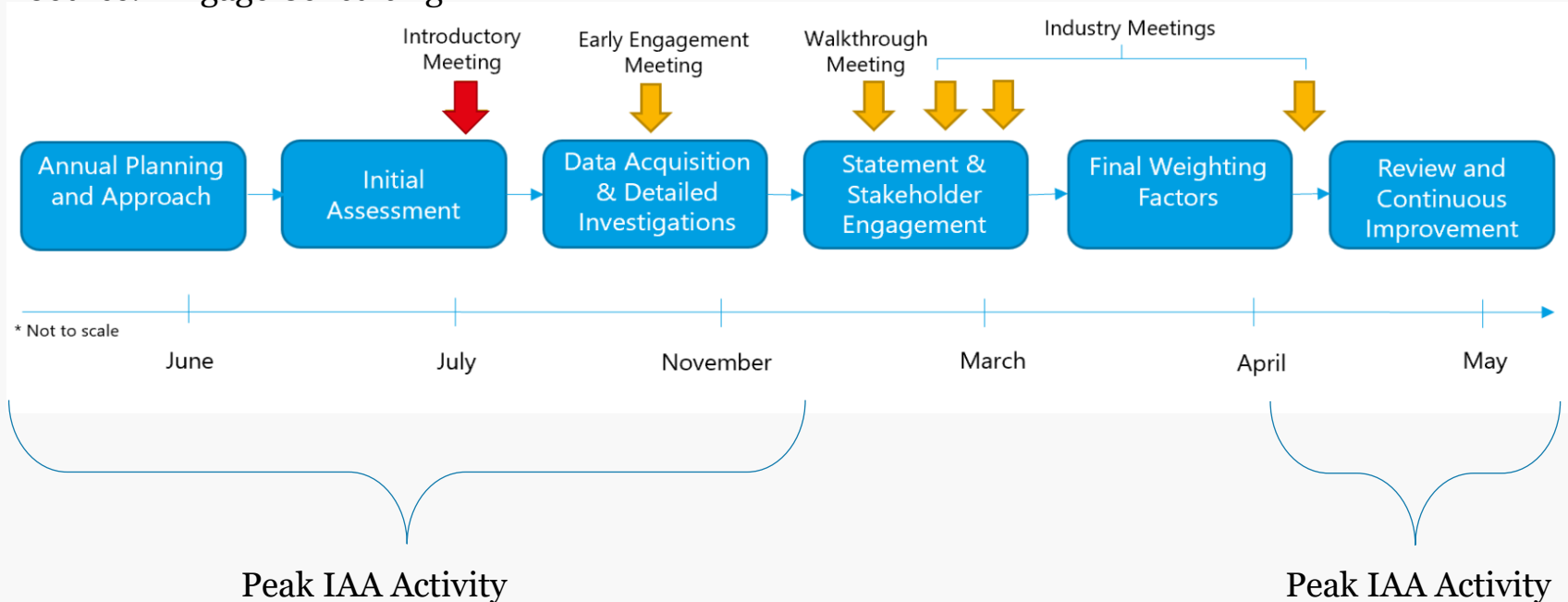
- We see the IAA role as a continuous process, with regular interaction with AUGE as it works.
- IAA will be expected to engage with the AUGE during development of methodology.
- There will not be a formal timetable for the IAA to report or delivery to industry.
- IAA will align with the internal work schedule of the AUGE firstly and then report to industry in line with the AUG timetable.
- Have provided mission statement in the solution.
- Creation of a rigid delivery timeline in the UNC will impair process.
- IAA is expected to inform industry on its work. Currently reflected in BR 9: “*The IAA will be required to provide regular reports to the industry on its findings regarding the AUGE’s compliance with the Framework, in addition to issuing any Non-Compliance Findings.*”
- IAA will also be expected to engage with industry: BR7: “*Users will be able to report any perceived non-compliance to the IAA who will required to respond to the User in a reasonable timeframe. The IAA will not be required to act on the report by the User*”.
- Confident that CDSP can deliver an effective contract to achieve this, overseen by the Contract Management Committee.

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IAA yearly activity



Source: Engage Consulting



For the avoidance of doubt IAA expected to operate during the whole process.

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IAA commencement



- As the IAA role is a continuous process, there is no requirement to align the IAA process with the production of an AUGE statement.
- Most valuable time for AUGE IAA to engage is during model development, so directly after delivery of final statement, so would suggest April.
- Time will be needed to procure IAA and to amend contract with the AUGE, so April 2022 seems unlikely.
- Late summer 2022 would provide some benefit.
- However April 2023 seems achievable and will aim for this start date.