



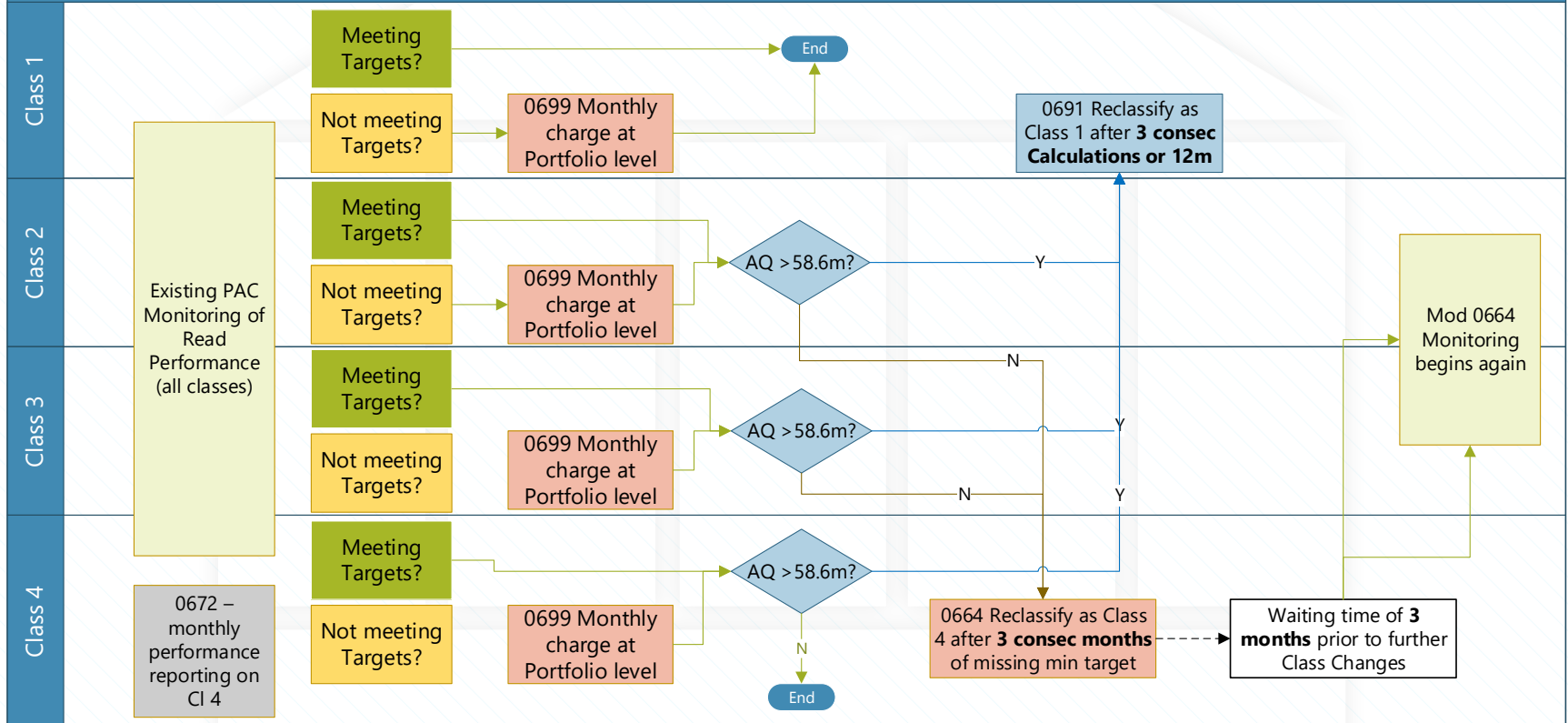
UIG Modifications – Scenarios and Interactions v2.1

PAC Meeting February 2020

Amended for minor typo on Slide 4

Interaction of Performance Related Mods

UIG Modification Scenarios



Scenario 1 – underperforming **Class 2 or Class 3** site

Class 2 or 3 site	Meeting standards?	Monthly penalty	Class change required?	Can site be moved back?
AQ >58.6m	Yes	No	To Class 1 after 3 consecutive calculations or 12m (Mod 0691) <i>0664 does not apply if the AQ is over 58.6m kWh</i>	No – unless the AQ reduces below 58.6m
	No	At portfolio level – fixed unit charge (Mod 0699)		
AQ <58.6m	Yes	No	No	No
	No	At portfolio level – fixed unit charge (Mod 0699)	To Class 4 after 3 consecutive months of missing the minimum target (Mod 0664).	After a waiting time of 3 months – clock starts ticking again under 0664 if moved back to Class 2

Cycle time of at least 7 months between first entry into Class 2/3 and re-entry being accepted after being forced to Class 4 for poor read performance, assuming that min. standard is never met

Scenario 2 – underperforming **Class 4** site

Class 4 site	Meeting standards?	Monthly penalty	Class change required?	Can site be moved back?
AQ >58.6m	Yes	No	To Class 1 after 3 consecutive calculations or 12m (Mod 0691) 0664 does not apply if the AQ is over 58.6m kWh	No – unless the AQ reduces below 58.6m
	No	At portfolio level – fixed unit charge (Mod 0699)		
AQ <58.6m	Yes	No	No	N/A
	No	At portfolio level – fixed unit charge (Mod 0699)	No	N/A

Scenario 3 – underperforming **Class 1** site

Class 1 site	Meeting standards?	Monthly penalty	Class change required?	Can site be moved back?
AQ >58.6m (all sites)	Yes	No	No – mandatory Class 1	N/A
	No	At portfolio level – fixed unit charge (Mod 0699)		

xserve

