REC Update

March 2022

Missing Messages

- 352 Missing Messages (as at 3rd March) – Last message 01st March 2023 (was 205 last month)
  - 3 messages in February

  - Above figure includes:
    - 94 Registrations that GRDA hadn't received a Pending or SecuredActive (so reconciliation by GRDA was not possible)
    - 49 messages where GRDA has no record of messages which are not included as we are still investigating these with the Switching Operator

Secure Active Notification proxy

- Secure Active Notification proxy reconciliation for Missing Messages identified by Xoserve received w/c 6th February 2023

RCA - STATUS	Count
Resolved Registration Progressed	121
RESOLVED – Missing CANCELLATION	18
RESOLVED – POST SAM SWITCH	16
Resolved – Registration Processed	1

  - 121 Registrations have been updated in UKL with effective dates of [21st February 2023]
  - Following further discussion with the Switching Operator:
    - 5 further Registrations initiated w/c 6th March; and
    - Confirmation of further 41 missed cancellations – no further action required

Pending DCC confirm if Cancellation *	41 (expect these to be cancellations)
Pending DCC Confirm Switch Dates *	5 (expect these to be registrations to process)

  - Communication using process developed under 5535A – and subject to ChMC Change approval (expected 09/03/23)

Code Challenges – Missing Messages

- Proposing to try and get the Registrations into UK Link as soon as possible
  - Phase 1 – suggestion to allow 'Secured Active Notification' proxy was confirmed at UNCC in November
  - Awaiting DCC to produce proxy Notification – **Done, subject to further reconciliation**
  - Don't expect a Code Mod to be required for this
  - No planned changes to UK Link Communications

- February Progress Update:
  - Secure Active Notification proxy reconciliation for Missing Messages identified by Xoserve expected w/c 6th February 2023
    - Change pack 5535 part A that details the approach to these Registrations (and documents what has been discussed at CoMC / ChMC / DSG / Distribution WG / etc) was issued 13th February 2023 – **approval to be requested at March ChMC**
      - Prospective Registration for Switches – **Done for those where position aligns with DCC data**
      - Recommendation to resend BRN by the Proposing User (at least for backlog)

    - Proposing to write to all impacted parties to initiate the Registration in line with the Detail Design Change Pack in advance of approval at ChMC – **Done, and thank you!**

Code Challenges – Missing Messages

- Subject to Mod 836
- Challenges – Phase 2:
  - Creation of Estimated Meter Read on CSS Registration Effective Date
  - Invoicing period
  - Use of R0067 Refresh functionality to act as prompt for Manual creation of Secured Active Notification by CDSP

- February Progress Update:
  - Draft Mod issued to JO –presented to February Panel
    - Considers the above points
    - Also looking at whether a materiality test would be beneficial to parties

Other REC Updates

- ## R0067 Progress
  - Implementation expected on CSS late November / early December '23
  - Integration planning has led to further IA being issued, and during IA period further plan revisions
  - Challenge regarding scope – awaiting Landmark design documents

- ## GRDA Performance reported last month regarding missed target
  - GRDA Performance report based on initial RPA Reporting – following investigation updated to 100% and resubmitted to PAB (RPA agreed to provide verbal update)

GRDA Performance – January 2023

y points to note January 23 - Figures quoted based draft RPA report due to

Service Provider	Service Definition Section	Section number	Metric Description	Performance Level	Metric Type	Value
Xoserve GRDS	Service Availability	5.2	Percentage Service Availability for the receipt and acknowledgement of Market Messages from the CSS Provider (excluding scheduled maintenance)	0.9975	DECIMAL	0.9971
Xoserve GRDS	Service Availability	5.3	Number of instances where scheduled maintenance occurred between 16:00 and 01:00 hours	0	INT	0
Xoserve GRDS	Service Availability		In the event of an unplanned outage how many instances had the system not resumed operation within one		0INT	

- ## Disaster Recovery
  - CSS failover from UK-S to UK-W on 17th March 2023, failback on 24th March 2023
  - Outage expected to be circa 1 hour (quoted 4 hours as per DR SLA)
  - Requested to avoid Gate Closure
  - Expected to have minimal impact to GRDA – expected that Retry mechanism will be utilised by GRDA for messages to CSS in this period

REC Change

Introduction

The following 8 slides have been included in this months ChMC pack to give you an overview of the ongoing REC Changes, we have broken these down into the following sections:

- In progress – we are currently progressing through the Change journey
- Under Prioritisation Review by REC Code Managers – due to CM workload/prioritisation

This month we have included a line describing, from our perspective, the expected Change impact on us as the CDSP and/or GRDA

Note: We are currently undertaking a review of all REC Change and have began removing Changes which we have confirmed have no impact to Gas services. In the coming months, we will continue to monitor the Changes listed and add/remove from this pack as required.

We have included an additional slide at the end of this pack which discusses REC funding, as requested by the committee last month.

Further information on the Changes can be found on the REC Portal

REC Change Pipeline – In progress

Title	Description	XRN / UNC Mod	Proposer	Impact/ Funding	Status	Next Action date	Release Type	REC Priority	Attachments
R0025	Service Provider Performance Charges (DCC)	N/A	Deloitte (RPA)	-	Approved - awaiting implementation	01/04/2023 – Proposed Implementation date	Standalone	High	Microsoft Word Document
This Change was raised to introduce Service Provider Performance Charges linked to performance against service levels defined in the REC. We are monitoring this change as it references future performance charges on other service providers – including the GRDS.									
R0047	Metering Code of Practice Consolidation Review	N/A	RECCo	-	Approved - awaiting implementation	01/04/2023 – Proposed Implementation date	Standalone	High	N/A
This Change was raised to to consolidate the current Metering Codes of Practice into a single set of arrangements. Although we are not a directly impacted party, if and when this takes place, we may need to adapt our interactions with MEMs, DNOs and Suppliers.									
R0052	GES Service Definition Document	N/A	Deloitte	GES	Approved - awaiting implementation	24/02/2023 – Proposed Implementation date	Major, Feb 23	Medium	N/A
This Change was raised to align the frequency of certain reports detailed in the GES Service definition with the Retail Energy Code. As the GES Service provider, we need to monitor the outputs of this Change to ensure that everything is aligned following implementation.									

Key:

⬜	Currently working on
🟧	Upcoming
🟦	Monitoring

Please note that we have provided our view of the Change summary and impacts however we recommend that DSC Customers monitor the REC Portal to form their own opinion regarding the impact to their organisation

Title	Description	XRN / UNC Mod	Proposer	Impact/ Funding	Status	Next Action date	Release Type	REC Priority	Attachments
R0055	Switching Operator Outage Notification Lead Time	XRN 5595	DCC	GRDS, GES	Approved - awaiting implementation	24/02/2023 – Proposed Implementation date	Major, Feb 23	Medium	N/A
This is a documentation Change that was raised to align the outage notification time. The original time was 10 working days (WD) however in the CSS Provider's (DCC) processes and the Switching Operator Change Management Process Category 3 document the notification time is 8 WD. As a result of this Change, as a Switching Data Service Provider, we need to amend internal documents that include processes surrounding interactions with the CSS Provider, to allow for the revised 8WD notice.									
R0063	Addition of key information to all Service Now tickets	N/A	St Clements Ltd (on behalf of DNOs)	-	Solution development	21/02/2023 – Presenting solution to the Change Panel	TBC	Medium	N/A
This Change was raised to improve the information that's included on DCC's Service Now Tickets which have been asynchronously rejected. We interact with DCC via SNow so we need to monitor and input into development.									
R0067	Introduction of CSS refresh functionality	XRN 5567 / UNC Mod expected	Capgemini (RTS)	GRDS	Party Impact Assessment	01/03/2023 – Detailed Impact Assessment closeout (this is the 2nd DIA that has been released as a result of replanning)	Legal text – Major, June 23

Technical Change - Standalone | High | Microsoft Word Document |
| This Change will introduce a resend and refresh functionality to help with the message interactions between the CSS and Switching Data Service Providers. Currently, there are cases were messages are being received after Gate Closure or not being received at all. We are one of the impacted Service Providers which means this Change is very important to us so that we are able to complete customer switches successfully. | | | | | | | | | |

Key:

☐ Currently working on   ☐ Upcoming   ☐ Monitoring

Title	Description	XRN / UNC Mod	Proposer	Impact/ Funding	Status	Next Action date	Release Type	REC Priority	Attachments
R0070	Provision of Enduring Test Environments	N/A	Capgemini	GRDS, GES	Solution Development	02/2023 – Awaiting Impact Assessment from Code Managers	Standalone	High	N/A

This Change was raised to introduce an obligation under the Retail Energy Code for the CSS or the Switching Operator (SO) to provide enduring test environments for REC parties to make the necessary system changes for REC Change Proposals (CPs) or internal system updates. We need to monitor this to ensure that the obligations on GRDS are understood, and that we contribute to the development of this Change.

Title	Description	XRN / UNC Mod	Proposer	Impact/ Funding	Status	Next Action date	Release Type	REC Priority	Attachments
R0073	Introduction of a Housekeeping Change Proposal Process	N/A	Gemserv	-	Final Assessment	24/02/2023 – Consolidated Consultation Response and Final Change Report published	TBC	Low	N/A

This Change was introduced to create a process which allows parties to amend typographical errors, formatting, and consistency errors (such as Paragraph numbering), out-of-date references to documents and updating addresses (including email) in the Retail Energy Code. Although it is a housekeeping Change, there are also amendments to legal text that we need to be involved in. Its important we monitor the outputs of this Change to capture any amendments that might be needed to our internal documents as a result, or if it creates inadvertent Change.

Key:

- Currently working on
- Upcoming
- Monitoring

Title	Description	XRN / UNC Mod	Proposer	Impact/ Funding	Status	Next Action date	Release Type	REC Priority	Attachments
R0074	Release of Community View Data Items to MEMs in GES	N/A	Xoserve	GES	Final Assessment	24/02/2023 - Changes to the DAM 27/02/2023 – Functional Change planning	Changes to the DAM – Major, Feb 23 Functional Change - TBC	High	Microsoft Word Document
This Change was raised to enable MEMs to 'Community View' access to a limited set of data in the GES, for sites where they are not the appointed MEM. As the GES Provider we are heavily involved in the planning and delivery of this Change.									
R0091	Clarifications to the Theft Detection Incentive Scheme	XRN 5236 MOD 0734	Deloitte (RPA)	-	Consultation	10/03/2023 – Consultation closeout	TBC	Low	N/A
Following implementation of UNC Mod 0734 the RECCo are responsible for providing details of Confirmed Theft to the CDSP. We expect this to be the REC change that implements this obligation.									

Key:

Currently working on   Upcoming   Monitoring

REC IA Demand

Title	Description	XRN	Proposer	Impact/ Funding	Status	Next Action date	Release Type	Priority	Attachments
R0048	DCC Service Organisation Control 2 (SOC2) Assessments	N/A	DCC	-	Solution development	10/03/2023 -Preliminary Change Report and consultation closeout	TBC	Low	
Currently, the CSS Provider is obligated to undertake system organisation control type 2 (SOC2) assessments on the CSS's cloud-based infrastructure. This Change was raised to assess if the SOC2 assessment provides a proportionate level of assurance or the best value for money and if it should be change to 'independently assessed'. We are monitoring to determine whether there are impacts to GRDS / GES.									
R0088	Make Shipper NExA values available on the GES portal	XRN 5186 MOD 0701	Xoserve	-	New	w/c 27/02/2023 – Lead analyst being assigned 02/2023 - Impact assessment	Major, June 23 to align with	Medium	
XRN 5186 - Modification 0701: Aligning Capacity booking under the UNC and arrangements set out in relevant NExAs makes additional information available to GES Users which require reflection in the REC. Although all of the technical change has already been developed.									

Key:

Currently working on   Upcoming   Monitoring

REC Related XRN Changes

Description	XRN	Proposer	Impact/ Funding	Status	Next Action date	Release Type	Priority
Processing of CSS Switch Requests Received in 'Time Period 5	XRN 5535A	Xoserve	GRDS	In Delivery	13/03/2023 – Detailed Design Change Pack for approval	TBC	High
Resolution of Address Interactions between DCC and CDSP	XRN 5546	Xoserve	GRDS	Under development	Regular conversations with DCC as work is ongoing	TBC	Medium

Key:

	Currently working on		Upcoming		Monitoring

(Gas) REC Change Pipeline - Under Prioritisation Review

Title	Description	Status
R0049	Intellectual Property Rights and Services Data Main Body changes	Initial Assessment
R0051	Switch Request Objections (Change of Occupier)	Initial Assessment
R0056	EES/GES additional service request for Housing Associations to be added to the Matrix	Initial Assessment
R0059	Maintenance of Qualification Schedule Change	Initial Assessment
R0068	REC Data Protection Changes	Initial Assessment
R0069	Amendments to Sample Access Agreement	Initial Assessment
R0071	DCC access to EES and GES	Initial Assessment
R0075	Enabling Software Product Qualification	Initial Assessment
R0080	Improvements to "Failed to deliver" CSS messages	Initial Assessment
R0081	CSS Market message retry strategy	Initial Assessment
R0082	Formalising the Submission of PPMIP Unallocated Transaction Report (UTR) Files	Initial Assessment
R0084	Housekeeping changes to the approved legal text for R0047	Initial Assessment
R0085	Switching Programme Designation of the Steady State Commencement Date	Initial Assessment
R0087	MAP GES data access	Initial Assessment

(Gas) REC Change Pipeline - Under Prioritisation Review

Title	Description	Status
R0089	Removal of Pre-COVID AQ Value from Data Access Matrix	Initial Assessment
R0092	DCC Service Level Agreements for the Switching Incentive Regime	Initial Assessment
R0093	Uplift to CSS Maximum Demand Volumes during MHHS Migration Period	Initial Assessment
R0094	Clarify obligations on gas meter exchanges that occur close to CoS	Initial Assessment
R0095	Changes to allow DNOs to reinstate disconnected MPANs	Initial Assessment
R0096	CSS Message Regeneration Functionality	Initial Assessment
R0097	Consequential Change for CSS Smart Meter Data Retriever Appointments	Initial Assessment