



**XRN4851 - Moving Market Participant  
Ownership from SPAA to UNC/DSC**

# Background

- From 28<sup>th</sup> Feb 2020, the CDSP will assume management of Market Participant Information within MDD as per MOD0682 and XRN4851
- The solution, approved at Change Management Committee 08/01/20, is an excel workbook available for download on Xoserve.com
  - Within this each role for the listed Market Participants are indicated by a cross identifier
- Three sheets will be provided to separate data views
  1. Live and end dated Market Participant parties
  2. Parties that are pending Market Participant sub-committee approval
  3. Short Codes available for REC and SEC testing
    - a. This contains parties that are live, end dated or in a pre-entry status i.e. pre license approval

# Current Issue

- New Ofgem governance requirements in preparation for REC do not require parties to hold the appropriate license for the role they wish to perform in order to take part in REC testing
  - This means an ID/Short code would have to be assigned, ahead of license approval, but not made live
- The guidance document presented when the MOD was approved reflects the current governance rules
  - Parties would not be referenced in the MDD without all checks being carried out (including the Market Participant role license check)
  - This does not take testing for REC into account
- As parties will have to be added to the reference table to take part in testing, with associated short code, this will have been through a change approval process, there is currently no mechanism to move a party from “test” to “live” once they hold the appropriate license.

# Question for DWG

- The question Xoserve are posing to DWG is how should we approach moving parties, being referenced for testing purposes, into the live market participant reference table.

**Option 1** – Take parties through the MDD change approval process twice

- First iteration used to be added for testing purposes, then a second iteration to ensure complete all checks on the party to allow them to be listed as a live entry in the main Market Participant list)

**Option 2** – Parties go through the MDD change approval process once

- Initially the party will be added in to the testing list for REC with the CDSP completing all necessary checks bar the gas role license check. The CDSP are able to then move these parties into the live market participant list once they ascend to the respective license

**Option 3** – Parties are added to the testing list with a testing ID

- CDSP will complete all necessary checks when the party intends to go live through a UKL application, post license approval, and then through the MDD process.