

UK LINK BUSINESS DOCUMENT

UKLBD4

ACTIVE NOTIFICATION SYSTEM SUPPLEMENTARY DOCUMENT

Version	COR	Impl ⁿ Date	Changes	By who
8 L	3470	09/08/14	Changes to reflect Active Notification System Changes. Explicit references to ANS User Guide, and this not forming part of the UK Link Manual. Inclusion of ANS references from UK Link Manual Security Policy - Audit	Gary Kilburn / David Addison
9.0 L	NA	09/11/15	System change to Active Notification System – allowing users to opt in/out via their portal to routine messages.	Gary Kilburn
10 FA	NA	01/08/17	Changes to reflect UNC Modification 0565A	David Addison
10.1 FA		OCT 17	Amendments following peer review	Jayne McGlone

Version: []

Effective Date: []

1. INTRODUCTION

- 1.1. This document (Document), describing the Active Notification System (“ANS”), is the UK Link Business Document UKLBD4 – Active Notification System Supplementary Document referred to in paragraph 2.1.1(d)(iv) of the UK Link Manual Framework Document.
- 1.2. This Document is an integral part of and is incorporated in the UK Link Manual.
- 1.3. The version of this Document that is in force and the date from which it is in force, are as stated above.
- 1.4. In this Document:
 - (a) terms defined in the DSC Terms and Conditions and not otherwise defined in this Document shall have the meaning given in the DSC Terms and Conditions;
 - (b) terms defined in any other CDSP Service Document and not otherwise defined in this Document shall have the meaning given in the CDSP Service Document;
 - (c) terms defined in the UK Link Manual Framework Document and not otherwise defined in this Document shall have the meaning given in the UK Link Manual Framework Document; and
 - (d) the further provisions of the DSC Terms and Conditions as to interpretation apply.
- 1.5. This Document may be changed in accordance with the applicable procedures contained in the Change Management Procedures.
- 1.6. The Purpose of this Document is to provide:
 - a) UK Link Users with an understanding of the capability of the Active Notification System; and
 - b) An overview of the ANS Portal and the functionality available to ANS Users.
- 1.7. For the purposes of this Document, references to a UK Link User or UK Link Users exclude the CDSP.
- 1.8. In the case of any conflict between the provisions of this Document and the UK Link Terms and Conditions the latter shall prevail.
- 1.9. UNC GT D5.2.3 does not apply in respect of this Document, and accordingly the provisions of this Document are not binding on UK Link Users.

The functionality of the system is greater than is at present required but the information given in this guide relates solely to functionality which has been currently implemented. No instructions are provided for any additional functions. If some or all of these functions need to be activated in the future, this document will be amended to include full instructions at the appropriate time.

2. WHAT IS ACTIVE NOTIFICATION?

Active Notification is the process by which the Transporters can inform all relevant ANS Users simultaneously of business or time critical events such as system emergencies and interruptions. The Active Notification System is used to transmit Active Notification Communications via Short Message System (SMS) and, where an ANS User has elected to do so, via email.

The Active Notification System provides UK Link Communications to ANS Users. The Communication is provided by the SMS Message. The email communication is a supplementary communication.

The Active Notification System, whilst providing a form of UK Link Communication does not form part of the UK Link system.

The ANS Portal facilitates User access but does not form part of the UK Link system.

2.1. Active Notification System

The Active Notification System is a web-based Service provided by a 3rd party and operated by the Transporters. The host system transmits messages over a mobile network to an ANS User's nominated handset and, where an ANS User has elected to do so, via email to the ANS User's nominated email address(es). This automatically acknowledges receipt of the message to the host system and also sends an automatic delivery receipt back to the Transporters.

There is a 'Help Desk' provided by National Grid Transmission for the ANS User to log any issues or faults that they may have with the Active Notification System. This will enable more direct routing to the relevant support personnel. The relevant 'Help Desk' number is **0800 9177111**. This is not the CDSP Service Desk.

Users can access the Active Notification System User Guide from:

<http://www2.nationalgrid.com/uk/industry-information/gas-transmission-operational-data/supporting-information/>

The User Guide is not intended to form part of the UK Link Manual.

2.2. Active Notification Communication

A message transmitted by the Active Notification System is sometimes referred to as an Active Notification Communication. These may be either Code Communications (as defined in the Uniform Network Code) or a notification that such a Code Communication has been given by some other means. Code Communications which are given by Active Notification can be found in UKLCD1 – Code Communications Reference.

Messages will be sent via SMS and, where an ANS User has elected to do so, via email to the ANS User's nominated SMS device and/or email address(es) which are maintained by the ANS User at all times via the ANS User's account on the system.

2.3. Active Notification System Audit Trail

The Active Notification System has the facility to log both successful and unsuccessful deliveries.

2.3.1. Information Retained Centrally

Information retained centrally includes for each SMS message:

- (a) the message text;
- (b) the times at which the message was initiated;
- (c) the time at which the message was delivered to the ANS User or attempts to deliver where delivery was not achieved;

Where an ANS User has elected to receive email communications the time that the message was delivered to the ANS User is recorded. The time that the ANS User reads this communication is not recorded.

2.3.2 Information Retained by ANS User

The ANS User may wish to make a record of the receipt of these messages and the content of these messages.

3. MAINTENANCE BY AN ANS USER OF CONTACT DETAILS

An ANS User is able to manage their own contact details using the ANS Portal.

The ANS User's access will be supplied via a URL. The ANS User cannot gain access to the ANS Portal without supplying a valid user identity and password. The ANS User will have to populate a screen with the following details:

- Company ID
- User ID
- Password

These details will be supplied by National Grid NTS initially.

Upon first log on the ANS User Authorised Representative will be prompted to reset their password.

Should an ANS User forget the password, they may click the 'forgotten password' link on screen and an email will be sent to the ANS User's Primary Authorised Representative's email address held in the system, further details and screen shots are provided in the Active Notification System User Guide. Should an Authorised Representative still experience issues in logging in or wishes to log a fault with ANS they should call the Help Desk as detailed in section 2.1 of this Document.

Local Security Officers that are recorded for UK Link systems do not have a specific role with respect to the Active Notification System. An ANS User must provide one email address to act as the 'Primary Authorised Representative' in order for administration and maintenance messages to be provided. Where an ANS User provides more than one email address the first email address on the ANS Portal will be deemed to be the Primary Authorised Representative.

Further information, including how to update contact details, can be found in the Active Notification System User Guide.

The following table is intended to summarise User Log In details and requirements.

	Active Notification System
Authentication type	ANS User identity and password
User identity	
Assigned to	ANS User
Sharing by ARs permitted?	Yes
Concurrent sessions possible?	Yes
Format	Company ID User ID Password Supplied at initial set up.
Lifetime	Prompt for new password after 90 days if not used.

How added	By Transporters when setting up new UK Link User and following application to Transporters.
How removed	By Transporters when removing ANS User
Password	
Length	Minimum 8 characters
Format	Must contain at least 1 'alpha', 1 'numeric' and 1 'special' character. An example of a secure password is 123?\$ab%c. As soon as an acceptable password has been entered the "Strong" web access password message will be displayed.
Lifetime	90 days
How changed	AR may reset their password using the ANS portal. Where the ANS User password has been forgotten the ANS portal 'Forgotten Password' functionality will enable the Primary Authorised Representative to reset the password.

4. RECEIPT OF ACTIVE NOTIFICATION COMMUNICATIONS

4.1. SMS Messages

The SMS messages are conveyed via a mobile network to the ANS User nominated device. This communication is only conveyed to a single device. It is envisaged that SMS will be delivered to mobile phone devices. ANS Users must define their own storage policies with regards to the messages received.

Transporters shall monitor the Active Notification System to determine whether SMS messages have been delivered and in any case where there is a delivery failure, the ANS User will be sent back up communication via email, fax or telephone.

4.2. Email Communications

The primary communication route is via SMS, however an ANS User may elect to receive the communication via email to up to 10 Authorised Representative nominated email addresses.

In the event there is a failed delivery via SMS, the Transporters will look to see if delivery has been received via other means e.g. Email. If delivery is still not confirmed back up communication would be sent via fax or telephone call.

4.3. Opt-out function for certain messages sent by ANS

An ANS User, once live, will be configured to receive all messages sent by Active Notification System. However, an ANS User may, at their own risk, select to opt-out of selected daily routine messages, namely messages relating to:

- successful run of Demand Attribution messages (currently sent several times a day); and
- UIOLI figures (currently sent once a day).

An ANS User may opt-out (or opt back in) at any time via their online portal screen, any changes selected will take immediate effect.

Where the ANS User has chosen to opt-out, the Transporter will still attempt to send the message(s) to all ANS Users, however instead of receiving a delivery or failure response from the relevant ANS User's SMS device or email address, the Transporter will receive a response that the relevant ANS User has opted-out via their portal. The Transporter will treat this opt-out response in the same manner as a successful delivery and no further attempts will be made to ensure delivery of that message to that ANS User.

If an ANS User decides to use this opt-out function, the ANS User acknowledges and agrees that all risk and liability associated with the opt-out function remains with the ANS User and the Transporter will not be liable or responsible for any corresponding actions or failures due to the ANS User not receiving these messages.

5. ACTIVE NOTIFICATION CONTINGENCY PROCEDURES

It is an ANS User's responsibility to ensure that it reads any Active Notification Communication on receipt. As the Active Notification Communication may contain business or time critical information it is in the ANS User's own interests to ensure that it is aware of the communication as soon as possible.

If the Transporter detects via monitoring of the Active Notification Communication process that an ANS User has not received a particular critical message (for example if an ANS User is outside of a network area or the handset is switched off) it will implement a contingency procedure to ensure that the message is received by some other means (for example email, fax or telephone). This inevitably introduces a delay in receipt of the message and ANS Users are therefore advised that their nominated handsets should be both switched on and located within the network area at all times.

The Transporters responsibility for Active Notification System is to:

- a) issue messages simultaneously to all ANS Users;
- b) identify when an ANS User has not received delivery of each critical message to the SMS device; and
- c) implement contingency measures in those instances.

For each business event about which a message is sent, there is a contingency procedure which will be invoked if the Transporter detects that the message has not been delivered to the SMS device. Because invoking contingency procedures is time consuming and can result in delay in receiving messages, it is to an ANS User's advantage to ensure that their individual organisations contact details are fully up to date and their nominated SMS device is switched on and staffed at all times.

Once the message has been confirmed to the Transporters as delivered, the Transporters accept no responsibility for failure on the part of an ANS User to read or act on their Active Notification messages.

6. GETTING HELP

If you have any difficulties in using any part of system which you are unable to resolve by referring to this or the Active Notification System User Guide then you should contact the Help Desk (see section 2.1).