

## APPLICATION FOR CONSENT TO MODIFY THE CODE

“Revision to the legal text associated with the implementation of 0378 Greater  
Transparency over AQ Appeal Performance”

Version 1.0

**Reference:** C049

### Transporter Proposal

The Joint Office of Gas Transporters would like to request that the Authority grant permission to amend the legal text as contained within the 0378 Final Modification Report v5.0, as referenced in the Notice of Implementation, to enable the insertion of the correct version of legal text into the UNC in accordance with the original intent of the modification.

### Nature of Modification

In order to ensure that the legal text contained within the Final Modification Report remains commensurate with the intention of the modification, the following additional changes (**Green**) to the already marked up legal text for 0378 are proposed, as follows:

### Uniform Network Code – Transportation Principal Document Section G

*Amend TPD Sections G 1.6.18 - 1.6.20 (inclusive) as follows:*

1.6.18 The Transporters shall publish, by the dates specified in paragraph 1.6.20, a report **on applications** containing the following information in respect of each User (on a non attributable basis):

- (a) in aggregate across all End User Categories:
  - (i) the number of applications made by the User during the User AQ Review Period (in accordance with paragraph 1.6.4) for an increase in the Provisional Annual Quantity and for a decrease in the Provisional Annual Quantity;
  - (ii) the number of such successful applications made by the User during the User AQ Review Period (in accordance with paragraph 1.6.7) that resulted in a User Provisional Annual Quantity shown by the resulting increase and decrease in comparison to the Provisional Annual Quantity **split by KWh movement bands**;
  - (iii) the number of Speculative Calculation enquiries made by the User during the preceding Gas Year;

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(iv) the change to the Annual Quantity in aggregate (expressed in KWh) that has occurred due to the increases or decreases as a result of the successful applications referred to in (a)(ii);

(b) by each End User Category:

(i) the number of Supply Meter Points where the Annual Quantity has increased or decreased as a result of the successful applications referred to in (a)(ii) shown as a percentage of the total number of Supply Meter Points in that End User Category;

(ii) the change to the Annual Quantity in aggregate (expressed in kWh) that has occurred due to the increases or decreases as a result of the successful applications referred to in (a)(ii);

(iii) the number of Supply Points that have moved from one End User Category to another End User Category as result of the successful applications referred to in (a)(ii);

(c) by each LDZ, the number of such successful applications made by the User during the User AQ Review Period (in accordance with paragraph 1.6.7) that resulted in a User Provisional Annual Quantity shown by the resulting increase and decrease in comparison to the Provisional Annual Quantity.

1.6.19 For the purposes of paragraph 1.6.18 and 1.6.26:

(a) **"User AQ Review Period"** is the period during which the User may apply for a User Provisional Annual Quantity in accordance with 1.6.4(a), commencing on the AQ Review Date and ending on the 13 August in the preceding Gas Year;

(b) **"Speculative Calculation"** means an estimate of the Annual Quantity of a Supply Point derived by the User, using relevant Meter Reads for the Supply Point and the speculative calculator tool which is available for use within UK Link;

(c) **"User AQ Appeal Period"** is the period detailed in paragraphs 1.6.13(a) and (b) during which the User may appeal the Annual Quantity notified by the Transporter in respect of a Supply Meter Point.

1.6.20 The dates for the publication of the information to be contained in the report in accordance with paragraph 1.6.18 and 1.6.26 shall be in the case of:

(a) paragraph 1.6.18(a) and (b), by no later than:

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- (i) 1 July in the relevant Gas Year, in respect of Smaller Supply Meter Points on an interim basis;
- (ii) 1 August in the relevant Gas Year, in respect of Larger Supply Meter Points on an interim basis; and
- (iii) 1 November in the Gas Year immediately following the relevant Gas Year, in respect of all Supply Meter Points on a final basis;

~~in each case in the relevant Gas Year.~~

- (b) paragraph 1.6.18(c) and 1.6.26, by no later than 1 November in the ~~relevant~~ Gas Year immediately following the relevant Gas Year, in respect of all Supply Meter Points on a final basis.

*Insert new TPD Section G 1.6.26 as follows:*

1.6.26 The Transporters shall publish, by the date specified in paragraph 1.6.20(b), a report on appeals containing the following information in respect of each User (on a non attributable basis):

- (a) in aggregate across all End User Categories:
  - ~~(i) the number of appeals made by the User during the User AQ Appeal Period (in accordance with paragraph 1.6.13) for an increase in the Annual Quantity and for a decrease in the Annual Quantity; the number of successful appeals made by the User during the User AQ Appeal Period (in accordance with paragraph 1.6.14) that resulted in a revised Annual Quantity shown by the resulting increase and decrease split by KWh movement bands;~~
  - ~~(ii) the number of such successful appeals made by the User during the User AQ Appeal Period (in accordance with paragraph 1.6.14) that resulted in a revised Annual Quantity shown by the resulting increase and decrease split by KWh movement bands;~~
  - ~~(iii)~~(ii) the change to the Annual Quantity in aggregate (expressed in KWh) that has occurred due to the increases or decreases as a result of the successful appeals referred to in (a)(ii)(i) (provided that where a User has submitted a Supply Point Confirmation in the period since the AQ was notified under 1.6.12, the change to the aggregate AQ for a Supply Point shall be based on the difference between the AQ specified in the Supply Point Offer to which that Supply Point Confirmation relates and the AQ that applies from the Supply Point Registration Date in respect of the Supply

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Point Reconfirmation submitted by the User pursuant to paragraph 1.6.14(a):

- (b) by each End User Category:
- (i) the number of Supply Meter Points where the Annual Quantity has increased or decreased as a result of the successful appeals referred to in (a)(ii)(i) shown as a percentage of the total number of Supply Meter Points in that End User Category;
  - (ii) the change to the Annual Quantity in aggregate (expressed in kWh) that has occurred due to the increases or decreases as a result of the successful appeals referred to in (a)(ii)(i) (provided that where a User has submitted a Supply Point Confirmation in the period since the AQ was notified under paragraph 1.6.12, the change to the aggregate AQ for a Supply Point shall be based on the difference between the AQ specified in the Supply Point Offer to which that Supply Point Confirmation relates and the AQ that applies from the Supply Point Registration Date in respect of the Supply Point Reconfirmation submitted by the User pursuant to paragraph 1.6.14(a));
  - (iii) the number of Supply Meter Points that have moved from one End User Category to another End User Category as result of the successful appeals referred to in (a)(ii)(i);
- (c) by each LDZ:
- (i) the number of Supply Meter Points where the Annual Quantity has increased or decreased as a result of the successful appeals referred to in (a)(ii)(i) shown as a percentage of the total number of Supply Meter Points in that LDZ;
  - (ii) the number of Supply Meter Points that have moved from one End User Category to another End User Category as result of the successful appeals referred to in (a)(ii)(i);

Part IIC – Transitional Rules, insertion of new paragraph 1.7.9 as follows:

1.7.9 In relation to the report to be published by Transporters for Gas Year 2011/2012 pursuant to TPD Section G1.6.18, TPD Section G1.6.18 to 1.6.20 (inclusive) shall apply as follows For the purposes of this Paragraph 1.7.9, in relation to the report to be published by Transporters for Gas Year 2011/2012 pursuant to TPD Section G1.6.18 the following provisions shall apply:

(a) TPD Section G1.6.18

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(a) The Transporters shall publish, by the dates specified in ~~TPD Section G1.6.20~~ paragraph 1.7.9(c), a report containing the following information in respect of each User (on a non attributable basis):

(i) in aggregate across all End User Categories:

- (1) the number of applications made by the User during the User AQ Review Period (in accordance with TPD Section G paragraph 1.6.4) for an increase in the Provisional Annual Quantity and for a decrease in the Provisional Annual Quantity;
- (2) the number of such successful applications made by the User during the User AQ Review Period (in accordance with TPD Section G paragraph 1.6.7) that resulted in a User Provisional Annual Quantity shown by the resulting increase and decrease in comparison to the Provisional Annual Quantity;
- (3) the number of Speculative Calculation enquiries made by the User during the preceding Gas Year;

(ii) by each End User Category:

- (1) the number of Supply Meter Points where the Annual Quantity has increased or decreased as a result of the successful applications referred to in ~~TPD Section G1.6.18(i)(2)~~ paragraph 1.7.9(a)(i)(2) shown as a percentage of the total number of Supply Meter Points in that End User Category;
- (2) the change to the Annual Quantity in aggregate (expressed in kWh) that has occurred due to the increases or decreases as a result of the successful applications referred to in ~~TPD Section G1.6.18~~ paragraph 1.7.9(a)(i)(2);
- (3) the number of Supply Points that have moved from one End User Category to another End User Category as result of the successful applications referred to in ~~TPD Section G1.6.18~~ paragraph 1.7.9(a)(i)(2);

(iii) by each LDZ, the number of such successful applications made by the User during the User AQ Review Period (in accordance with TPD Section G paragraph 1.6.7) that resulted in a User Provisional Annual Quantity shown by the resulting increase and decrease in comparison to the Provisional Annual Quantity.

~~(b) TPD Section G1.6.19:~~

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- ~~(b) For the purposes of TPD Section G 1.6.18 paragraph 1.7.9(a):~~
- ~~(i) "User AQ Review Period" is the period during which the User may apply for a User Provisional Annual Quantity in accordance with TPD Section G paragraph 1.6.4(a), commencing on the AQ Review Date and ending on the 13 August in the preceding Gas Year;~~
  - ~~(ii) "Speculative Calculation" means an estimate of the Annual Quantity of a Supply Point derived by the User, using relevant Meter Reads for the Supply Point and the speculative calculator tool which is available for use within UK Link.~~
- ~~(e) TPD Section G 1.6.20:~~
- ~~(c) The dates for the publication of the information to be contained in the report in accordance with TPD Section G 1.6.18 paragraph 1.7.9(a) shall be in the case of:~~
- ~~(i) Paragraph 1.7.9(a)(i) and (ii) TPD Section G 1.6.18(a) and (b), by no later than:
    - ~~(1) 1 July in the relevant Gas Year, in respect of Smaller Supply Meter Points on an interim basis;~~
    - ~~(2) 1 August in the relevant Gas Year, in respect of Larger Supply Meter Points on an interim basis; and~~
    - ~~(3) 1 November in the Gas Year immediately following the relevant Gas Year, in respect of all Supply Meter Points on a final basis;~~in each case in the relevant Gas Year.~~
  - ~~(ii) Paragraph 1.7.9(a)(iii) TPD Section G 1.6.18(iii), by no later than 1 November in the relevant Gas Year immediately following the relevant Gas Year, in respect of all Supply Meter Points on a final basis.~~
- ~~(d) Reporting by Transporters pursuant to TPD Section G paragraph 1.6.26 shall not commence until Gas Year 2012/2013.~~

### **Transporter Application**

This application contains the Transporters' proposal to modify the Uniform Network Code for which they now seek the consent of the Authority

Signed for and on behalf of Transporter.

Signature:

**Tim Davis**  
**Chief Executive Joint Office of Gas Transporters**

Date:

### **Gas and Electricity Markets Authority Response:**

In accordance with Standard Special Condition A11 'Network Code and the Uniform Network Code' of the Gas Transporters License, I hereby consent to the above modification to the Code.

Signed for and on Behalf of the Gas and Electricity Markets Authority.

Signature:

Date: