

Switching & Retail Code Consolidation: Significant Code Review



UNC Panel 21 Nov 2019

Summary of forthcoming publications

1. RCC SCR Launch and updated SP SCR Launch statements

- RCC scope
- Transition plan from RCC to enduring REC
- Updated Switching SCR scope
- Further consultation e.g. charging principles, updated change management, and theft
- Plan for RCC REC drafting and consequential code changes

2. Technical Specification Approach consultation

- Data Specification approach (including metadata standard)
- Testing Specification approach
- Security Operating Framework approach
- Service Definitions (where available)
- Plan to develop further REC drafting in Spring 2020

3. REC v1.1 consultation

- Updated Performance Assurance schedule
- REC Code Manager Service Definition
- Spring/Summer 2020 implementation proposals

4. Updated Switching Operational Schedules

- Published once reviewed by RDUG and baselined by Regulatory Group
- Accompanying letter setting out changes made since June consultation

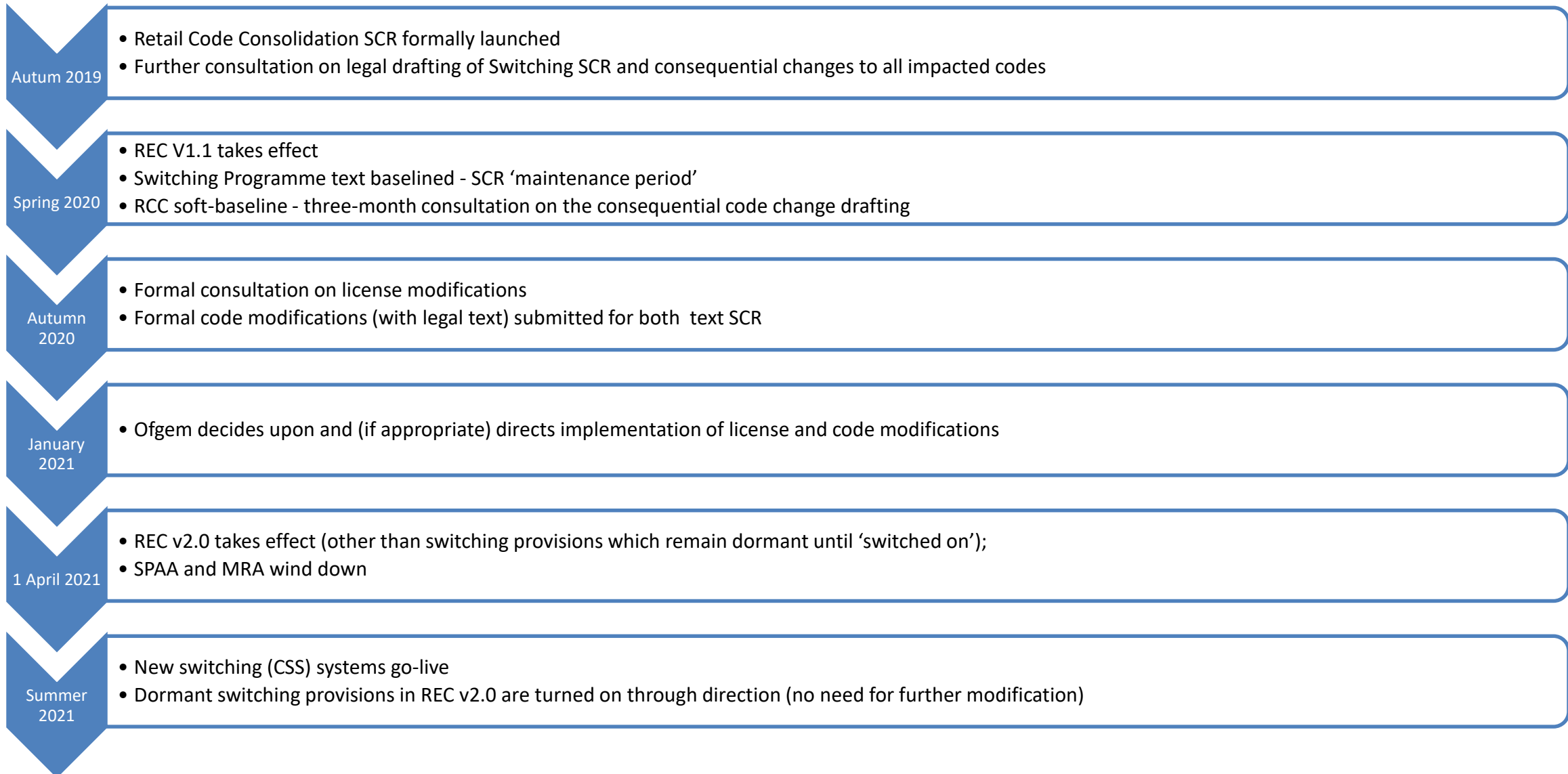
5. Regulatory policy consultation

- Consultation on conditions for moving from expectation of 5WD switching to next day switching

We propose the following scope for the RCC SCR:

- All the provisions in the **MRA and SPAA**, being placed in either the REC or another code, and remaining parts that need to be **closed down**;
- The necessary changes to other codes to better facilitate **cross-code change management (including the UNC)**;
- The necessary changes to **transfer provisions for MPAS** and its governance to the REC and a number of other codes, including BSC and DCUSA;
- The necessary changes to deal with **Theft** and to implement the learnings from the Theft Steering Group in the REC, rationalising the provisions currently in SPAA and DCUSA;
- The changes required to move provisions for the **Green Deal** into REC from MRA and GDAA, and to ensure governance that appropriately involves Green Deal parties;
- The changes required to transfer the gas **agent appointment** provisions and electricity provisions related to MEM appointment and MAP notifications to the REC from SPAA and RGMA, along with relevant metering MDD from SPAA and BSC;
- Changes required to rationalise **metering codes of practice** under the REC;
- Changes to bring **SMICoP** provisions into the REC;
- Changes to bring the **Priority Services Register** into the REC (currently provisions are governed by the SPAA, MRA and UNC).

Out of Scope: the RCC will not be used to pursue 'new' policy initiatives, i.e. those which are not currently governed by the codes or agreements that are to be consolidated within the REC.



SCR process has evolved, allowing three options:

- 1) Ofgem directs licensee(s) to raise the appropriate modification(s);
- 2) Ofgem raises the modification proposal(s) itself; or,
- 3) Ofgem leads an end-to-end process to develop the code modification(s) including provision of legal text.

Given the complexity of the RCC, not least due to the number of codes involved, we remain committed to pursuing option 3.

What we need from the UNC Panel:

- Legal text to facilitate the switching programme has already been produced – we currently understand that this remains fit for purpose for the switching SCR and not impacted by earlier implementation of RCC (effective date TBD);
 - looking to confirm any assumptions and incorporate additional RCC requirements by end of March '20.
- Collaboration on the following:
 - identifying where any new proposal may impact upon the switching and/or RCC SCR (triage process);
 - for those proposals that proceed, identifying through workgroup any consequential impacts on draft SCR legal text;
 - this doesn't necessitate that in-flight proposals be stopped – but may need combined decision on the mod and to change SCR/REC baseline;
 - sense checking of revised text during 'maintenance period' (Ofgem lead – GTs lawyers/Dentons consulted?);
 - a joint consultation on SCR proposals(s)?;
 - A joint FMR?
- View invited: would detailed SCR specific guidance/ways of working be helpful?