



REC Update:

**Late Gate Closure Messages and
Missing Messages**

'Late' Gate Closure

- We have had a number of instances of 'Late' Gate Closure messages
 - Up until 31st August we had 150 Registrations
- We had set out a 'runbook' to use in the circumstances that we received Late Gate Closure messages so that we could complete processing and maintain alignment between CSS and UK Link
- Due to our concerns related to the alignment of CSS and UKL we have been proactively running a reconciliation between Pending messages (received from CSS once the Registration is first processed (and if necessary issued to the incumbent for Initiation to Intervene (e.g. Object))
- This reconciliation highlighted 'Missing' Gate Closure messages – i.e. either missing Secured Active messages, or missing Pending Cancellations
- First instance on 24th July – was raised by DCC as a P3 (3 working day response) so we could not hold our jobs – so had to notify the Shippers and continue with UKL processing – i.e. not invoke the 'runbook' that we had established

'Missing' Gate Closure

- First ticket was raised on 24th July, and each day that we had a missing GC message a further ticket has been raised
- At 7th September – last incidence of missing GC message was 31st August
 - Total missing messages 150 by this point (122 missing on 2nd August)
- DCC indicated a fix has been deployed 25th August that would resolve the exceptions that were causing this issue, but noted that this would take some time to work through CSS (so to expect some additional missing messages post fix date (NB: we have recorded 2 Missing Messages post 25th August))
- We have reported 38% success against the REC Performance Assurance target of all messages being received within 20 minutes each day – this is because a mix of Missing and Late Gate Closure Messages

CRD061

- We believe that the issue of Missing Gate Closure messages would have been mitigated by 'resend' functionality
 - DCC implemented this prior to CSS for Smart DSP
 - Could not be implemented for others prior to CSS Go Live without impacting Implementation Date
- We have been arguing that this is a Programme Deliverable and ELS should not be completed without this functionality being delivered
 - Potentially will be progressed as a REC Urgent Change
 - **This is still being progressed Xoserve are reviewing the proposed solution with REC / DCC**

Next Steps

- This position has not changed from last month – we had asked DCC to focus on stopping further instances of Missing Messages:
 - Need confirmation which of the Missing Gate Closure messages were intended to result in Registration or Cancellation
 - Need to understand options from DCC ...
 - XRN5535 was raised to determine what to do if we received a message after 03:00 on D
 - We are using this Change Proposal to assess what needs to be done for the ‘missing’ Registrations – we have no Retro Registration functionality so solution needs to be identified – e.g. increment Registration and adjustment